### **BIOGRAPHICAL AFFIDAVIT**

To the extent permitted by law, this affidavit will be kept confidential by the state insurance regulatory authority.

(Print or Type)

Full Name, Address and telephone number of the present or proposed entity under which this biographical statement is being required (Do Not Use Group Names).

### Sagicor Life Insurance Company 900 Congress Avenue Suite 300 Austin, TX 78701

In connection with the above-named entity, I herewith make representations and supply information about myself as hereinafter set forth. (Attach addendum or separate sheet if space hereon is insufficient to answer any question fully.) IF ANSWER IS "NO" OR "NONE," SO STATE.

1.	Affiant's Full Name (Initials Not Acceptable). KENDRICK ARTHUR MARSHALL						
2.	a. Are you a citizen of the United States? NO						
	b. Are you a citizen of any other country, if so, what country? YES, BARBADOS						
3.	Affiant's Occupation or Profession. RETIRED						
	Affiant's business address. NOT APPLICABLE						
	Business telephone.						
	Education and Tr						
College/	University	City/ State		Dates Attended (MM/Y	Y) Degree Obtained		
N/A							
Graduate	e Studies:	College/ University	City/ State	Dates Attended (MM/Y	Y) Degree Obtained		
N/A							
Other Tr	aining: Name	City/ State	Dates Attended	i (MM/YY)	Degree/Certification Obtained		
					E GENERAL CERTIFICATE		
<u>EDUCA</u> 1989, 19		RY LEVEL EXECUTIVE MA. LIMRA	E MANAGEMEN	IT, SALES MANAGEME	NT TRAINING 1974,1980		
(Note:	If affiant attended	d a foreign school, please de the foreign student Ide			of the college/university. If the Biographical Affidavit		

### Applicant Name (Company) Sagicor Life Insurance Company

NAIC No.60445 FEIN: 74-1915841

6.	List of members	of memberships in professional societies and associations.					
	Name of Society/Associat		Contact Name	Address of Society/Association	Telephone Number of Society/Association		
7. Present or proposed position w				IRECTOR			
8. List complete employment recincluding present jobs, position officerships). Please list the monecessary to provide telephone PLEASE SEE ATTACHED		at jobs, positions ease list the most vide telephone r	s, partnerships, owner of st recent first. Attach add	an entity, administrator, mana litional pages if the space prov	ger, operator, directorates or ided is insufficient. It is only		
Beginn Dates	ning/Ending (MM/YY)		_ Employer's Name				
Addres	SS	City		State/Province			
Country		Postal Code	Phone	Offices/Positions H	eld		
Superv	risor / Contact	W.					
Beginn Dates	ning/Ending (MM/YY)		_ Employer's Name				
Address		City		State/Province			
Country		Postal Code	Phone	Offices/Positions He	eld		
Superv	risor / Contact						
	ning/Ending (MM/YY)		_ Employer's Name				
Addres	ss		City	State/Province			
Country		Postal Code	Phone	Offices/Positions He	eld		
Superv	risor / Contact						
	ning/Ending (MM/YY)		_ Employer's Name				
Addres	SS		City	State/Province			
Country		Postal Code	Phone	Offices/Positions He	eld		
Superv	risor / Contact						

	IC No. 60445				
9. a. Have you ever been in a position which required a fidelity bond? NObond, give details.	IN: 74-1915841 If any claims were made on the				
b. Have you ever been denied an individual or position schedule fidelity bond, or If yes, give details. NO					
10. List any professional, occupational and vocational licenses (including licenses to sel or governmental licensing agency or regulatory authority or licensing authority that in the past. For any non-insurance regulatory issuer, identify and provide the name, a the licensing authority or regulatory body having jurisdiction over the license (slicense number is your Social Security Number (SSN) or embeds your SSN or a numbers that are reasonably identifiable as your SSN, then write SSN for that po number that is represented by your SSN. (For example, "SSN", "12-SSN-345" Attach additional pages if the space provided is insufficient	address and telephone number of s) issued If your professional any sequence of more than five ortion of the professional license				
LICENSE TO SELL LIFE AND HEALTH INSURANCE, ANNUITIES DEFERRED . FUNDS. SUPERVISOR INSURANCE BARBADOS	AND IMMEDIATE MUTUAL				
Organization/Issuer of License SUPERVISOR INSURANCE					
CityBRIDGETOWN State/Province Country BARBADOS	Postal Code				
License Type LIFE INSURANCE License Date Issued (MM/Y)	Y)1973				
Date Expired (MM/YY) Reason for Termination STILL ACTIVE					
Non-insurance Regulatory Phone Number (if known					
Organization /Issuer of License Address					
City State/Province Country	Postal Code				
License Type License # Date Issued (MM/Y)	Y)				
Date Expired (MM/YY) Reason for Termination					
Non-insurance Regulatory Phone Number (if known)					
11. In responding to the following, if the record has been sealed or expunged, and the at the record was sealed or expunged, an affiant may respond "no" to the question. Have					
a. Been refused an occupational, professional, or vocational license or permit by public administrative, or governmental licensing agency? NO					
b. Had any occupational, professional, or vocational license or permit you hold of judicial, administrative, regulatory, or disciplinary action?	Had any occupational, professional, or vocational license or permit you hold or have held, been subject to an				
<ul> <li>Been placed on probation or had a fine levied against you or your occupational, license or permit in any judicial, administrative, regulatory, or disciplinary action</li> <li>NO</li></ul>	on?				
d. Been charged with, or indicted for, any criminal offense(s) other than civil traff	ic offenses? NO				
<ul> <li>Pled guilty, or nolo contendere, or been convicted of, any criminal offense(s) ot NO</li></ul>					

	suspended, or been pardoned, fined, or placed on probation, for any criminal offense(s) other than civil traffic offenses? NO				
g.	Been subject to a cease and desist letter or order, or enjoined, either temporarily or permanently, in any judicial, administrative, regulatory, or disciplinary action, from violating any federal, state law or law of another country regulating the business of insurance, securities or banking, or from carrying out any particular practice or practices in the course of the business of insurance, securities or banking?  NO				
h.	Been, within the last ten (10) years, a party to any civil action involving dishonesty, breach of trust, or a financial dispute?				
i.	Had a finding made by the Comptroller of any state or the Federal Government that you have violated ar provisions of small loan laws, banking or trust company laws, or credit union laws, or that you have violated any rule or regulation lawfully made by the Comptroller of any state or the Federal Government? NO				
j.	Had a lien or foreclosure action filed against you or any entity while you were associated with that entity?				
	the response to any question above is answered "Yes", please provide details including dates, locations position, etc. Attach a copy of the complaint and filed adjudication or settlement as appropriate.				
tern pos pers	"control" (including the terms "controlling," "controlled by" and "under common control with") means the session, direct or indirect, of the power to direct or cause the direction of the management and policies of son, whether through the ownership of voting securities, by contract other than a commercial contract for goods.				
pos pers or r offi hole othe	"control" (including the terms "controlling," "controlled by" and "under common control with") means the session, direct or indirect, of the power to direct or cause the direction of the management and policies of a son, whether through the ownership of voting securities, by contract other than a commercial contract for good non-management services, or otherwise, unless the power is the result of an official position with or corporate ce held by the person. Control shall be presumed to exist if any person, directly or indirectly, owns, controls dis with the power to vote, or holds proxies representing, ten percent (10%) or more of the voting securities of any person.				
pos pers or r offi hole othe	"control" (including the terms "controlling," "controlled by" and "under common control with") means the session, direct or indirect, of the power to direct or cause the direction of the management and policies of son, whether through the ownership of voting securities, by contract other than a commercial contract for good non-management services, or otherwise, unless the power is the result of an official position with or corporate ce held by the person. Control shall be presumed to exist if any person, directly or indirectly, owns, controls dis with the power to vote, or holds proxies representing, ten percent (10%) or more of the voting securities of any				
pos pers or r offi hole othe NON					
term pos persor i offii hold other NON If a NO Do or or eguinet with cum	n "control" (including the terms "controlling," "controlled by" and "under common control with") means the session, direct or indirect, of the power to direct or cause the direction of the management and policies of a son, whether through the ownership of voting securities, by contract other than a commercial contract for goods non-management services, or otherwise, unless the power is the result of an official position with or corporate ce held by the person. Control shall be presumed to exist if any person, directly or indirectly, owns, controls dis with the power to vote, or holds proxies representing, ten percent (10%) or more of the voting securities of any person.  NE				

Applic	nt Name (Company) Sagicor Life Insurance Company  NAIC No. 60445 FEIN: 74-1915841					
14.	Have you ever been adjudged a bankrupt? NO If yes, provide details NOT APPLICABLE	_				
15.	To your knowledge has any company or entity for which you were an officer or director, trustee, investment committee member, key management employee or controlling stockholder, had any of the following events occur while you served in such capacity? If yes, please indicate and give details. When responding to questions (b) and (c) affiant should also include any events within twelve (12) months after his or her departure from the entity.					
	a. Been refused a permit, license, or certificate of authority by any regulatory authority, or Governmenta licensing agency?	ıl- _NC				
	b. Had its permit, license, or certificate of authority suspended, revoked, canceled, non-renewed, or subjected any judicial, administrative, regulatory, or disciplinary action (including rehabilitation, liquidation, receivershi conservatorship, federal bankruptcy proceeding, state insolvency, supervision or any other simil proceeding)?NO	p,				
	c. Been placed on probation or had a fine levied against it or against its permit, license, or certificate of authori in any civil, criminal, administrative, regulatory, or disciplinary action? NO					
	Note: If an affiant has any doubt about the accuracy of an answer, the question should be answered in the positive and an explanation provided.	/e				
	and signed this day of MAC 2012 at SAGICOR I hereby certify und of perjury that I am acting on my own behalf, and that the foregoing statements are true and correct to the best of n ge and belief.	er 1y				
<u> </u>	(Signature of Affiant)					
	Florida County of: Hillsbourgh					
	going instrument was acknowledged before me this/day of					
	who is personally known to me, or					
-	_who produced the following identification:					
	[SEAL]  ROBERTA L HONEYCUTT MY COMMISSION #EE149817 EXPIRES: DEC 22, 2015 Bonded through 1st State Insurance  ROBERTA L HONEYCUTT MY COMMISSION #EE149817 EXPIRES: DEC 22, 2015 Bonded through 1st State Insurance  My Commission Expires	<del>-</del>				

# BIOGRAPHICAL AFFIDAVIT Supplemental Personal Information

### (Print or Type)

To the extent permitted by law, this affidavit will be kept confidential by the state insurance regulatory authority.

Full Name, Address, and telephone number of the present or proposed entity under which this biographical statement is being required (Do Not Use Group Names).

Sagicor Life Insurance Company 900 Congress Avenue Suite 300 Austin, TX 78701

1.	Affiant's Full Name (	Initials Not Acceptab	le).KENDRICK ARTHUR MARSHALL			
			A Marient Culture Control of Control of the Control of the Control of Control			
2.			ing nickname, maiden name or aliases? NO If yes, give the reason full name(s) and date(s) used.			
	ning/Ending Used (MM/YY)	Name(s)	Reason (If None, indicate such)			
		8				
		A <del></del>				
		d				
-		A				
Note: be an o	Dates provided in responsible provided provided in responsible provided in res	ponse to this question	may be approximate. Parties using this form understand that there could			
3.	Affiant's Social Secu	rity Number				
4.	Government Identific	ation Number if not a	U.S. Citizen			
5.	Foreign Student ID# (if applicable)					
6.	Date of Birth: (MM/I	DD/YY)	Place of Birth: City BRIDGETOWN Country BARBADOS			
7			DITH MARY MARSHALL			

8. List your residences for the last ten (10) years starting with your current address, giving:

Beginning/Ending					
Dates			State/	-	B 0000 B 000 B 000
(MM/YY)	Address	City	Province	Country	Postal Code
DEC 2010-PRESENT	28	WORTHING	CHRIST CHURCH	BA	RBADOS
FEB 2007- DEC 2010			TAMPA	FL	USA
FEB 2004-JAN 2007	$\mathcal{N}$	ESTHOORINGS		TRINID	AD
JAN 1986-JAN 2004	#	WORTHING		BARBAI	DOS
understand that there c	ould be an overlap	of dates when transition	ning from one address	to another.	. Parties using this form  I hereby certify
under penalty of perju of my knowledge and	ry that I am acting o	on my own behalf, and	that the foregoing stat	ements are tr	rue and correct to the best
	Man III ignature of Affiant)				
State of Florida	Count	ty of Hillsbook	rgh		
The foregoing instrum  K MARShAU		ged before me this	day of MAK	20_/2	_ By
who is person	nally known to me,	or			
who produce	d the following iden	ntification:		_	
[SEAL]	MY E	OBERTA L HONEYCUTT COMMISSION #EE149817 EXPIRES: DEC 22, 2015 ed through 1st State Insurance			Notary Public  Honeycutt  Inted Notary Name  12/22/15  Commission Expires

## DISCLOSURE AND AUTHORIZATION CONCERNING BACKGROUND REPORTS (All states except California, Minnesota and Oklahoma)

This Disclosure and Authorization is provided to you in connection with pending or future application(s) of Sagicor Life Insurance Company ("Company") for licensure or a permit to organize ("Application") with a department of insurance in one or more states within the United States. Company desires to procure a consumer or investigative consumer report (or both) ("Background Reports") regarding your background for review by a department of insurance in any state where Company pursues an Application during the term of your functioning as, or seeking to function as, an officer, member of the board of directors or other management representative ("Affiant") of Company or of any business entities affiliated with Company ("Term of Affiliation") for which a Background Report is required by a department of insurance reviewing any Application. Background Reports requested pursuant to your authorization below may contain information bearing on your character, general reputation, personal characteristics, mode of living and credit standing. The purpose of such Background Reports will be to evaluate the Application and your background as it pertains thereto. To the extent required by law, the Background Reports procured under this Disclosure and Authorization will be maintained as confidential.

You may obtain copies of any Background Reports about you from the consumer reporting agency ("CRA") that produces them. You may also request more information about the nature and scope of such reports by submitting a written request to Company. To obtain contact information regarding CRA or to submit a written request for more information, contact Catherine Hauck, VP Human Resources, 4343 N. Scottsdale Road, Suite 300, Scottsdale, AZ 85251 480-425-5100.

Attached for your information is a "Summary of Your Rights Under the Fair Credit Reporting Act."

**AUTHORIZATION:** I am currently an Affiant of Company as defined above. I have read and understand the above Disclosure and by my signature below, I consent to the release of Background Reports to a department of insurance in any state where Company files or intends to file an Application, and to the Company, for purposes of investigating and reviewing such Application and my status as an Affiant. I authorize all third parties who are asked to provide information concerning me to cooperate fully by providing the requested information to CRA retained by Company for purposes of the foregoing Background Reports, except records that have been erased or expunged in accordance with law.

I understand that I may revoke this Authorization at any time by delivering a written revocation to Company and that Company will, in that event, forward such revocation promptly to any CRA that either prepared or is preparing Background Reports under this Disclosure and Authorization. This Authorization shall remain in full force and effect until the earlier of (i) the expiration of the Term of Affiliation, (ii) written revocation as described above, or (iii) twelve (12) months following the date of my signature below.

A true copy of this Disclosure and Authorization shall be valid and have the sar KENDRICK A MARSHALI (Printed Full Name and Residence Add	URCH, BARBADOS
State of Florida County of Hillsbourg K	3-12-12 (Date)
The foregoing instrument was acknowledged before me this, and, and, who is personally known to me, or	12 day of MAR 20 12 By
who produced the following identification:	Roberto L Honeyworth
ROBERTA L HONEYCUTT MY COMMISSION #EE149817 EXPIRES: DEC 22, 2015 Bonded through 1st State Insurance	Notary Public  Poberta Lifoneyevtt  Printed Notary Name  12/22/15  My Commission Expires

### DISCLOSURE AND AUTHORIZATION CONCERNING BACKGROUND REPORTS (Minnesota and Oklahoma)

This Disclosure and Authorization is provided to you in connection with pending or future application(s) of Sagicor Life Insurance Company ("Company") for licensure or a permit to organize ("Application") with a department of insurance in one or more states within the United States. Company desires to procure a consumer or investigative consumer report (or both) ("Background Reports") regarding your background for review by a department of insurance in any state where Company pursues an Application during the term of your functioning as, or seeking to function as, an officer, member of the board of directors or other management representative ("Affiant") of Company or of any business entities affiliated with Company ("Term of Affiliation") for which a Background Report is required by a department of insurance reviewing any Application. Background Reports requested pursuant to your authorization below may contain information bearing on your character, general reputation, personal characteristics, mode of living and credit standing. The purpose of such Background Reports will be to evaluate the Application and your background as it pertains thereto. To the extent required by law, the Background Reports procured under this Disclosure and Authorization will be maintained as confidential.

You may request more information about the nature and scope of Background Reports produced by any consumer reporting agency ("CRA") by submitting a written request to Company. You should submit any such written request for more information, to Catherine Hauck, VP Human Resources, 4343 N. Scottsdale Road, Suite 300, Scottsdale, AZ 85251 480-425-5100.

Attached for your information is a "Summary of Your Rights Under the Fair Credit Reporting Act." You will be provided with a copy of any Background Report procured by Company if you check the box below.

\_\_\_By checking this box, I request a copy of any Background Report from any CRA retained by Company, at no extra charge.

**AUTHORIZATION:** I am currently an Affiant of Company as defined above. I have read and understand the above Disclosure and by my signature below, I consent to the release of Background Reports to a department of insurance in any state where Company files or intends to file an Application, and to the Company, for purposes of investigating and reviewing such Application and my status as an Affiant. I authorize all third parties who are asked to provide information concerning me to cooperate fully by providing the requested information to CRA retained by Company for purposes of the foregoing Background Reports, except records that have been erased or expunged in accordance with law.

I understand that I may revoke this Authorization at any time by delivering a written revocation to Company and that Company will, in that event, forward such revocation promptly to any CRA that either prepared or is preparing Background Reports under this Disclosure and Authorization. This Authorization shall remain in full force and effect until the earlier of (i) the expiration of the Term of Affiliation, (ii) written revocation as described above, or (iii) twelve (12) months following the date of my signature below.

A true copy of this Disclosure and Authorization shall be valid and have the same force and effect as the signed original.

KENDRICK A MARSHALL

VORTHING, CHRIST CHURCH, BARBADOS

(Printed Full Name and Residence Address)

(Signature)

State of Forida County of Hills bourgh

The foregoing instrument was acknowledged before me this 12 day of MAR. 20/2 By

KMARSHALL

who is personally known to me, or

who produced the following identification:

[SEAL]

Robert A Honeyoutt

Printed Notary Public

Robert A Honeyoutt

Printed Notary Name

| Jaa |

DISCLOSURE AND AUTHORIZATION CONCERNING BACKGROUND REPORTS (California)

This Disclosure and Authorization is provided to you in connection with a pending application of Sagicor Life Insurance Company ("Company") for licensure or a permit to organize ("Application") with a department of insurance in one or more states within the United States. Company desires to procure a consumer or investigative consumer report (or both) ("Background Reports") regarding your background for review by any department of insurance in such states where Company is currently pursuing an Application, because you are either functioning as, or are seeking to function as, an officer, member of the board of directors or other management representative ("Affiant") of Company or of any business entities affiliated with Company ("Term of Affiliation") for which a Background Report is required by a department of insurance reviewing any Application. Background Reports will be obtained through Catherine Hauck, VP Human Resources, 4343 N. Scottsdale Road, Suite 300, Scottsdale, AZ 85251 480-425-5100 ("CRA"). Background Reports requested pursuant to your authorization below may contain information bearing on your character, general reputation, personal characteristics, mode of living and credit standing. The purpose of such Background Reports will be to evaluate the Application and your background as it pertains thereto. To the extent required by law, the Background Reports procured under this Disclosure and Authorization will be maintained as confidential.

You may request more information about the nature and scope of Background Reports produced by any consumer reporting agency ("CRA") by submitting a written request to Company. You should submit any such written request for more information, to Catherine Hauck, VP Human Resources, 4343 N. Scottsdale Road, Suite 300, Scottsdale, AZ 85251 480-425-5100.

Attached for your information is a "Summary of Your Rights Under the Fair Credit Reporting Act." You will be provided with a copy of any Background Report procured by Company if you check the box below.

By checking this box, I request a copy of any Background Report from any CRA retained by Company, at no extra charge.

Under section 1786.22 of the California Civil Code, you may view the file maintained on you by the CRA listed above. You may also obtain a copy of this file, upon submitting proper identification and paying the costs of duplication services, by appearing at the CRA in person or by mail; you may also receive a summary of the file by telephone. The CRA is required to have personnel available to explain your file to you and the CRA must explain to you any coded information appearing in your file. If you appear in person, you may be accompanied by one other person of your choosing, provided that person furnishes proper identification.

**AUTHORIZATION:** I am currently an Affiant of Company as defined above. I have read and understand the above Disclosure and by my signature below, I consent to the release of Background Reports to a department of insurance in any state where Company files or intends to file an Application, and to the Company, for purposes of investigating and reviewing such Application and my status as an Affiant. I authorize all third parties who are asked to provide information concerning me to cooperate fully by providing the requested information to CRA retained by Company for purposes of the foregoing Background Reports, except records that have been erased or expunged in accordance with law.

I understand that I may revoke this Authorization at any time by delivering a written revocation to Company and that Company will, in that event, forward such revocation promptly to any CRA that either prepared or is preparing Background Reports under this Disclosure and Authorization. In no event, however, will this authorization remain in effect beyond twelve (12) months following the date of my signature below.

A true copy of this Disclosure and Authorization shall be valid and have the same force and effect as the signed original. KENDRICK A MARSHELLI WORTHING, CHRIST CHURCH, BARBADOS (Printed Full Name and Residence Address) (Signature) County of The foregoing instrument was acknowledged before me this MARSHAIL \_, and who is personally known to me, or who produced the following identification: [SEAL] ROBERTA L HONEYCUTT MY COMMISSION #EE149817 Printed Notary Name **EXPIRES: DEC 22, 2015** Bonded through 1st State Insurance My Commission Expires

ATTACHED:			
Beginning/Ending Dates (MM/YY)10/07	Present	_ Employer's Name SAG	COR LIFE USA
Address 4010 BOY SCO	UT BLVD	City TAMPA	State/Province FL
DIRECTOR		3607 Phone 81328	71602 _ Offices/Positions Held PRESIDENT, CEO,
Beginning/Ending Dates (MM/YY)03/07 _	- Present	_ Employer's Name SAG	COR LIFE INSURANCE CO
Address 900 CONGRESS	S AVE	City AUSTIN	State/Province TX
Country USA DIRECTOR		8701 Phone	Offices/Positions Held PRESIDENT, CEO,
Supervisor / Contact DOI	DRIDGE MILL	ER	
Beginning/Ending Dates (MM/YY)03/07 _	12/10	_ Employer's Name LAU	REL LIFE INSURANCE CO
Address 900 CONGRESS	S AVE	City AUSTIN	State/Province TX
Country USA DIRECTOR		8701Phone	Offices/Positions Held PRESIDENT, CEO,
Supervisor / Contact DOI	DRIDGE MILLI	ER	
Beginning/Ending Dates (MM/YY)01/02	01/07	_ Employer's Name SAG	COR LIFE, INC
Address		City	State/Province
Country	Postal Code	Phone	Offices/Positions Held EXEC VICE PRESIDENT
Supervisor / Contact DOI	ORIDGE MILLI	ER AND PATRICIA DOV	VNES-GRANT
Beginning/Ending Dates (MM/YY)12/01	1968	_ Employer's Name BAR	BADOS MUTUAL LIFE
Address LOWER COLLY	YMORE ROCK	City ST MICHAEL	State/Province
Country BARBADOS PRESIDENT		Phone	Offices/Positions Held EXEC VICE
Supervisor / Contact ART	THUR BETHEL	L	