EXHIBIT 13

GREGG HENDERSON MATTER NO 12-0067 / 1029495

9-8-09 LETTER FROM ALLISON KRUESSEL TO VIC OVERHOLT WITH FILE AND OTHER DOCUMENTS (163 PAGES)



Investigative Services 525 Vine Street, 14th Floor Clocinnati, OH 45202

All Kruessel Security Specialist I Phone: (513) 333-5545 Fax: (513) 369-7705 akruessel@galc.com

September 8, 2009

Investigator Victor E. Overholt Investigations & Enforcement Consumer Protection Division Washington Office of Insurance Commissioner Seattle Office Central Building, Suite 650 810 Third Avenue Seattle, WA 98104

RE:	OIC Case File #:	1029495
	Consumer:	WA State Insurance Commissioner
	Companies:	American Financial Group (Great American Advisors)
		AVIVA (American Investors Life)
	Client:	Richard P. Falcone
	Agent:	Gregg A. Henderson

Dear Investigator Overholt

As requested, please find enclosed copies of the following:

- All documentation in our files for Mr. Richard P. Falcone
- A copy of my investigative file

Also as requested, Agent Gregg A. Henderson's positions and titles while appointed with Great American Financial Resources, Inc (parent company of Great American Life Insurance Company) are as follows:

The Annuity Source, LLC

Great American Insurance Companies • American Empire Group • Mid-Continent Group • Republic Indemnity Group

- Appointment effective 6/14/2006 to 1/9/2008
- Financial Independence Group
 - o Appointment effective 12/13/2006-4/5/2009
 - Reported to Christopher Geye
- Creative Marketing ("CMIC")
 - o Appointment effective 4/6/2009 to present

Agent Henderson's title during his appointment with GAFRI has been general agent.

Please let me know if any other information is needed.

Sincerely, ~

on M. prussel

Allison M. Kruessel Security Specialist I Investigative Services American Financial Group Great American Insurance Companies 525 Vine Street, 14 East Cincinnati, OH 45202 <u>akruessel@gaic.com</u> Office Phone: (513) 333-5545 Cell Phone: (513) 807-4056 Fax: (513) 369-7109

Great American Insurance Companies • American Empire Group • Mid-Continent Group • Republic Indomnity Group

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A9-007 Richard Falcone timeline

January 15, 2009: Richard Falcone, victim, met with Gregg Henderson, insurance sales person.

- Falcone did not recall signing transfer paperwork. Might have signed some forms but did not give anyone consent to impersonate him and effect trades on his GAA account.
- Henderson was later determined to be a GAFRI appointed agent.

January 16, 2009: GAA Trade Desk rec'd call from person reporting to be Falcone.

- Caller requested liquidation of all positions held in his GAA account.
- Trade confirmations were issued by Pershing to Falcone's address of record.

January 20, 2009: Faicone and GAA Registered Rep Bob Santocito rec'd trade confirmations.

- Contacted GAA to report that they had not entered the trade orders.
- Phone recording retrieved and Falcone stated that the individual who called in to place the trade orders was not him.
- Acknowledgement of False Information form sent by GAA to Falcone and Santocito, who completed and returned the form. Trade orders were canceled and Falcone's account was restored as if the trades had not occurred.

January 23, 2009: GAA rec'd account transfer paperwork from Aviva (American Investors Life Insurance Company).

- Aviva forms signed by Falcone 1/15/09, the same date he said he met with Henderson.
- Mihal suspects that the info reported on the forms may have been used by the person who contacted GAA to effect the liquidations in Falcone's GAA account.
- Mihal emailed Falcone to confirm that he did not want to proceed with the transfer instructions; Falcone replied and confirmed that he did not want to transfer his account/funds.

January 26, 2009 approx 10:15 am ESt: Mihal contacted Falcone to discuss information rec'd.

- Falcone has mild Alzheimer's and has intermittent memory loss.
- Recalled meeting with insurance sales person 1/15/09 but did not remember signing transfer paperwork.
- Stated that he did not want to transfer his account from GAA.
- Stated that he may have signed some forms during meeting on 1/15 but did not give anyone consent to impersonate him and effect trades in his account.

January 26, 2009 approx 11:20 am EST: GAA Trade Desk rec'd another call from someone reporting to be Falcone.

- Call transferred to Mihal.
- Caller id'd himself as Falcone and provided appropriate account number and said that he wanted to check on the liquidation of a bond position in the account.
- Mihal noticed caller's voice was different from that of Falcone's from conversation he had with him an hour earlier.

 Mihal reminded caller that they had an earlier conversation that day; caller seemed surprised and reported that he had memory loss due to alcoholism.

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- Caller asked Mihal to explain what was previously discussed.
- Mihal informed caller that he did not believe he was the real Mr. Falcone and that he (Mihal) had reason to believe he was an insurance agent that acquired Falcone's personal information and is using the information inappropriately to gain access to the account.
- Caller immediately terminated call with Mihal.

January 26, 2009 approx 11:30 am EST: Mihal called Falcone to report call rec'd a few minutes prior.

- Falcone stated that in the past 5 minutes the insurance agent he met with on 1/15/09 had tried to call him on his home and cell phones.
- Falcone let both calls go to voicemail.
- Falcone stated that he dld not make the 11:20 am phone call and that he wished to keep all of his positions intact in his GAA account.
- Falcone reported that the agent he met with on 1/15/09 was Greg Henderson (phone number 206-354-1321)

2/24/09: Phone Interview conducted with Gregg Henderson. Henderson denied knowing or ever hearing of Falcone. Said that if they "never did business then he was in one ear and out the other." Asked Henderson if he had an appointment book that would've reflected an appointment with Falcone, he said he did not have one. Told Henderson that we would have to do some more looking into the matter and ended the call.

2/24/09: Gave update to Mihal and told him that Henderson's voice sounded similar to that of the caller impersonating Falcone, but we could not be sure it was the same guy due to the fact that Henderson denied ever meeting with Falcone. Mihal said he would contact AVIVA to see if he could obtain a copy of the policy application that would have shown the agent that submitted it.

3/4/09: Contacted Mihal to see if he had been able to obtain Falcone's app from AVIVA. Mihal had been unable to, but mentioned that Falcone was trying to get a copy himself.

3/17/09: Spoke with Mihal, said that Falcone had thus far been unable to obtain a copy of the application

3/20/09: Attempted to contact ASIS AVIVA Canada person to obtain contact information for AVIVA USA. Left VM, which went unreturned.

3/27/09: Found AVIVA USA contact in ACFE directory, called and left VM explaining request.

4/3/09: Followed up with AVIVA USA contact, was directed to AVIVA Compliance Department (Debbie Rogers). Rogers took down my information that I was requesting, said she would forward it to a fraud investigator and get back to me.

4/8/09: Followed up with Chris Maske, AVIVA fraud investigator. Maske was unable to provide copies of the policy application, but was able to tell me that Falcone had an application pending with a policy note on 1/27/09 stating that the client wanted to cancel but that the agent might be able to get him to change his mind. Maske confirmed that the agent who sent in the application was Gregg Henderson.

4/15/09: Attempted to call Henderson at 206-354-1321. Phone went directly to voicemail. Left message requesting call back to discuss matter previously talked about involving Richard P. Falcone.

4/15/09: Attempted to reach Henderson at home number (425-836-2262). Left message requesting call back.

4/15/09: Re-ran NIPR on Henderson. Appears that his WA license is still active and still has several appointments.

4/16/09: Attempted to call Henderson again at 206-354-1321. Phone went to voicemail and left another message telling him it was in his best interest to return call and requested such ASAP.

 Called Henderson's house number again, spoke with Julie (according to Accurint, she is his wife). Gave her my name and number, told her I was with GAA and GAFRI and told her that Gregg needed to call me back ASAP. Told her I had left a message on his work number. Julie said he was "in the lower part of the state" today and might not have good reception there.

4/17/09: Spoke with TRM about next steps; recommended referral to WA DOI and that GAFRI terminate Henderson's appointment.

4/17/09: Henderson called shortly after I spoke with TRM. From the beginning of the call, Henderson was argumentative and denied doing business with Richard Falcone. Told Henderson we had information showing that he submitted a policy for Falcone to AVIVA, Henderson continued to deny knowing Falcone. Gave Henderson a brief review of the complaint made by Falcone and he continued to deny. Said that maybe one of his "staff" might have submitted the application, and that he would check around the office and get back to me. Asked how many "staff" he has, Henderson refused to tell me. Henderson asked if Falcone ever lost any money as a result of the actions, I informed him that he had not, that his account had been restored. Henderson expressed displeasure with the fact that I was continuing to call him about the matter if Falcone was not out any money. Told him I had a duty and obligation to follow up on the complaint, and that I was going to have to refer it to the DOI. Henderson asked for which state, and when I told him it would be Washington, he almost immediately became deceptively cooperative. He asked for the approximate date of the meeting he had, told him It was January, mid January. Henderson volunteered to check his "appointment book" to see if he could find anything. Stepped away from the phone for about a minute or two, then came back with the "appointment book." Said that he had an entry for "Dick Falcone," gave Falcone's address and then suddenly remembered exact specifics about Falcone. Alleged that he was a "raging alcoholic" for many years and cannot remember things. Also alleged that Falcone suffered from blackouts. Said that he recalled Falcone having stocks and money markets totaling \$140,000-\$150,000 and that he was a retired longshoreman in his late 60s or early 70s.

Henderson said that if I called Falcone again, that he would not remember any previous conversations due to the alcoholism-induced memory loss.

4/17/09: Spoke with Gaynor re: findings. Told him that due to the information obtained and the belief that the caller impersonating Falcone and Henderson were the same individual, we recommend terminating Henderson's appointment.

Gaynor said that Henderson had only written two Long Term Care policies (out of Austin) and two annuities during his appointment.

Gaynor also expressed interest in referring to law enforcement and WA Fraud Bureau - told him that I'd look into it but would be referring to DOI for now.

4/17/09: Closer examination of transfer paperwork received by GAA from AVIVA revealed that Falcone's signature as represented on the transfer form shows discrepancies from the Acknowledgement of False information form signed by Falcone and witnessed by his GAA Registered Rep Bob Santocito

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Page 1 of 1

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-	From:	Mihal, Shawn			
	Sent:	Tuesday, January 20,	2009 6:15 PM		
	To:	'dickfalcone@comcas	t.neť		
	Co:	bsentonocito@gaa.ne	əť		
	Subject:	Acknowledgment of F	alse Information		
	Attachmen	ts: faicone-nick 1-16-09.v	wav; Acknowledgment of False	Information.pdf	

Dear Mr. Falcone:

The Great American Advisors, Inc. ("GAA") Compliance Department has been informed that some person purporting to be you placed trades within your brokerage account held through GAA. In addition, it is our understating that you are requesting GAA to reverse the trades that occurred in your account as a result of this unauthorized activity. A copy of the telephone recording associated with these trades is attached to this email for review. Please carefully listen to the telephone recording. I have also included an Acknowledgment of False Information form with this email. Please carefully review this form and, if appropriate, please complete the form and return it to my attention at the address provided below. You may fax or email a copy to me as well; however, GAA will require the originally signed document for our files.

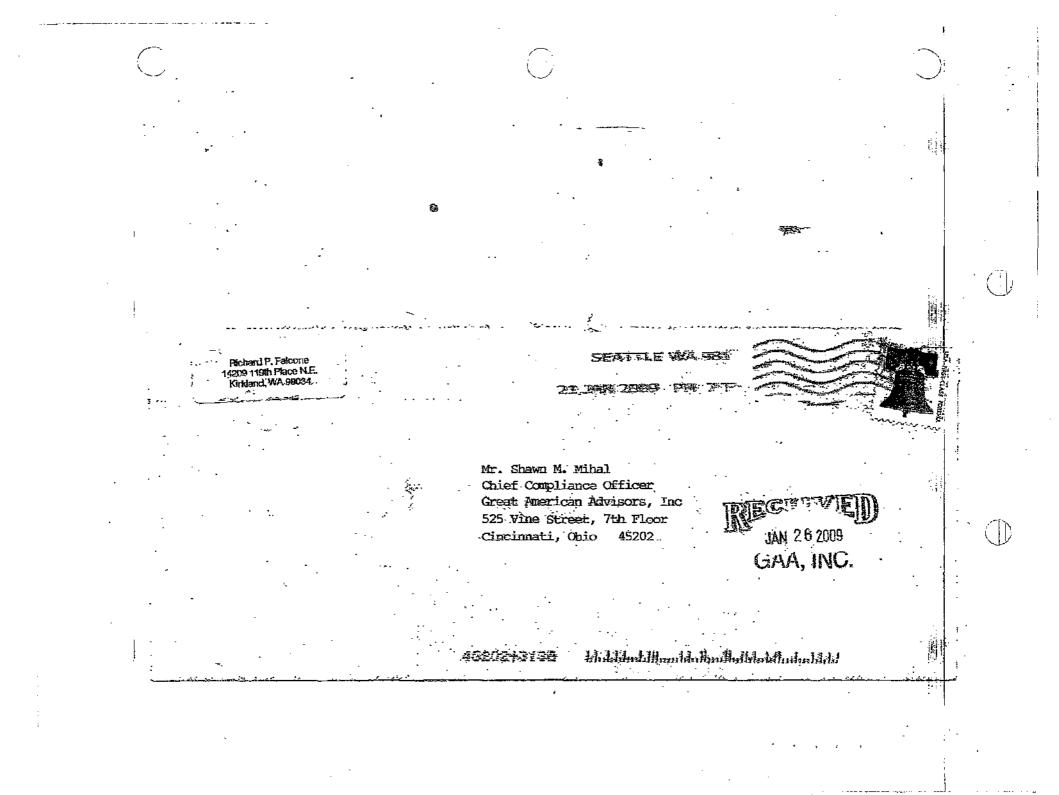
If you have any questions, please feel free to contact me.

Thanks, Shawn M. Mihal Chief Compliance Officer Great American Advisors, Inc. Member FINRA and SIPC An SEC Registered Investment Advisor 525 Vine Street, 7th Floor Cincinnati, OH 45202 (800) 216-3354 Extension 11531 Facsimile: (513) 412-5142 Email: smihal@gaadvisors.com

1/23/2009

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	GREATAMER ADVISORS,			Great American Advisors [®] , Inc 525 Vine Street, 7 th Floor Cincinnati, OH 45202 (800) 216-3354	t.
	Ack	nowledgment of	f False Informatio	n ("Acknowledgement")	
	I, <u>Richard Phi</u>	llip Falcone	_, residing at14209	119th Place N.E.	
	Kirkland, W	ashington 98034-1	104, hereby acknow	rledge and confirm that all of the following a	re true
	and correct to the bes	st of my knowledge and	belief:		
	Some person	purporting to be me pro	ovided verbal instructions v	ia telephone to Great American Advisors, In	IC,
	• • •	uidate my brokerage act	count,	(insert account number), on	
	January 1	6, 2009 (Insert	date) ("Trade Instrucțions"	").	-
	 I have been p 	rovided with a recording	g of the telephone call rece	ived by GAA providing Trade Instructions.	
	 I have listene 	d to the recording provid	led by GAA.		
~	The person(s)) providing the Trade Ins	structions to GAA was not i	me.	
<u></u>	 The person(s)) providing the Trade ins	structions to GAA did not h	ave my authority or consent to provide such	Trade
	instructions.			1	
	 I do not know 	the person(s) providing	Trade Instructions to GAA	Le Contraction of the second	
	 I request GAA 	to cancel the Trade Ins	structions and restore the p	positions previously held in my account ident	ified
	above.				
	i acknowledge that G/	VÁ may incur costs due	to the cancellation and cor	rection of the Trade Instructions. If the	
	information provided v	vithin this Acknowledgm	ent is untrue, GAA reserve	es the right to apply the cancellation and	
	correction costs to my	account identified abov	re.		
	Vent Signature	Felcaue	January 20, Date	, 2009	
		1	January 20,	, 2009	





DECENTED

JAN 26200

GEMPLANCE

TO: SHAWN M. MIHAL

CHIEF COMPLIANCE OFFICER GREAT AMERICAN ADVISORS

FM: RICHARD P. FALCONE

Acct: 4GG 008940

SUBJECT: ACKNOWLEDGMENT OF FALSE INFORMATION.

Two (2) Pages including this cover.

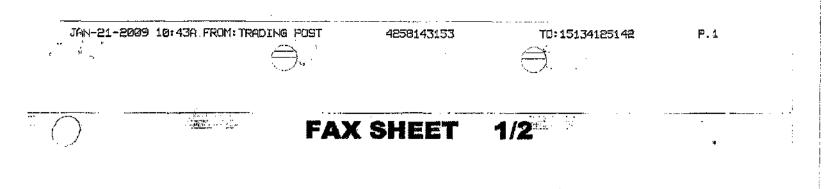
AN-2:	() 1-2009 10:439 FROM:TRAD-46 POST	4258143153		
5				
~~	~		Great American Advisors®, Inc.	
G	REATAMERICAN.		525 Vine Street, 7th Floor CinoInnati, OH 45202	
	ADVISOR8, INC.		(800) 216-3354	
	Acknowledgment of F	alse Information ("Acknowledgement")	
I, _	Richard Phillip Falcone	residing at <u>14209 11</u>	9th Place N.E.	
	Kirkland, Washington 98034-1104	4, hereby acknowled	ge and confirm that ell of the following are tr	De
an	d correct to the best of my knowledge and bell			
			elephone to Great American Advisors, Inc.	
	("GAA") to liquidate my brokerage accou January 16, 2009 (insert dat	nt,	(insert account number), on	
	· I have been provided with a recording of	the telephone call receive	d by GAA providing Trade Instructions,	
	I have listened to the recording provided	by GAA.		
	• The person(s) providing the Trade instru	ctions to GAA was not me	,	
	 The person(s) providing the Trade Instru- Instructions. 	ctions to GAA did not have	s my authority or consent to provide such Tra	de
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	above.	•		
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cot	rection costs to my account identified above.			
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TO: SHAWN M. MIHAL CHIEF COMPLIANCE OFFICER GREAT AMERICAN ADVISORS

FM: RICHARD P. FALCONE

Acct: 4GG 008940

SUBJECT: ACKNOWLEDGMENT OF FALSE

INFORMATION.

Two (2) Pages including this cover.

PAGE 1/2 * ROVD AT 1J21/2009 1:144:57 BM (Eastern Standard Time) * SVR:CVGFAX02/8 * DNIS:41.25142 * CSID:4258143153

* DURATION (mm-ss):01-00

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AFG INVESTIGATIVE SERVICES INITIA SSESSMENT

CASE #: A9-007	DATE OPENED: 1/29/2009 INVEST	GATOR: Tom McDaniel
CASE NAME: Richard P Falcone-victim		HORION. TOILMODAILLI
REFERRAVEDNINGRMANNONG	'	
COMPLAINANT: Bill Gaynor	COMPANY: GAFRI	TELEPHONE #:
FAX #:	RECEIVED BY: (investigator) Tom McDanie	el REF TYPE: Inside source
SIN RUDLETIN CLEDINAVIRSIN CAN'T LOADE		
CATEGORY: AGT		
OFFICE: Cincinnati	COMPANY: GREAT AMERICAN FINANCI RESOURCES INC.	AL DIVISION: CORPORATE SERV
AGT NAME: Richard Phillip Falcone	AGENCY NAME:	PRODUCER #
14209 119th Place 1	NE	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
AGENCY ADDRESS: Kirkland, WA 980		
BUSINESS VOLUME:	DATE APPOINTED	
CLAIM #:	POLICY NUMBER	
INCLUMENTING PARAMINED AS		
FRAUD TYPE: IMPERSONATION OF A	GENT EXPOSURE Unkno	wn
FORGERY		
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Run background check Issue investigative report Refer case to regulatory/law enforcement officials Interview witnesses Consult with corporate legal Obtain brokerage information Obtain tape recorded information from victim Obtain copy of victim's affidavit

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`	DATE: 1-21 TO: 60000	-09 H American		• • •	

Your Contract Number	
Our Contract Number	612718
Annuitant Name:	Richard Falcone
Joint Annuitant Name:	NA
Owner Name:	scime
Joint Owner Name:	11A

The owner has requested to have funds from your company transferred to American Investors Life Insurance Company to be deposited into an annuity contract. We agree to accept the proceeds on a tax-free basis. We acknowledge that the participant will establish the following type of account with American Investors Life and the transferred funds will be credited to this account:

[] Nonqualified Annuity (1035 Exchange)
[] Nonqualified Annuity (non-1035 Exchange)
[X] IRA
[] SEP IRA
[] ROTH IRA
[] Other Qualified Plan

Enclosed is a Request for Funds/Transfer form. Please process the request as indicated and forward the proceeds to us to be placed into the owner's account. Please make the check payable and send to:

American Investors Life Insurance Company FBO: Owner Name 555 South Kansas Avenue PO Box 2039 Topeka KS 66601-2039

Please contact our New Business Department at 888-ANNUITY (888-266-8489) if any additional documentation is needed, or if you have any questions. If this is a 1035 exchange, please provide the cost basis information to us.

Sincerely,

Dinger Longar

Ginger Dougan Senior Vice President AmerUs Annuity Group

Enclosure

Aviva Life and Annuity Company 555 South Kansas Avenue, Topeka, KS 66603-3444, Tel 800 255 2405, www.avivausa.com



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GREATAMERICAN

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Great American Advisors, Inc. Registered Broker/Brides, Member NARD, NPC 1.O. Ban 357. Cincinnal Off 45301-0857

Individual Retirement Account Statement

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Account Number: **ACCOUNTS** Statement Period: 12/01/2008 - 12/31/2008

Valuation at a Glance

·	This Period
Beginning Account Value	\$156,466.78
Cash Deposits	9.82
Dividends/Interest	555.86
Change in Account Value	905.77
Ending Account Value	\$157,939,23
Estimated Annual Income	\$2,236.81

Your Investment Professional:	
ROBERT C. SANTONOCITO	
(425) 830-6612	

IRA FBO RICHARD FALCONE PERSHING LLC AS CUSTODIAN 14209 119TH PLACE NE

KIRKLAND WA 98034-1104

		Yalue	Yalac	Percent		
	Asset Allocation	Last Paried	This Period	Allocation	 	·
ļ	Fixed Income BDHD	3,586.90	4,092.60	3%		Asset Allocation percentages are rounded to the nearest whole
	Mutual Funds	152,824.00	153,879,93	97%		percentage.
	Account Total (Pie Chart)	\$156,510.90	\$157,972.53	100%		Pie Chart allocation excludes all asset classes which not to a liability.
İ	Cash, Money Funds, and FDIC Deposits	44.12	-34.30			asset classes which that to a naturity,
	Account Total	\$156,466.78	\$157,938,23			



Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation Member FINRA. NYSE, SIPC

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<u></u>	Request For Eunds			
$\sum_{i=1}^{n}$	Marti American Advisors	lac	800-	216 3354
	Company You are Transferring From MANN - Inades Desk : 525 Vine	St - The	Phone Number	
Þ	Street Address of Company You are Transferring From		CANATO AND	State Zip
9 9	RICHARD F. FAICON P. Social Security Nymber	Name of Owner		er's Social Security Number
n. * 4		(R.H. vocount Type La., IRA, 403(b) or Neme of Fusid)	\$ 136,00 Approx. Amount of	<u></u>
	COMPLETE SECT			
	 Request for 1035 Exchange • Non- i hereby absolutely assign all of my right, title and and to the above referenced contract to Americar Life Insurance Company, Inc. Including, but not the right to surrender, assign, transfer, or beneficiary. Section 1035 of the Internal Revenue Code perm nontaxable exchanges of insurance and annuity is my intention that this transfer qualify as a Sec exchange and that no portion of this exchange b or constructively received by me. American inve insurance Company, Inc. makes no repre concerning my tax treatment for this transaction Lost Policy. I cannot locate the policy. 	interest in company investors freetment. limited to, may vary r change to execute the transfe if the com policies. It investors	has no responsibility .) understand the exact depending upon the date any additional docume er. I understand that the pany issuing the contra- alue of the above referen- tion to the above referen-	nor liability for my tax t amount of the proceeds te of transfer, and I agree ents required to complete exchange is not complete this utable of un willing to need contend in minipage (, Inc. within the minipage company 4 also contend to insurance decident, and complished as quickly as
)	Owner's Signature Date By signing below, American Investors Life Insurar By signing below, American Investors Life Insurar request immediate surrender of the above-referent The account to which the funds are being trans Qualified and Non-Qualified Plan The Date	need insurance contract. Isferred is a non-qualifi	r of the above reference ed annuity.	
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	Please liquidate certificate(s) of deposit: On the maturity date of:	Owner's	Signature	Date
	Upon receipt of this request and transfe annuity i have established through Ame		iture Guaranine may be requ	ired by transferring company.
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	Special Instructions to Transferring Compan Minimum Distribution, if any, for the current year			se process the Required
	Acceptance by American Investors Life By signing below, American Investors Life Insura			r described above for
	the Plan established on behalf of the above nan	ned individual, We accep	it appointment as succe	
	above account and request the liquidation and t	insterred is a non-qualifie		·]
	The account to which the funds are being tra	insterred is an IRA. Insterred is a Roth IRA.		
	Make Checks Payable To;	4:0		1-2109
	American Investors Life Insurance Company, Inc. FBO: Radia With I a land	By ()		Date
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IRA RESOURCE CHECKING AGREEMENT

5 K.

IRA Account Number:

122



Social Security Number or Tanyayer Identification Number

Account Informations

Your checks will contain your name and address as they appear on your account registrations. If you do not which to have your address appear on your checks, please chuck the "No Address" box below.

, No Address

If you would like on additional line of information added to your checks (our to exceed 32 characters, including spaces), please include it on the line below.

OTHER OPTIMAL INDOMATICS (POR INSTANCE, TRANSPORT ATMANE)

Prefored Starting Check Number:

(If last blank, your starting chock number will be 101.)

12 50

Signations

By signing, helow, you accept the terms of the sunchest IRA Researce Checking Agreement.

Date: New 14 2008

For The Introducing Financial Organization: The undersigned organization guarantees that the signature on this application is that of the account holder or is authorized by the eccount inder. 5-6 #at Amovilon 612022 INTRODUCERS FO HALL DEMANDER

SHATAMACIT 1306 NAME (PERMIT Dust DATE SHARING



PRCHIRAR

Attached to ppwk for verification of Signature only . IRA RESOURCE CHECKING AGREEMENT IRA Account Number: Social Security Number of Texpoyer Identification Number: 60000000 Account Informations Your shacks will contain your name and address as they appear an your account registration. If you do not with to have your eddress appear on your checks, please check the "Na Addireas" box below. J No Address If you would like an additional line of information added to your checks (not to exceed 32 characters, including space), please include Is on the line below. OTHER OPTICAL INFORMATION (PAR INSPACE, TRADUCTION DIRITION) Professed Storilog Clicck Number: (If icf blank, your starting check number will be 101.) 12'50 Signature By signing below, you accept the terms of the attached IRA Resonance Checking Agreement. Via2D Date: Nov 14, 2008. For The Incoducion Florencial Organization: The undersigned organization guarantees that the signature on this application is that of the account holder or is authorized by the personnt holder. ert. Hunsvillen farm ANDAS, ORUNNZATIO ς HTOW OC. DATE PRCHIBAR 490:50 80 62 APN t•d 09899664 199 494mO

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Mihal, Si	lawn ³	27. 		- 11	
From:	Mihal, Shawn				
Sent:	Friday, January 23, 20	09 4:26 PM			
To:	'dickfalcone@comcast	f.net'			
Cc:	bsantonocito@gaa.net	t			
Subject:	RE: Acknowledgment	of False Information			
Importanc	e: Hiah				

Page 1 of 2

Dear Mr. Falcone:

Pursuant to the signed Acknowledgment of False information form you faxed to me on January 21, 2009, 1 wanted to notify you that Great American Advisors, Inc. ("GAA") received account transfer paperwork today from Aviva (American Investors Life Insurance Company, Inc.). The Aviva forms appear to have been signed by you on January 15, 2009. I suspect that the information reported on these forms may have been used by the person who contacted GAA to effect the liquidations in your GAA account. In light of this information received by GAA, will you please provide the following information?

- 1. Please inform me if you wish to proceed with the instructions provided in the Aviva Request for Funds transfer paperwork. This would require GAA to liquidate your account and send the proceeds to Aviva.
- Please provide me with the name, contact information, etc. of the individual you worked with to complete the Aviva Request for Funds transfer paperwork.

If you have any questions, please feel free to contact me,

Thanks, Shawn M. Mihal Chief Compliance Officer Great American Advisors, Inc. Member FINRA and SIPC An SEC Registered Investment Advisor 525 Vine Street, 7th Floor Cincignati, OH 45202 (800) 216-3354 Extension 11531 Facsimile: (513) 412-5142 Email: smihal@gaadvisors.com

From: dickfalcone@comcast.net [mailto:dickfalcone@comcast.net] Sent: Tuesday, January 20, 2009 7:52 PM To: Mihal, Shawn Cc: bsantonocito@gaa.net Subject: Re: Acknowledgment of False Information

Mr Bob Santonocito and Mr Shawn M. Mihal Chief Compliance Officeer. I hereby advise you both that the voice on your tape was not that of Richard P Falcone.

I have downloaded your "Acknowledgement of False Information" and will forward it to your office immediatly.

I will send you this signed copy by Fax at my time Wednesday approx 10 AM. I do not own a Fax machine.

1/23/2009

11.

Thank you both in advance for your-gererous and kind attention to this matter. -

Richard P. Falcone

PS: If there is anything else you need please contact me at your earlist convenience.

----- Original Message -----From: "Shawn Mihai" <SMihai@gaadvisors.com> To: dickfalcone@comcast.net Cc: bsantonocito@gaa.net Sent: Tuesday, January 20, 2009 3:15:14 PM (GMT-0800) Auto-Detected Subject: Acknowledgment of False Information

Dear Mr. Falcone:

The Great American Advisors, Inc. ("GAA") Compilance Department has been informed that some person purporting to be you placed trades within your brokerage account held through GAA. In addition, it is our understating that you are requesting GAA to reverse the trades that occurred in your account as a result of this unauthorized activity. A copy of the telephone recording associated with these trades is attached to this email for review. Please carefully listen to the telephone recording. I have also included an Acknowledgment of False Information form with this email. Please carefully review this form and, if appropriate, please complete the form and return it to my attention at the address provided below. You may fax or email a copy to me as well; however, GAA will require the originally signed document for our files.

If you have any questions, please feel free to contact me.

Thanks,

Shawn M. Mihal Chief Compliance Officer Great American Advisors, Inc. Member MNRA and SIPC An SEC Registered Invostment Advisor 525 Vine Street, 7th Floor Cincinnati, OH 45202 (800) 216-3354 Extension 11531 Facsimile: (513) 412-5142 Email: smfhal@gaadvisors.com

1/23/2009

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McI)anie	l, Tom	
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From:

To: Cc: Subject: Shawn Mihal/CINCINNATI/AAG@AAG@AFG Friday, January 30, 2009 4:09 PM McDaniel, Tom Gaynor, William FW: Suspected Fraud

Hi Tom:

Per a discussion I had with Bill Gaynor, wav files containing the conversations with Dick Falcone are attached. The outgoing calls from me to Mr. Falcone on 1/26/09 are believed to be the actual client. The other incoming calls on 1/16/09 and 1/26/09 are believed to be the person impersonating Mr. Falcone. If you have any questions, please feel free to contact me.

Thanks, Shawn M. Mihal Chief Compliance Officer Great American Advisors, Inc. Member FINRA and SIPC An SEC Registered Investment Advisor (800) 216-3354 Extension 11531 Facsimile: (513) 412-5142 Email: smihal@gaadvisors.com <BLOCKED::mailto:smihal@gaadvisors.com>

From: Mihal, Shawn Sent: Monday, January 26, 2009 5:36 PM To: Gaynor, Bill Subject: Suspected Fraud

Bill:

Per our conversation, GAA has reason to believe that an account held with Pershing through GAA has been the subject of attempted fraud. The account (Control is registered to Richard (Dick) Falcone. On January 16, 2009, the GAA Trade Desk received a call from a person reporting to be Mr. Falcone. This person requested liquidation of all of the positions held in the aforementioned account. The person had all appropriate personal information (name, account number, last four SSN digits, etc.) to effect the trades. As such, GAA followed the instructions. Trade confirmations were issued by Pershing to Mr. Falcone's address of record. Upon receipt of the trade confirmations on January 20, 2009 Mr. Falcone and his GAA Registered Representative, Bob Santonocito, contacted GAA to report that they had not entered the trade orders. GAA pulled the telephone recording to verify that the trades were placed by the client within the appropriate account. Mr. Falcone was provided with an opportunity to review the recorded telephone call. Subsequently, Mr. Falcone reported that the person placing the trade orders was not him. GAA promptly sent an Acknowledgment of False.

Information form to Mr. Falcone and the Santonocito for review and execution (sub-deched). Upon receipt of the completed Acknowledgment of False Information form, GAA canceled the trade orders placed on January 16, 2009 and restored the account as if the trades had not occurred.

On January 23, 2009, GAA received account transfer paperwork from Aviva (American Investors Life Insurance Company, Inc.). The Aviva forms were signed by Mr. Falcone on January 15, 2009. I suspect that the information reported on these forms may have been used by the person who contacted GAA to effect the liquidations in Mr. Falcone's GAA account. Based on the information reported on the Acknowledgment of False Information form, I emailed Mr. Falcone on January 23, 2009 to confirm that he did not want to proceed with the transfer instructions. Mr. Falcone responded to my email and confirmed that he did not want to transfer his account/funds.

On January 26, 2009 at approximately 10:15 a.m. EST, I contacted Mr. Falcone on his home telephone number and discussed the information we received. Mr. Falcone reported that at the age of 70 he has some intermittent memory loss (mild Alzheimer's). He stated that he met with an insurance sales person on January 15, 2009, but he did not remember signing transfer paperwork. He stated that he does not want to transfer his account from GAA. He also stated that while he may have signed some forms on January 15, 2009, he did not give anyone consent to impersonate him and effect trades in his account.

On January 26, 2009 at approximately 11:20 a.m. EST, GAA's Trade Desk received another call from someone reporting to be Mr. Falcone. The call was transferred to me. The caller identified himself as Dick Falcone and provided Mr. Falcone's account number and that he wanted to check on the liquidation of a bond position in the account. The voice was distinctly different than that of Mr. Falcone's from our conversation an hour earlier that same day. When I reminded the caller that he and I had a conversation an hour earlier he seemed surprised and then reported that "he was previously an alcoholic and he often forgets things". The caller then asked me to explain what we talked about previously. I hformed that caller that I did not believe that he is Mr. Falcone and that I had reason to believe that he is an insurance agent that acquired Mr. Falcone's personal information and is using the information inappropriately to gain access to the account held through GAA. The caller immediately disconnected the call with me.

On January 26, 2009 at approximately 11:30 a.m. EST, I called Mr. Falcone to report the call I had received a few minutes earlier. Mr. Falcone stated that within the past five minutes the insurance agent he met with on January 15, 2009 had tried to call him on his home and cell phones. Mr. Falcone said he let the calls go to voicemail. Mr. Falcone also stated that he did not make the 11:20 a.m. call and that he wishes to keep all of his positions intact in his GAA account. Mr. Falcone further reported the insurance agent he worked with on January 15, 2009 to be Greg Henderson (telephone number 206-354-1321).

Please forward this on to the appropriate department to initiate a review of the suspected fraud. If you need any further information, please feel free to contact me.

Thanks, Shawn M. Mihal Chief Compliance Officer Great American Advisors, Inc. Member FINRA and SIPC In SEC Registered Investment Advisor (800) 216-3354 Extension 11531 Facsimile: (513) 412-5142

Andreas de

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On January 28: 2009 IGAA received account transfer paperwork from Aviva (American Investors Life Insurance Company, Inc.). The Aviva forms were signed by Mr. Falcone on January 2009. I suspect that the information reported on these forms may have been used by the person who contacted GAA to effect the liquidations in Mr. Falcone's GAA account. Based on the information reported on the Acknowledgment of False Information form, I emailed Mr. Falcone on January 2009 to confirm that he did not want to proceed with the transfer instructions. Mr. Falcone responded to my email and confirmed that he did not want to transfer his account/junds.

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Please forward this on to the appropriate department to initiate a review of the suspected fraud. If you need any further information, please feel free to contact me.

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Thanks, Shawn M. Mihal Chief Compliance Officer Great American Advisors, Inc. Member FINRA and SIPC An SEC Registered Investment Advisor (800) 216-3354 Extension 11531 Facsimile: (513) 412-5142

Email: smihal@gaadvisors.com <BLOCKED::mailto:smihal@gaadvisors.com>

McDaniel, Tom

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/o:		
Cc:		
Subje	et:	

Bill Gayner/CINCINNATI/AAG@AAG@AFG Thursday, January 29, 2009 2:32 PM McDaniel, Tom Mihal, Shawn FW: Suspected Fraud

Tom: Per our discussion, Let me know if you need anything else.

The information in this e-mail is legally privileged and confidential information intended for the use of the addressee(s) above only. If the reader of this message is not the intended recipient, you are hereby notified that you should not further disseminate, distribute, or forward this e-mail message. If you recieved this e-mail in error, please notify the sender as soon as possible and delete it from any device/media where it is stored. Thank you.

From: Mihal, Shawn Sent: Monday, January 26, 2009 5:36 PM To: Gaynor, Bill Subject: Suspected Fraud

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Email: <u>smihal@gaadvisors.com <BLOCKED::mailto:sm</u>



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<u>Glossary of terms</u>

Company Apr	ointment	Agen	cy Affiliation
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City: SAMMAMIS WAOIC Num: 193414		State: W <u>Expiration Date:</u> 4	/28/2010

Eff. Data

Exp. Date

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Lines

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Name

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Phone: 816-783-8468 - 8 a.m. to 5 p.m. Central time, Monday-Friday Email: customerservice@nipr.com

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- Additional branch location addresses of a business entity licensee within a state.
- Multiple DBAs for a business entity.

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- Individual includes: An agent, broker, independent and public adjuster, general agent, solicitor, viatical settlement broker, or surplus line broker, associated appointments and affiliations are also listed.
- Business entity includes: Sole proprietor, general partnership, limited partnership, limited liability partnership,

corporation and limited liability company licensed as an agent, broker, independent and public adjuster,

general agent, title agent, viatical settlement broker, or surplus line broker. Associated appointments and affiliations are also listed,

Insurer includes: Authorized Insurance companies in the state of Washington and a listing of their appointees.

Questions?

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Phone: 360-725-7144 - 8 a.m. to 5 p.m. Pacific time, Monday-Friday Email: Licinfo@oic.wa.gov

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Licensee and insurer lookup

Verify an insurance professional's license.

Search by: Individual, Business entity, or Insurer (See Definitions below).

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	75 AMERICAN FAMILY LIFE ASS COMPANY OF COLUMBUS	URANCE AG L D 4/29/2005 10/2/2009 5/2/2008
	81 AMERICAN GENERAL LIFE IN COMPANY	SURANCE AG D1 7/25/2008 8/1/2009
	92 AMERICAN INVESTORS LIFE COMPANY INC	INSURANCE AG DL 7/31/2007 9/6/2010
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	565 GUARDIAN INSURANCE AND COMPANY INC THE	ANNUITY AG L 10/4/2007 9/1/2010
	566 <u>QUARDIAN LIFE INSURANCE</u> OF AMERICA THE	AG D1. 10/4/200/ 4/1/2010
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		Date of Incorporation Expiration Date	01/01/2007 01/31/2010		
		Dissolution Date	01/31/2010		• .
		Registered Agent Inf Agent Name	ormation GREGG HENDERSON		
		Address City	20900 NE 42ND ST SAMMAMISH		
		State ZIP	WA 96074		
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RETIREMENT PROTECTION SERVICES, LLC.

UBi Number	602679224	
Category	ITC	
Profit/Nonprofit	Profit	
Active/inactive	Active	
State Of Incorporation	WA	
Date of incorporation	01/01/2007	
Expiration Date	01/31/2010	
Dissolution Date		
Registered Agent Information	•	
Agent Name	GREGG HENDERSON	
Address	20900 NE 42ND ST	
City	SAMMAMISH	
State	WA	
ZiP	98074	
Special Address Information		
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Address 20900 NE 42ND ST

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SAMMAMISH SOURCING INC.

UBI Number	602186862
Category	REG
Profit/Nonprofit	Profit
Active/Inactive	Inactive
State Of Incorporation	WA
Date of incorporation	03/04/2002
Expiration Date	03/31/2003
Dissolution Date	10/21/2002
Registered Agent Information	•
Agent Name	GREGG HENDERSON
Address	20900 NE 42ND ST
City	SAMMAMISH
State	WA
710	
ZIP	98074
ZIP Special Address Information	98074
	98074
Special Address Information	98074

Zip

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	PRINT THIS PAGE	More Programs			
	Search Results				« Search Again
	·	SAMMAMISH SOUR	CING INC		
	Viewing 1 - 2 of 2 results	UBI Number Category	802186862 REG		
	RETIREMENT PROTECTION SERVICES. LLC.	Profit/Nonprofit Active/Inactive	Profit Inactiv e		
		State of Incorporation Date of Incorporation	WA 03/04/2002		
	·	Expiration Date Dissolution Date	03/31/2003 10/21/2002		
,		Registered Agent In		~ <u>-</u> _	
		Agent Name Address	GREGG HEN 20900 NE 42		j
		City	SAMMAMIS		
		State	WA		
		ZР	98074		
		Special Address info	ormation		}
11		Address			ĺ
		City			
		State Zip			
		View Additional Infon	mation_»	· .	<u>(ch Agaln</u>
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Phone Numbers | Privacy Policy | Accessibility

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Name Search Results

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There are 6 names that match your search criteria.

Name / Participant	Court	Case Number	File/Vioiation Date
1 Henderson, Gregg A Defendant	King Co Superior	81-8-03276-3	08-10-1981
2 Henderson, Gregg A Defendant	King Co Superlor Ct	02-2-06283-1	03-07-2002
3 Henderson, Gregg Alan Defendant	King County District	IS0181973	08-01-2006
4 Henderson, Gregg Alan Defendant	King County District	104665055	03-20-2006
5 Henderson, Gregg Alan Defendant	King County District	I 046 46461	01-24-2006
6 Henderson, Gregg Alan Defendant	King County District	IR0098121	10-02-2006

About Lists of Names

and the second
These are the names that the search tool found from the information you entered.

Disclaimer

This information is provided for use as reference material and is <u>not</u> the official court record. The official court record is maintained by the court of record. Copies of case file documents are not available at this wabsite and will need to be ordered from the court of record.

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Please consult official case records from the **court of record** to verify all provided information.

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Your DPPA Permissible Use: Insurance Your GLBA Permissible Use: Fraud Prevention or Detection

Comprehensive Business Report Date: 01/30/09

Company Information:

Company Name: ALTERNATIVE DENTAL SOLUTIONS, LLC Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955

Name Variations:

Company Name: ALTERNATIVE DENTAL SOLUTIONS, LLC

Address Variations:

Address: 111 QUEEN ANNE AVE 500, SEATTLE WA 98109 County: KING Msa Description: Seattle-Bellevue-Everett, WA

Phone Variations:

Phone: 206-387-4777 Phone: 999-999-9999

Comprehensive Business Report Summary: (Click on Link to see detail)

Industry Information: 2 Found Company ID Numbers: 1 Found Corporation Filings: 1 Found **Registered Agents:** 2 Found **Business Registration:** 2 Found UCC Filings for Business: None Found Associated Businesses: 48 Found **Business Contacts:** 1 Found Motor Vehicles: None Found Properties: None Found FAA Aircrafts: None Found Watercrafts: None Found Internet Domain Names Registered to Business: None Found Dun & Bradstreet: 1 Found Executives: None Found

Business Filings:

Industry Information:

1/30/2009

22

SIC Code: 6361 SIC Description: TITLE INSURANCE

SIC Code: 8021 SIC Description: OFFICES AND CLINICS OF DENTISTS

Company ID Numbers:

State of Origin: WA Charter Number: 602 599 817

State of Origin: WA Charter Number: 602599817

Corporation Filings:

Corporation Filings #1

ALTERNATIVE DENTAL SOLUTIONS, LLC - As of: 01/06/2009 Name Type: LEGAL Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955 Address Type: MAILING Status: INACTIVE Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date Incorporated: 03/30/2006 Term: PERPETUAL Registered Agent: GEORGE S HOLZAPFEL Registered Agent Address: 601 UNION ST STE 2500, SEATTLE WA 98101-2302 Place Incorporation: WA

ALTERNATIVE DENTAL SOLUTIONS, LLC - As of: 12/03/2006 Name Type: LEGAL Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955 Address Type: MAILING Status: INACTIVE Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date Incorporated: 03/30/2006 Registered Agent: GEORGE S HOLZAPFEL Registered Agent Address: 601 UNION ST STE 2600, SEATTLE WA 98101-2302 Place Incorporation: WA

ALTERNATIVE DENTAL SOLUTIONS, LLC - As of: 11/03/2006 Name Type: LEGAL Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955 Address Type: MAILING Status: INACTIVE Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date Incorporated: 03/30/2006 Registered Agent: GEORGE S HOLZAPFEL Registered Agent: Address: 601 UNION ST STE 2000, SEATTLE WA 98101-2302 Place Incorporation: WA

ALTERNATIVE DENTAL SOLUTIONS, LLC - As of: 10/03/2008 Name Type: LEGAL Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955 Address Type: MAILING Status: INACTIVE Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date Incorporated: 03/30/2008 Registered Agent: GEORGE S HOLZAPFEL Registered Agent Address: 601 UNION ST STE 2600, SEATTLE WA 98101-2302 Place Incorporation: WA

ALTERNATIVE DENTAL SOLUTIONS, LLC - As of: 09/03/2008 Name Type: LEGAL Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955 Address Type: MAILING Status: INACTIVE Susiness Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date Incorporated; 03/30/2006

Page 3 of 7

Registered Agent: GEORGE S HOLZAPFEL Registered Agent Address: 601 UNION ST STE 2600, SEATTLE WA 98101-2302 Place Incorporation: WA was ALTERNATIVE DENTAL SOLUTIONS, LLC - As of: 08/04/2008 Name Type: LEGAL Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955 Address Type: Mailing Status: INACTIVE Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date Incorporated: 03/30/2006 Registered Agent: GEORGE S HOLZAPFEL Registered Agent Address: 601 UNION ST STE 2600, SEATTLE WA 98101-2302 Place Incorporation: WA ALTERNATIVE DENTAL SOLUTIONS, LLC - As of: 07/03/2008 Name Type: LEGAL Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955 Address Type: MAILING Status: INACTIVE Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date incorporated: 03/30/2006 Registered Agent: GEORGE S HOLZAPFEL Registered Agent Address: 601 UNION ST STE 2600, SEATTLE WA 98101-2302 Place Incorporation: WA ALTERNATIVE DENTAL SOLUTIONS, LLC - As of: 06/03/2008 Name Type: LEGAL Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955 Address Type: MAILING Status: INACTIVE Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date incorporated: 03/30/2006 Registered Agent: GEORGE S HOLZAPFEL Registered Agent Address: 601 UNION ST STE 2600, SEATTLE WA 98101-2302 Place Incorporation: WA ALTERNATIVE DENTAL SOLUTIONS, LLC - As of: 05/06/2008 Name Type: LEGAL Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955 Address Type: MAILING Status: INACTIVE Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date Incorporated: 03/30/2006 Registered Agent: GEORGE S HOLZAPFEL Registered Agent Address: 601 UNION ST STE 2800, SEATTLE WA 98101-2302 Place Incorporation: WA ALTERNATIVE DENTAL SOLUTIONS, LLC - As of: 04/09/2008 Name Type: LEGAL Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955 Address Type: Mailing Status: ACTIVE Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date Incorporated: 03/30/2006 Registered Agent: GEORGE S HOLZAPFEL Registered Agent Address: 601 UNION ST STE 2600, SEATTLE WA 96101-2302 Place Incorporation: WA ALTERNATIVE DENTAL SOLUTIONS, LLC - As of: 02/07/2008 Name Type: LEGAL Status: ACTIVE Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date Incorporated: 03/30/2006 Registered Agent: GEORGE S HOLZAPFEL Registered Agent Address: 601 UNION ST STE 2600, SEATTLE WA 98101-2302 Place Incorporation: WA ALTERNATIVE DENTAL SOLUTIONS, LLC - As of: 09/08/2007 Name Type: LEGAL

Page 4 of 7

Status: ACTIVE

Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date Incorporated: 03/30/2006 Registered Agent: GEORGE S HOLZAPFEL Registered Agent Address: 601 UNION ST STE 2600, SEATTLE WA 98101-2302 Piace Incorporation: WA

ALTERNATIVE DENTAL SOLUTIONS, LLC - As of: 06/08/2007 Status: ACTIVE AND IN GOOD STANDING Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date Incorporated: 03/30/2006 Registered Agent: GEORGE S HOLZAPFEL Registered Agent Address: 601 UNION ST STE 2600, SEATTLE WA 96101-2302 Place Incorporation: WA

Annual Report Filings:

Events: Date: 03/31/2008 Event: LICENSE EXPIRATION Reference Number: 602599817

Registered Agents:

Name: GEORGE S HOLZAPFEL Date Last Seen: 7/3/2008

Name: GEORGE S HOLZAPFEL Address: 601 UNION ST STE 2600, SEATTLE WA 98101-2302 Date Last Seen: 8/8/2007

Business Registration:

Name: ALTERNATIVE DENTAL SOLUTIONS LLC Mailing Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4965 Filing Number: 602599817 Status: Active Company Phone: 2063874777 Corporation Code: Business License Expiration Date: 4/30/2008

Name: ALTERNATIVE DENTAL SOLUTIONS LLC Mailing Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955 Filing Number: 602599817 State of Registration; WA Status: Aolive Corporation Code: SOS Expiration Date: 3/30/2007

UCC Filings for Business:

[None Found]

Associated Businesses:

Name: ALTERNATIVE DENTAL SOLUTIONS LLC Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955

Name: AXELSON & ASSOCIATES, INC. Address: 111 QUEEN ANNE AVE N STE 507, SEATTLE WA 98109-4955

Name: BENCHMARK SYSTEMS INC Address: 111 QUEEN ANNE AVE N, SEATTLE WA 98109-4955

Name: BENNETT PROPERTY INC Address: 111 QUEEN ANNE AVE N STE 207, SEATTLE WA 98109-4955

Name: BROWNS POINT JOINT VENTÜRE Address: 111 QUEEN ANNE AVE N STE 201, SEATTLE WA 9B109-4955

Jana.

Name: CECS CORPORATION Address: 111 QUEEN ANNE AVE N, SEATTLE WA 98109-4955

Name: CHIYODA INTERNATIONAL CORPORATION Address: 111 QUEEN ANNE AVE N STE 300, SEATTLE WA 98109-4955

Name: DEBT RECOVERY MANAGEMENTING Address: SEATTLE, WA

Name: DURHAM BATES AGENCIES INC Address: 111 QUEEN ANNE AVE N STE 501, SEATTLE WA 98109-4955

Name: ELLIOTT FINANCIAL SERVICES Address: 111 QUEEN ANNE AVE N STE 509, SEATTLE WA 98109-4955

Name: EVANS MCDONOUGH CO Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955

Name: EVERGREEN RESEARCH GROUP Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4965

Name: GIK FOUNDATION Address: 111 QUEEN ANNE AVE N STE 501, SEATTLE WA 98109-4955

Name: GIK FOUNDATION INC. A/K/A GIK FOUNDATION Address: 111 QUEEN ANNE AVE N STE 501, SEATTLE WA 98109-4955

Name: GOD IS KING (GIK) FOUNDATION INC Address: 111 QUEEN ANNE AVE W SUITE 501, SEATTLE WA 98109

Name: GOLDEN AGE FISHERIES Address: 111 QUEEN ANNE AVE N STE 201, SEATTLE WA 98109-4955

Name: HARBOR VILLAGE GIG HARBOR LIMITED PARTNERSHIP Address: 111 QUEEN ANNE AVE N STE 20, SEATTLE WA 98109-4955

Name: HSC OREGON, INC. Address: 111 QUEEN ANNE AVE N STE 207, SEATTLE WA 98109-4955

Name: HSC REAL ESTATE Address: 111 QUEEN ANNE AVE N STE 207, SEATTLE WA 98109-4955

Name: HUNTER RUN APARTMENTS Address: SEATTLE, WA

Name: IVAR GARY NELSON Address: 111 QUEEN ANNE AVE N STE 207, SEATTLE WA 98109-4955

Name: J CIG CORP Address: 111 QUEEN ANNE AVE N STE 102, SEATTLE WA 98109-4955

Name: KEENE CO PS CPA Address: 111 QUEEN ANNE AVE N STE 503, SEATTLE WA 98109-4955

Name: KURT FEICHTMEIR Address: 111 QUEEN ANNE AVE N STE 100%, SEATTLE WA 98109-4965

Name: M & H INVESTMENTS II (PARTSHP) Address: 111 QUEEN ANNE AVE N STE 207%, SEATTLE WA 98109-4955

Name: MERCURY GROUP Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955

Name: NORTHWEST STRATEGIES INC Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955

Name: ORCHARD POINTE APARTMENTS NW LLC Address: 111 QUEEN ANNE AVE N STE 507, SEATTLE WA 98109-4955

Name: PALMS BTC CA PARTSHP Address: 111 QUEEN ANNE AVE N STE 207%, SEATTLE WA 98109-4955

Name: PALMS BTC, A CALIFORNIA LIMITED PARTNERSHIP Address: 111 QUEEN ANNE AVE N STE 207, SEATTLE WA 98109-4955

Name: PEASLEY CANYON LLC

Page 6 of 7

<u>. 1975</u>

Address: 111 QUEEN ANNE AVE N STE 20, SEATTLE WA 98109-4955

Name: POST ALLEY COURT APARTMENTS NW LLC Address: 111 QUEEN ANNE AVE N STE 207, SEATTLE WA 98109-4955

Name: RACHAEL BALYEAT TE PT Address: 111 QUEEN ANNE AVE N STE 400, SEATTLE WA 98109-4955

Name: REBECCA IRENE JOINT VENTURE Address: 111 QUEEN ANNE AVE N STE 201, SEATTLE WA 98109-4955

Name: REVERSE NOW II LLC Address: 111 QUEEN ANNE AVE N STE 507, SEATTLE WA 98109-4955

Name: RIVER VISTA HEIGHTS L.P. Address: 111 QUEEN ANNE DR STE 207, SEATTLE WA 98109

Name: SALEM SUNRISE ASSOCIATES Address: 111 QUEEN ANNE AVE N STE 2070, SEATTLE WA 98109-4955

Name: SIMONSON ENTERPRISES 2, INC Address: 111 QUEEN ANNE AVE N STE 201, SEATTLE WA 98109-4955

Name: SOUTHAMPTON MANAGEMENT LLC Address: 111 QUEEN ANNE AVE N STE 207%, SEATTLE WA 98109-4955

Name: SOUTHPOINTE APARTMENTS LLC Address: 111 QUEEN ANNE AVE N STE 207, SEATTLE WA 98109-4955

Name: SOUTHWOOD APTS. INVESTORS LLC, A WASHINGTON LIMITED LIABILITY COMPANY Address: 111 QUEEN ANNE AVE N STE 207, SEATTLE WA 98109-4955

Name: TAIKO AMERICA INC Address: 111 QUEEN ANNE AVE N, SEATTLE WA 96109-4955

Name: THE CARTER AGENCY Address: 111 QUEEN ANNE AVE N STE 503, SEATTLE WA 98109-4955

Name: THE SALVATION ARMY Address: 111 QUEEN ANNE AVE N, SEATTLE WA 98109-4955

Name: THE SANDWICH TREE Address: 111 QUEEN ANNE AVE N, SEATTLE WA 98109-4955

Name: WESTCOD INC Address: 111 QUEEN ANNE AVE N STE 201, SEATTLE WA 98109-4955

Name: WESTERN TERRITORIAL OF THE SALVATION ARMY Address; SEATTLE, WA

Associated People:

Business Contacts:

Name: GREGG HENDERSON SSN: 534-80-xxxx Contact Tille - AGENT Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955 Date Last Seen: 12/8/2008

Assets:

Motor Vehicles: [None Found]

[None Found]

Page 7 of 7

FAA Aircraft:

[None Found]

Watercraft: [None Found]

Internet Domain Names Registered to Business:

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[None Found]

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Business Information from Dun & Bradstreet:

Dun & Bradistreet Record

Business Name: Alternative Dental Solutions, Duns Number: 784432770 Address: 111 QUEEN ANNE AVE N, Seattle WA 98109-4955 Year Staned: 2006 Annual Sales: \$210,000 Sales Revision Date: 12/19/2008 Employees Total: 3 Employees Here: 3 Line of Business: Business Services Primary SIC: Business Services, nec, nsk Establishment Type: Single Location Small Business Flag: Yes Minority Owned: No Foreign Owned: No Public: No

Executives:

[None Found]

important: The Public Records and commercially available data sources used on reports have errors. Data is sometimes entered poorly, processed incorrectly and is generally not free from detect. This system should not be relied upon as definitively accurate. Before relying on any data this system supplies, it should be independently verified. For Secretary of State documents, the following data is for information purposes only and is not an official record. Certified copies may be obtained from that individual state's Department of State.

Your DPPA Permissible Use: Insurance Your GLBA Permissible Use: Fraud Prevention or Detection

Comprehensive Business Report Date: 01/30/09

Company Information:

Company Name: SAMMAMISH SOURCING INC Address:

Name Variations:

Company Name: SAMMAMISH SOURCING INC

Address Variations:

Address: 20900 NE 42ND ST, SAMMAMISH WA 98074 County: KING Msa Description: Seattle-Bellevus-Everett, WA

Phone Variations: Phone: 425-836-2262

Comprehensive Business Report Summary: (Click on Link to see detail)

industry Information: None Found Company ID Numbers; 1 Found **Corporation Filings:** 1 Found Registered Agents: 1 Found **Business Registration:** 2 Found UCC Filings for Business: None Found Associated Businesses: 2 Found **Business Contacts:** 1 Found Motor Vehicles: None Found Properties: None Found FAA Aircrafts: None Found Watercrafts: None Found Internet Domain Names Registered to Business: None Found Dun & Bradstreet: None Found Executives: None Found

Business Filings:

Industry Information: [Nona Found] 44

Company ID Numbers:

State of Origin: WA Charter Number: 602 186 862

State of Origin: WA Charter Number: 602186862

Corporation Filings:

Corporation Filings #1

SAMMAMISH SOURCING INC - As of: 01/06/2009 Name Type: LEGAL Status: INACTIVE Business Type: REGULAR Purpose: REGULAR Date Incorporated: 03/04/2002 Term: PERPETUAL Registered Agent: GREGG HENDERSON Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 96074-9355 Place Incorporation: WA

SAMMAMISH SOURCING INC - As of: 12/03/2008 Name Type: LEGAL Status: INACTIVE Business Type: REGULAR Purpose: REGULAR Date Incorporated: 03/04/2002 Registered Agent: GREGG HENDERSON Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Place Incorporation: WA

SAMMAMISH SOURCING INC - As of: 11/03/2008 Name Type: LEGAL Status: INACTIVE Business Type: REGULAR Purpose: REGULAR Date Incorporated: 03/04/2002 Registered Agent: GREGG HENDERSON Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Place Incorporation: WA

SAMMAMISH SOURCING INC - As of: 10/03/2008 Name Type: LEGAL Status: INACTIVE Business Type: REGULAR Purpose: REGULAR Date Incorporated: 03/04/2002 Registered Agent: GREGG HENDERSON Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Place Incorporation: WA

SAMMAMISH SOURCING INC - As of: 09/03/2008 Name Type: LEGAL Status: INACTIVE Business Type: REGULAR Purpose: REGULAR Date Incorporated: 03/04/2002 Registered Agent: GREGG HENDERSON Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Place Incorporation: WA

SAMMAMISH SOURCING INC - As of: 08/04/2008 Name Type: LEGAL Status: INACTIVE Business Type: REGULAR Purpose: REGULAR Date Incorporated: 03/04/2002 Registered Agent: GREGG HENDERSON Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Place Incorporation: WA

SAMMAMISH SOURCING INC - As of: 07/03/2008 Name Type: LEGAL Status: INACTIVE Business Type: REGULAR Purpose: REGULAR

Page 3 of 5

Date Incorporated: 03/04/2002 ____ Registered Agent: GREGG HENDERSON Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Place Incorporation: WA SAMMAMISH SOURCING INC - As of: 06/03/2008 Name Type: LEGAL Status: INACTIVE Business Type: REGULAR Purpose: REGULAR Date incorporated: 03/04/2002 Registered Agent: GREGG HENDERSON Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Place Incorporation: WA SAMMAMISH SOURCING INC - As of: 05/06/2008 Name Type: LEGAL Status: INACTIVE Business Type: REGULAR Purpose: REGULAR Date Incorporated: 03/04/2002 Registered Agent: GREGG HENDERSON Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Place Incorporation: WA

SAMMAMISH SOURCING INC - As of: 04/09/2008 Name Type: LEGAL Status: INACTIVE Business Type: REGULAR Purpose: REGULAR Date Incorporated: 03/04/2002 Registered Agent: GREGG HENDERSON Registered Agent Address: 20000 NE 42ND ST, SAMMAMISH WA 98074-9355 Place Incorporation: WA

SAMMAMISH SOURCING INC - As of: 02/07/2008 Name Type: LEGAL Status: INACTIVE Business Type: REGULAR Purpose: REGULAR Date Incorporated: 03/04/2002 Registered Agent: GREGG HENDERSON Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Place Incorporation: WA

SAMMAMISH SOURCING INC - As of: 08/08/2007 Name Type: LEGAL Status: INACTIVE Business Type: REGULAR Purpose: REGULAR Date Incorporated: 03/04/2002 Registered Agent: GREGG HENDERSON Registered Agent: GREGG HENDERSON Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Place Incorporation: WA

SAMMAMISH SOURCING INC - As of: 08/08/2007 Status: INACTIVE OR DISSOLVED Business Type: REGULAR Purpose: REGULAR Date Incorporated: 03/04/2002 Registered Agent: GREGG MENDERSON Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 96074-9355 Place Incorporation: WA

Annual Report Filings:

Events: Date: 03/31/2003 Event: LICENSE EXPIRATION Reference Number: 602186862

Registered Agents:

Page 4 of 5

Name: GREGG HENDERSON Date Last Seen: 10/3/2008

Business Registration: Name: SAMMAMISH SOURCING INC

Name: SAMMAMISH SOURCING INC Malling Address; 20900 NE 42ND ST, SAMMAMISH WA 96074-8355 Filing Number: 602186862 State of Registration: WA Status: Active Corporation Code: SOS Expiration Date: 3/30/2003

Name: SAMMAMISH SOURCING INC Malling Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Filing Number: 602186862 State of Registration: WA Status: Active Company Phone: 4258362262 Corporation Code: SOS Expiration Date: 3/30/2003

UCC Filings for Business:

[None Found]

Associated Businesses:

Name: RETIREMENT PROTECTION SERVICES, LLC. Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355

Name: SAMMAMISH SOURCING INC Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355

Associated People:

Business Contacts:

Assets:

Motor Vehicles: [None Found]

Properties:

[None Found]

FAA Aircraft: [None Found]

Watercraft:

[None Found]

Internet Domain Names Registered to Business:

[None Found]

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Business Information from Dun & Bradstreet: [None Found] Executives: [None Found]

Page 1 of 5

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Your DPPA Permissible Use: Insurance Your GLBA Permissible Use: Fraud Prevention or Detection

Comprehensive Business Report Date: 01/29/09

Company Information:

Company Name: RETIREMENT PROTECTION SERVICES, LLC. Address:

Name Variations:

Company Name: RETIREMENT PROTECTION SERVICES, LLC.

Address Variations:

Address: 20900 NE 42ND ST, SAMMAMISH WA 98074 County: KING Msa Description: Seattle-Bellevue-Everett, WA

Phone Variations:

[None Found]

Comprehensive Business Report Summary: (Click on Link to see detail)

Industry Information: None Found Company ID Numbers: 1 Found **Corporation Fillngs:** 1 Found **Registered Agents:** 1 Found Business Registration: 2 Found UCC Fillings for Business: None Found Associated Businesses: 2 Found Business Contacts: 1 Found Motor Vehicles: None Found Properties: None Found FAA Aircrafts: None Found Watercrafts: None Found Internet Domain Names Registered to Business: None Found Dun & Bradstreet: None Found Executives: None Found

Business Filings:

Industry Information: [None Found]

Page 2 of 5

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Company	D Numbers:
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State of Origin:-WA-----Charter Number: 602 679 224

State of Origin: WA Charter Number: 602679224

Corporation Filings:

Corporation Filings #1

RETIREMENT PROTECTION SERVICES, LLC. - As of: 01/06/2009 Name Type: LEGAL Status: ACTIVE Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date Incorporated: 01/01/2007 Term: PERPETUAL Registered Agent: GREGG HENDERSON Registered Agent: Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Place Incorporation; WA

1.54

RETIREMENT PROTECTION SERVICES, LLC. - As of: 12/03/2008 Name Type: LEGAL Status: ACTIVE Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date Incorporated: 01/01/2007 Registered Agent: GREGG HENDERSON Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 11/03/2008 Name Type: LEGAL Status: ACTIVE Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date Incorporated: 01/01/2007 Registered Agent: GREGG HENDERSON Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Place incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 10/03/2008 Name Type: LEGAL Status: ACTIVE Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date Incorporated: 01/01/2007 Registered Agent: GREGG HENDERSON Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Place Incorporation; WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 09/03/2008 Name Type: LEGAL Status: ACTIVE Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date Incorporated: 01/01/2007 Registered Agent: GREGG HENDERSON Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 08/04/2008 Name Type: LEGAL Status: ACTIVE Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date Incorporated: 01/01/2007 Registered Agent: GREGG HENDERSON Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 07/03/2008 Name Type: LEGAL Status: ACTIVE

Page 3 of 5

1

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Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 01/01/2007
Registered Agent: GREGG HENDERSON
Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 06/03/2008 Name Type: LEGAL Status: ACTIVE Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date Incorporated: 01/01/2007 Registered Agent: GREGG HENDERSON Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 05/06/2008 Name Type: LEGAL Status: ACTIVE Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date Incorporated: 01/01/2007 Registered Agent: GREGG HENDERSON Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 04/09/2008 Name Type: LEGAL Status: ACTIVE Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date Incorporated: 01/01/2007 Registered Agent: GREGG HENDERSON Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 02/07/2008 Name Type: LEGAL Status: ACTIVE Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date Incorporated: 01/01/2007 Registered Agent: GREGG HENDERSON Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 96074-9355 Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 08/08/2007 Name Type: LEGAL Statue: ACTIVE Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date Incorporated: 01/01/2007 Registered Agent: GREGG HENDERSON Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 08/08/2007 Status: ACTIVE AND IN GOOD STANDING Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date Incorporated: 01/01/2007 Registered Agent: GREGG HENDERSON Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Place Incorporation: WA

Annual Report Filings:

Events: Date: 01/31/2008 Event: LICENSE EXPIRATION Reference Number: 602679224

Page 4 of 5

Registered Agents:

Name: GREGG HENDERSON

Date Last Seen: 10/3/2008- -----

Business Registration:

Name: RETIREMENT PROTECTION SERVICES LLC Malling Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Filing Number: 602679224 Slates of Registration: WA Status: Active Corporation Code: SOS Expiration Date: 1/30/2008

54

Name: RETIREMENT PROTECTION SERVICES LLC Malling Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Filing Number: 602679224 State of Registration: WA Status: Active Corporation Code: SOS Expiration Date: 1/30/2008

UCC Filings for Business:

[None Found]

Associated Businesses:

Name: RETIREMENT PROTECTION SERVICES, LLC. Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355

Name: SAMMAMISH SOURCING INC Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355

Associated People:

Business Contacts:

Name: GREGG HENDERSON SSN: Source of the second statement Contact Title - AGENT Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Date Last Scen: 2/1/2007

Assets:

Motor Vehicles: [None Found]

Properties:

[None Found]

FAA Aircraft:

[None Found]

Watercraft:

[None Found]

Internet Domain Names Registered to Business:

[None Found]

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This partion of the report contains information from Dun & Bradstreet, Inc. Dopyright 2004 Dun & Bradstreet, Inc. All rights reserved.

#### Business Information from Dun & Bradstreet: [None Found] Executives: [None Found]

Important: The Public Records and commercially available data sources used on reports have errors. Data is sometimes entered poorly, processed incorrectly and is generally not free from detect. This system should not be relied upon as definitively accurate. Before relying on any data this system supplies, it should be independently verified. For Secretary of State documents, the following data is for information purposes only and is not amolficial record. Certified copies may be obtained from that individual state's Department of State.

Your DPPA Permissible Use: Insurance Your GLBA Permissible Use: Fraud Prevention or Detection

Business Also Found Report Date: 01/29/09

#### Company Information:

Company Name: RETIREMENT PROTECTION SERVICES, LLC. Address:

#### **Associated People:**

#### **Business Contacts:**

Name: GREGG HENDERSON SSN: 534-80-xxxx Contact Tille - AGENT Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Date Last Seen: 2/1/2007

#### **Business Filings:**

#### **Corporation Filings:**

**Corporation Filings #1** 

RETIREMENT PROTECTION SERVICES, LLC. - As of: 01/08/2009 Name Type; LEGAL Status: ACTIVE Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date Incorporated: 01/01/2007 Term: PERPETUAL Registered Agent: GREGG HENDERSON Registered Agent: Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 12/03/2008 Name Type: LEGAL Status: ACTIVE Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date Incorporated: 01/01/2007 Registered Agent: GREGG HENDERSON Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 11/03/2008 Name Type: LEGAL Status: ACTIVE Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date Incorporated: 01/01/2007 Rogistered Agent: GREGG HENDERSON Registered Agent: Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 10/03/2008 Name Type: LEGAL Status: ACTIVE Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY -----

Date Incorporated: 01/01/2007 Registered Agent: GREGG HENDERSON Registered Agent: Accress: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Place Incorporation: WA RETIREMENT PROTECTION SERVICES, LLC. - As of: 09/03/2008 Name Type: LEGAL

Status: ACTIVE Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date Incorporated: 01/01/2007 Registered Agent: GREGG HENDERSON Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Place Incorporation: WA

RETIREMENT PROTECTION BERVICES, LLC. - As of: 08/04/2008 Name Type: LEGAL Status: ACTIVE Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date incorporated: 01/01/2007 Registered Agent: GREGG HENDERSON Registered Agent: Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Place incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 07/03/2008 Name Type: LEGAL Status: ACTIVE Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date Incorporated: 01/01/2007 Registered Agent: GREGG HENDERSON Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 06/03/2008 Name Type: LEGAL Status: ACTIVE Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date Incorporated: 01/01/2007 Registered Agent: GREGG HENDERSON Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 05/06/2008 Name Type: LEGAL Status: ACTIVE Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date Incorporated: 01/01/2007 Registered Agent: GREGG HENDERSON Registered Agent Address: 20900 NE 42ND ST, SAMMANISH WA 98074-9355 Place incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 04/09/2008 Name Type: LEGAL Status: ACTIVE Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date Incorporated: 01/01/2007 Registered Agent: GREGG HENDERSON Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9855 Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 02/07/2008 Name Type: LEGAL Status: ACTIVE Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date incorporated: 01/01/2007 Registered Agent: GREGG HENDERSON Registered Agent: Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Place incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 08/08/2007

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Page 5 OF 5

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Name Type: LEGAL Status: ACTIVE Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date Incorporated: 01/01/2007 Registered Agent: GREGG HENDERSON Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 96074-9355 Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 08/08/2007 Status: ACTIVE AND IN GOOD STANDING Business Type: LIMITED LIABILITY COMPANY Purpose: LIMITED LIABILITY COMPANY Date incorporated: 01/01/2007 Registered Agent: GREGG HENDERSON Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Piace incorporation: WA

Annual Report Filings:

Events:

Date: 01/31/2008 Event: LICENSE EXPIRATION Reference Number: 602679224  $\overline{)}$ 

important: "The Public Records and commercially available data sources used on reports have errors. Data is sometimes entered poonly, processed incorrectly and is generally not free from defect. This system should not be relied upon as definitively accurate. Before relying on any data this system supplies, it should be independently verified. For Secretary of State documents, the following data is for information purposes only and is not an official record. Certified copies may be obtained from that individual state's Department of State.

Your DPPA Permissible Use: Insurance Your GLBA Permissible Use: Fraud Prevention or Detection

#### **Comprehensive Report**

Comprehensive Report Date: 01/29/09

#### Report processed by:

Great American Insurance Co. 580 Walnut St Cincinnati, OH 452023110 513-369-5000 Main Phone

#### Subject Information

Name: GREGG ALAN HENDERSON Date of Birth: Age: 44 SSN: SSN

#### AKAS (Names Associated with Subject)

GREGG A HENDERSON Age: 44 SSN: CREECE GREGG HENDERSON Age: 44 SSN: CREECE GREGG ALAN HENDERSON Age: 45 SSN: CREECE

#### Report Legend:

💐 - Shared Address

🕑 - Deceased

Probable Current Address

#### Indicators

Bankruptoy: No Property: Yes Corporate Affiliations: No

#### Address Summary

✓ 20900 NE 42ND ST, SAMMAMISH WA 98074-9355, KING COUNTY (Feb. 1996 - Jan. 2009) Phone at address: 425-836-2262 HENDERSON JULIE Neighborhood Profile (2000 Census)

Average Age:38 Median Household Income: \$91,045 Median Home Value: \$325,500 Average Years of Education: 16

✓ 9301 AVONDALE RD NE APT 2065, REDMOND WA 98052-1410, KING COUNTY (Jun 1986 - Jan 1996) Neighborhood Profile (2000 Census)

Average Age:30 Median Household Income: \$64,306 Median Home Value: \$245,600 Average Years of Education: 15

✓ 2515 NEWPORT CIR, SALT LAKE CITY UT 84121-5674, SALT LAKE COUNTY (Jan 1988) Phone at address: 801-453-1611 GUNDERSEN CINDY Neighborhood Profile (2000 Census)

Average Age:35 Median Household Income: \$85,000 Median Home Value: \$243,800 Average Years of Education: 15

✓ 625 NE CAMPUS ST APT, PULLMAN WA 99163-6205, WHITMAN COUNTY (Jun 1986) Neighborhood Profile (2000 Census)

Average Age:23 Median Household Income: \$10,613 Median Home Value: \$78,100 Average Years of Education: 15

111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955, KING COUNTY (Apr 2006 - Aug 2007) Neighborhood Profile (2000 Census) Average Age:35 Median Household Income: \$32,995 Median Home Value: \$156,300 Average Years of Education: 15

PO BOX 1281 # 2 1281, EDWARDS CO 81632-1281, EAGLE COUNTY (Jun 1986 - Oct 2000) Neighborhood Profile (2000 Census)

Average Age:30 Education: 13	Median Household Income: \$80,184	Median Home Value: \$385,800	Average Years of
649 E LAKE SAM	MAMISH PKWY SE, SAMMAMISH WA (	98074-3814, KING COUNTY (Jun	1986 - Jul 1995)
Neighborhood Pro Average Age:34 Education: 15	ofile (2000 Census) Median Household Income: \$127,951	Median Home Value: \$517,900	Average Years of
3658 P O BOX 36	58, VAIL CO 81658, EAGLE COUNTY (	Jun 1994)	
Neighborhood Pro	filie (2000 Census)		
Average Age:35 Education: 15	Median Household Income: \$57,933	Median Home Value: \$257,600	Average Years of
8007 RENTON ISS	SAQUAH RD SE, ISSAQUAH WA 98027	-8546, KING COUNTY (Jun 1994	}
	die (2000 Census)		
Average Age:36 Education: 15	Median Household Income: \$90,989	Median Home Value: \$373,900	Average Years of
PÒ BOX 3658, VA	IL CO 81658-3658, EAGLE COUNTY (J	an 1994)	
	flle (2000 Census) Median Household Income: \$57,933	Median Home Value: \$257,600	Average Years of
8015 RENTON ISS	SAQUAH RD SE, ISSAQUAH WA 98027	-8546, KING COUNTY (Jul 1990	- Dec 1992)
	file (2000 Census)	. ,	·
Average Age:36 Education: 15	Median Household Income: \$90,969	Median Home Value: \$373,900	Average Years of
348 7TH AVE 33, I	KIRKLAND WA 98033-5529, KING COU	NTY (Dec 1988 - Apr 1989)	
	file (2000 Census)		
Average Age:37 Education: 15	Median Household Income: \$61,818	Median Home Value: \$325,000	Average Years of
19817 SE 23RD S	T, SAMMAMISH WA 98075-7462, KING	COUNTY (Dec 1984 - Apr 1985)	
	425-313-8890 MANN BARBARA file (2000 Census)	,	
	Median Household Income: \$127,951	Median Home Value: \$517,900	Average Years of

Others Associated With Subjects SSN: (DOES NOT usually indicate any type of fraud or deception) [None Found]

Comprehensive Report Summary: (Click on Link to see detail) Bankruptcles: None Found Liens and Judgments; None Found UCC Fliings: 1 Found Phones Plus: None Found People at Work: 4 Found Driver's License: 1 Found Address(es) Found: 4 Verified and 9 Non-Verified Found Possible Properties Owned: 2 Found Motor Vehicles Registered: None Found Watercraft; None Found FAA Certifications: None Found FAA Alrcrafts: None Found Possible Criminal Records:

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1 Found Sexual Offenses: None Found Florida Accidents: None Found Professional Licenses: None Found Voter Registration: None Found Hunting/Fishing Permit: None Found Concealed Weapons Permit: None Found Possible Associates: 4 Found Possible Relatives: 1st Degree - 9 Found 2nd Degree - 18 Found 3rd Degree - 30 Found Neighbors: 1st Neighborhood - 6 Found 2nd Neighborhood - 2 Found Srd Neighborhood - 2 Found

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Bankruptcies:

[None Found]

#### Liens and Judgments:

4th Neighborhood - 6 Found

[None Found]

#### UCC Filings:

Original Filing Number: 942063451 Original Date: Aug 23, 1994 Filing Agency: SECRETARY OF STATE/UCC DIVISION Filing Agency Address: 1560 BROADWAY, DENVER CO 80202 Filing State: CO

Dablor(s):

Secured(s):

Debtor Name: GREGG HENDERSON Debtor SSN/FEIN: **Support SSN/** Debtor Address:

Secured Name: MERRILL LYNCH CREDIT CORP Secured Address: 4802 DEER LAKE DR E, JACKSONVILLE FL 32248-6484

Filling(s):

Filing Type: TERMINATION Filing Number: 952060510 Date Filed: Aug 11, 1995

Filing Type: INITIAL FILING Filing Number: 942063461 Date Filed: Aug 23, 1994 Filing Expiration Date: 8/23/1999

Collateral(s):

Desorption: NEGOTIABLE INSTRUMENTS SPECIFIED INCLUDING PROCEEDS AND PRODUCTS;ACCOUNT(S) ALL INCLUDING PROCEEDS AND PRODUCTS;CONTRACT RIGHTS ALL INCLUDING PROCEEDS AND PRODUCTS;GENERAL INTANGIBLE(S) ALL INCLUDING PROCEEDS AND PRODUCTS

Phones Plus(s): [None Found]

#### People at Work:

Name: GREGG HENDERSON Gendor: Male Tille: AGENT SSN: 534-80-xxxx Company: RETIREMENT PROTECTION SERVICES LLC

https://secure.accurint.com/app/bps/report

37

Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Phone: FEIN: Dates: Jan 1, 2007 - Feb 1, 2007

. .

Name: GREGG HENDERSON Gender: Male Tille: AGENT SSN: J Company: ALTERNATIVE DENTAL SOLUTIONS LLC Address: 111 QUEEN ANNE AVE STE 500, SEATTLE WA 98109-4955

Phone: 206-387-4777 FEIN: Dates: Mar 30, 2006 - Apr 1, 2006

Name: GREGG HENDERSON Gender: Male Title: AGENT SSN: S Company: SAMMAMISH SOURCING INC Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355 Phone: 425-836-2262 FEIN: Dates: Mar 4, 2002 - Apr 15, 2002

Name: GREGG A HENDERSON SSN: Company: THE SUN ROOM CORP Address: 10845 NE 8TH ST, BELLEVUE WA 98004-4431 Phone: FEIN: Dates: Jul 1, 2000 - Jun 1, 2001

#### Driver's License Information:

Name: GREGG ALAN HENDERSON DL Number: State: Colorado License Address: 6 DOB: 1964

Potential SSN U: CONSUM Gender: Male Height: 5'11 Weight: 155

#### Address Summary: View All Address Variation Sources

✓ 20900 NE 42ND ST, SAMMAMISH WA 98074-9355, KING COUNTY (Feb 1996 - Jan 2009)

9301 AVONDALE RD NE APT 2066, REDMOND WA 98052-1410, KING COUNTY (Jun 1986 - Jan 1996)

2515 NEWPORT CIR, SALT LAKE CITY UT 84121-5674, SALT LAKE COUNTY (Jan 1988)

 ✓ 625 NE CAMPUS ST APT, PULLMAN WA 99163-6205, WHITMAN COUNTY (Jun 1986)
 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955, KING COUNTY (Apr 2006 - Aug 2007)
 PO BOX 1281 # 2 1281, EDWARDS CO 81632-1281, EAGLE COUNTY (Jun 1986 - Oct 2000) 849 E LAKE SAMMAMISH PKWY SE, SAMMAMISH WA 98074-3814, KING COUNTY (Jun 1986 - Jul 1995) 3858 P O BOX 3658, VAIL CO 81658, EAGLE COUNTY (Jun 1994) 8007 RENTON ISSAQUAH RD SE, ISSAQUAH WA 98027-8546, KING COUNTY (Jun 1994) PO BOX 3658, VAIL CO 81658-3658, EAGLE COUNTY (Jan 1994) 8015 RENTON ISSAQUAH RD SE, ISSAQUAH WA 98027-8546, KING COUNTY (Jul 1990 - Dec 1992) 348 7TH AVE 33, KIRKLAND WA 98033-5529, KING COUNTY (Dec 1988 - Apr 1989) 19817 SE 28RD ST, SAMMAMISH WA 98075-7462, KING COUNTY (Dec 1984 - Apr 1985)

#### Active Address(es): View All Address Variation Sources

ING COUNTY (Feb 1996 - Jan 2009) urrent Residents at Address: JULIE K GAINES GREGG ALAN HENDERSON HENDERSON JULIE Neighborhood Profile (2000 Census Average Age: 33 Median Household Income: \$91,045 Median Owner Occupied Home Value: \$325,500 Average Years of Education: 16

1/29/2009

**Comprehensive Report** Page 5 of 43 9301 AVONDALE RD NE APT 2066, REDMOND WA 98052-1410, KING COUNTY (Jun 1986 - Jan 1996) Property Ownership Information for this Address Property: 11.4.1 Parcel Number - 062506-9107 Name Owner : BEAR CREEK ASSOCIATES LLC Property Address: - 9301 AVONDALE RD NE, REDMOND WA 98052-9381, KING COUNTY Owner Address: 500 ELLIOTT AVE W, SEATTLE WA 98119-3969, KING COUNTY Total Market Value - \$29,318,000 Assessed Value - \$29,318,000 Land Value - \$11,699,400 improvement Value - \$17,618,600 Land Size - 731,218 Square Feet Year Bullt - 1990 Data Source - A Neighborhood Profile (2000 Census) Average Age: 30 Median Household Income: \$64,305 Median Owner Occupied Home Value: \$245,600 Average Years of Education: 15 2515 NEWPORT CIR, SALT LAKE CITY UT 84121-5674, SALT LAKE COUNTY (Jan 1988) Current Residents at Address: KELLY SCOTT BREWER CHRISTINE A DYLER CINDY W GUNDERSEN GUNDERSEN CINDY 801-453-1611 Property Ownership Information for this Address Property: Parcel Number - 22-34-227-022-0000 Name Owner : SHARR SETH L Name Owner 2: SHARR DIANNE M Property Address: - 2515 NEWPORT CIR, COTTONWD HGTS UT 84121-5674, SALT LAKE COUNTY Owner Address: 16603 SOUTHERN DAKS DR, HOUSTON TX 77068-2111, HARRIS COUNTY Total Market Value - \$276,400 Land Value - \$81,600 Improvement Value - \$194,800 Land Size - 13,939 Square Feet Year Bullt - 1974 Data Source - A Neighborhood Profile (2000 Census) Average Age: 35 Median Household Income: \$85,000 Median Owner Occupied Home Value: \$243,800 Average Years of Education: 15 625 NE CAMPUS ST APT, PULLMAN WA 99163-6205, WHITMAN COUNTY (Jun 1986) **Current Residents at Address:** SHANNON C SCHMICK Neighborhood Profile (2000 Census) Average Age: 23 Median Household Income: \$10,613 Median Owner Occupied Home Value: \$78,100 Average Years of Education: 15 Previous And Non-Verified Address(es): View All Address Variation Sources 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4956, KING COUNTY (Apr 2006 - Aug 2007) Property Ownership Information for this Address Property: Parcel Number - 198920-0945 Name Owner : SALVATION ARMY, THE Name Owner 2: SALVATION ARMY Property Address: - 111 QUEEN ANNE AVE N, SEATTLE WA 98109-4955, KING COUNTY Owner Address: PO BOX 9219, SEATTLE WA 98109-0200, KING COUNTY Total Market Value - \$7,733,000 Assessed Value - \$7,733,000 Land Value - \$1,899,400 Improvement Value - \$5,833,600 Land Size - 14,070 Square Feet Year Built - 1985 Loan Amount - \$191,850 Loan Type - CONVENTIONAL Lender Name - ABN AMRO MTG GRP INC Data Source - A

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	and the second	$\bigcirc$	
	Neighborhood Profile (2000 Census)		
	Average Age: 35 Median Household Income: \$32,995		
\ \	Median Owner Occupied Hoine Value: \$156,300		••••••
)	Average Years of Education: 15		•
	PO BOX 1281 # 2 1281, EDWARDS CO 81632-1281, EAG	3LE COUNTY (Jun 1986 - Oct 2000)	
	Neighborhood Profile (2000 Census)		•
	Average Age: 30 Median Household Income: \$60,184		
	Median Owner Occupied Home Value: \$385,800		
	Average Years of Education: 13		
	649 E LAKE SAMMAMISH PKWY SE, SAMMAMISH WA 1	98074-3814, KING COUNTY (Jun 1986 - Jul 1996)	
	Current Residents at Address:		
	MALLORY L BENDER Property Ownership Information for this Address		
	Property:		
	Parcel Number - 312506-9006 Name Owner : HENDERSON BRUCE		
	Name Owner 2: HENDERSON SUSANNA E		
		SH PKWY SE, SAMMAMISH WA 98074-3814, KING COUNTY	
	Owner Address: 649 E LAKE SAMMAMISH ( Assessed Value - \$1,682,000	PKWY SE, SAMMAMISH WA 98074-3814, KING COUNTY	
	Land Size - 26,106 Square Feet		
	Year Bultt - 1995 Name of Seller : STILL EDMUND N & CL		
	Data Source - A	<b>ч</b>	
	Neighborhood Profile (2000 Census) Average Age: 34		
	Median Household Income: \$127,951		
	Median Owner Occupied Home Value: \$517,900	·	
	Average Years of Education: 15		
	3658 P O BOX 3658, VAIL CO 81668, EAGLE COUNTY (J	lun 1994)	
	Neighborhood Profile (2000 Census) Average Age: 35		
`	Median Household Income: \$57,933	• • • •	
)	Median Owner Occupied Home Value: \$257,600 Average Years of Education: 15		
	Average (FBATS of Education; To		
	8007 FIENTON ISSAQUAH RD SE, ISSAQUAH WA 98027	-8546, KING COUNTY (Jun 1994)	
	Property Ownership Information for this Address Property:		
	Parcel Number - 322406-9027		
	Name Owner : LEE YONG JO Name Owner 2: JUNG SOOK		
	Property Address: - 8007 RENTON ISSAQU/	AH RD SE, ISSAQUÁH WA 98027-8546, KING COUNTY	
	Owner Address: 9255 SE 36TH PL, MERCEI Total Market Value - \$566,200	1 ISLAND WA 98040-3737, KING COUNTY	
	Assessed Value - \$566,200		
	Land Value - \$543,200		
	Improvement Value - \$23,000 Land Size - 618,433 Square Feet		
	Year Built - 1944		
	Name of Selier : HENDERSON SUSANNA D Loan Amount - \$359,650		
	Loan Type - CONVENTIONAL		
	Lender Name - WESTSOUND MTG Data Source - A		
	Neighborhood Profile (2000 Census)		
	Average Age: 36		
	Median Household Income: \$90,969 Median Owner Occupied Home Value: \$373,900		
	Average Years of Education: 15	· .	
	PO BOX 3658, VAIL CO 81658-3658, EAGLE COUNTY (J.	an 1994)	
	Neighborhood Profile (2000 Census)	an +007/	
	Average Age: 35		
	Median Household Income: \$57,933 Median Owner Occupied Home Value: \$257,800	•	
)	Average Years of Education: 15		
2	8015 RENTON ISSAQUAH RD SE, ISSAQUAH WA 98027	-8546. KING COUNTY (.H.) 1990 - Dec 1992)	
	425-391-4117	an initial points fall land that land	
	Property Ownership information for this Address		
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**Comprehensive** Report Page 7 of 43 Property: Parcel Number -Name Owner: STATE OF WASHINGTON DEPARTMENT OF TRANSP Property Address 8015 RENTON ISSAQUAH RD SE, ISSAQUAH WA 98027-8545, KING COUNTY Owner Address: PO BOX 47338, OLYMPIA WA 98504-7338, THURSTON COUNTY Name of Seller : LEE, YONG JO Name of Setter 2: LEE, JUNG SOOK Data Source - B Neighborhood Profile (2000 Census) Average Age: 36 Median Household Income: \$90,969 Median Owner Occupied Home Value: \$379,900 Average Years of Education: 15 348 7TH AVE 33, KIRKLAND WA 98033-5529, KING COUNTY (Dec 1988 - Apr 1989) **Current Residents at Address: REBECCA A WILLOW** Property Ownership Information for this Address Property: Parcel Number -Name Owner : WILLOW, REBECCA Property Address: - 348 7TH AVE 33, KIRKLAND WA 98033-5529, KING COUNTY Owner Address: 348 7TH AVE, KIRKLAND WA 98033-5529, KING COUNTY Name of Seller : HENDERSON WILLIAM B & MELISSA Data Source - A Neighborhood Profile (2000 Census) Average Age: 37 Median Household Income: \$61,818 Median Owner Occupied Home Value: \$325,000 Average Years of Education: 15 19817 SE 23RD ST, SAMMAMISH WA 98075-7462, KING COUNTY (Dec 1984 - Apr 1985) **Current Residents at Address:** BARBARA S MANN ERIC N MANN MANN ERIC & BARBARA 425-313-8890 Property Ownership Information for this Address Property: Parce! Number - 752740-0160 Name Owner : MANN ERIC N Name Owner 2: MANN BARBARA S Property Address: - 19817 SE 23RD ST, SAMMAMISH WA 98075-7482, KING COUNTY Owner Address: 19817 SE 23RD ST, SAMMAMISH WA 96075-7462, KING COUNTY Total Market Value - \$451,000 Assessed Value - \$451,000 Land Value - \$224,000 Improvement Value - \$227,000 Land Size - 33,450 Square Feet Year Built - 1976 Name of Seller : HENDERSON BRUCE & SUZANNE E Loan Amount - \$180,000 Loan Type - CONVENTIONAL Lender Namo - WASHINGTON MUTUAL SVGS BK Data Source - A Neighborhood Profile (2000 Census) Average Age: 34 Median Household Income: \$127,951 Median Owner Occupied Home Value: \$517,900 Average Years of Education: 15 Possible Properties Owned by Subject: Property arcel Number - 865161-1470 Name Owner : HENDERSON GREGG A Name Owner 2: HENDERSON JULIE K SAMMAMISH WA 98074-9355, KING COUNTY Roperty Address Stat Owner Address: 209 SAMMAMISH WA 98074-9355, KING COUNTY Total Market Value - \$373,000 Assessed Value - \$373,000 Land Value - \$166,000 improvement Value - \$207,000 Land Size - 10,177 Square Feet Year Built - 1982 Name of Seller : CROUTER MARK S & CAROL C Loan Amount - \$135,000 https://secure.accurint.com/app/bps/report 1/29/2009

	Loan Type - CONVENTIONAL			
	Lender Name - WASHINGTON	MUTUAL SVGS BK		
	Data Source - A			
				2012
Prop	erty:			1647
	Parcel Number - 752720-0210			
	Name Owner : WILLIAMS JULI	E		
	Name Owner 2: HENDERSON	GA		
	Property Address: - 19528 SE :		VA 98075-9645, KING COUN	TY
	Owner Address: 19528 SE 21S			
	Assessed Value - \$318,000			
	Land Size - 24,200 Square Fee	at		
	Year Bullt - 1978	•		
	Name of Seller : LIVEMORE G	ARY B & BRENDA E		
	Loan Amoun! - \$288,800			
	Loan Type - CONVENTIONAL	-		
	Lender Name - EMERALD MTC	9.00		
	Data Source - A			

#### Motor Vehicles Registered To Subject:

[None Found]

#### Watercraft:

[None Found]

#### **FAA Certifications:**

[None Found]

#### **FAA Aircrafts:**

[None Found]

#### **Possible Criminal Records:**

Oregon Court:

Name: GREGG ALAN HENDERSON SSN: SE Address: 198178 S E 23RD ST, ISSAQUAH WA 98027 Aliases: GREGG ALAN HENDERSON State of Orlain: Oregon DOB: Sex: Male

#### Offenses:

Offense #1 Case Number: X50864 Component: 1 Offense Date: 3/19/1989 Court Description: Umatilla Courts Court Case Number: X50864 Court Offense: Vio Max Speed Bural Interstate Court Level/Degree: Class B Infraction

**Court Activity:** [NONE FOUND]

#### **Sexual Offenses:**

[None Found]

#### Florida Accidents:

[None Found]

#### Professional License(s): [None Found]

#### Voter Registration: