GENERAL INFORMATION SERVICES, INC.

OUR PURPOSE IS TO PROVIDE THE HIGHEST LEVEL OF QUALITY INFORMATION, SERVICE, AND SUPPORT TO OUR CLIENTS BY UTILIZING OUR EXPERIENCE, KNOWLEDGE, AND PROFESSIONALISM.

STATE OF WASHINGTON
BACKGROUND INVESTIGATION NARRATIVE

NAME OF BUREAU: Office Of Insurance Commissioner

SSN: [redacted]

PRESENT/PROPOSED ENTITY: Central United Life Insurance Company

NAME: Harris, David Warren
ADDRESS: Houston, Texas: [redacted]
EMPLOYER: Manhattan Life Insurance
BUSINESS ADD: 2727 Allen Parkway, Suite 500
Houston, Texas 77019

POSITION: Chairman, Chief Executive Officer

ATTENTION OF: Gayle Pasero

D.O.B: 11/02/1951
DATE RECEIVED: 12/10/2012

CUSTOMER I.D.: N/A

Personal History:

Personal information:

- David Warren Harris – Verified by education and licensing sources
- David W. Harris – Verified by employment, education and Social Security Trace Report
- Social Security number: [redacted] – Verified by education, employment and Social Security Trace Report
- Date of birth: [redacted] – Verified by education source

Addresses:

- [redacted]ouston, Texas – Verified as the current address since 12/1993
- 2727 Allen Parkway, #500, Houston, Texas – Verified from 06/2002 to 11/2012
- [redacted]ston, Texas – Verified in 02/2004
- [redacted] Houston, Texas – Verified in 07/2003

Personal History Inconsistencies & Discrepancies

None

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Employment: Manhattan Life Insurance Company / Investors Consolidated Insurance Company / Central United Life Insurance / Manhattan Insurance Group
Houston, TX

Given: 12/94 to Present Confirmed: 12/01/94 to Present

- Dates confirmed: 12/01 1994 to Present
- Position confirmed: Chairman and Chief Executive Officer
- Business Type: Insurance Company
- Verified by: Angela Jones / Human Resources Manager

Employment Inconsistencies & Discrepancies None

Education: University of Texas
Austin, TX

Given: 1969 to 1974 Confirmed: 09/22/69 to 12/20/74

- Dates of attendance confirmed: 09/22/69 to 12/20/74
- Degree(s) confirmed: Bachelor of Arts
- Major: Psychology
- Graduation date confirmed: 01/03/1975
- Accreditation: The Southern Association of Colleges and Schools
- Verified by: Automated service

Clark University
Boston, MA

Given: 1975 to 1976 Confirmed: 09/01/75 to 08/01/76

- Dates of attendance confirmed: 09/01/75 to 08/01/76
- Degree(s) confirmed: None
- Major: Psychology
- Graduation date confirmed: None
- Accreditation: The New England Association of Colleges and Schools
- Verified by: Automated service

Education Inconsistencies & Discrepancies None

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Professional License Searches:

Texas Department of Insurance
Austin, TX

- License Type: General Lines Agent
- Issued: 10/26/1982 / Expires: 09/07/2014
- Lic./Cert.#: 774585
- Complaints / Disciplinary actions: None
- Verified by: Automated source
- Comments: The applicant is licensed to sell Life, Accident, Health, HMO, Property and Casualty Insurance. One appointment is noted.

Prof. Licensing Inconsistencies & Discrepancies None

Societies/ Associations: No societies or associations were provided for validation.

Soc. & Assns Inconsistencies & Discrepancies None

Bankruptcy Searches:

Southern District of Texas

- Type: Federal Level Bankruptcy Records
- Years searched: 2002 to Present
- Names searched: David W. Harris
- Location(s) covered: Harris County, TX
- Results: No records were located

Bankruptcy Inconsistencies & Discrepancies None

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Criminal Records:

Southern District of Texas

- Type: Criminal Federal
- Years searched: 2002 to Present
- Names searched: David W. Harris
- Location(s) covered: Harris County, TX
- Results: No records were located

Texas – Statewide

- Type: Criminal Statewide
- Years searched: 2002 to Present
- Names searched: David W. Harris
- Location(s) covered: State of Texas
- Results: No records were located.

Criminal Inconsistencies & Discrepancies

None

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Civil Records: Southern District of Texas (Harris County)

- Type: Criminal Federal
- Years searched: 2002 to Present
- Names searched: David W. Harris
- Location(s) covered: Houston, TX
- Results: See the Upper Civil Records reported as name matches only.

Jurisdiction: HARRIS COUNTY, TX (UPPER)
Dates searched: 02 – PR
Name(s) searched: DAVID W. HARRIS
Results:

Case Number: 201269296 - 7
Plaintiff: HARRIS, LUCIANE; HARRIS, DAVID
Defendant: CLAIRE BROTHERS FUNERAL HOME
Date Filed: 11/20/2012
Cause of Action: BREACH OF CONTRACT
Disposition Date: PENDING
Disposition: CASE PENDING/ NAME MATCH ONLY

Case Number: 201128417 - 7
Plaintiff: GRANT, JOCELYN SHUNTEL
Defendant: HARRIS, DAVID
Date Filed: 5/11/2011
Cause of Action: CSRP NON AGREED OTHER FAMILY
Disposition Date: 07/31/2012
Disposition: DEFAULT JUDGMENT/ NAME MATCH ONLY

Case Number: 201229305 - 7
Plaintiff: ALFORD, BRITTANY
Defendant: HARRIS, DAVID
Date Filed: 5/18/2012
Cause of Action: DAMAGES (AUTO)
Disposition Date: PENDING
Disposition: PENDING/ NAME MATCH ONLY

Case Number: 201128417 - 7
Plaintiff: GRANT, JOCELYN SHUNTEL; AG CHILD SUPPORT, 609
Defendant: HARRIS, DAVID
Date Filed: 5/11/2011
Cause of Action: CSRP NON AGREED OTHER FAMILY
Disposition Date: 07/31/2012
Disposition: DEFAULT JUDGMENT/ NAME MATCH ONLY

Civil Records: See the Upper Civil Records listed.
Inconsistencies & Discrepancies

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UCC Filings:

- Location(s) searched: State of Texas
- Years searched: 2002 to Present
- Names searched: David W. Harris
- Results: See the reported UCC Filings.

Original Filing Number: 90007509262
Filing Type: ORIGINAL FILING
Filing Status: ACTIVE
Original Date: Mar 17, 2009
Filing State: TX

Debtor(s):
Debtor Name: DAVID WARROW HARRIS
Debtor LexID: 001063823872
Debtor SSN/FEIN: [redacted]
Debtor Address: [redacted] HOUSTON TX 77024-6925

Secured(s):
Secured Name: ALAMO SPORTING ARMS, LLC
Secured Address: 2529 BOARDWALK ST, SAN ANTONIO TX 78217-4416

Filing(s):
Filing Type: TERMINATION
Filing Number: 1200031214
Filing Status: ACTIVE
Date Filed: Jan 30, 2012
Filing Expiration Date: 3/17/2014
Pages: 2

Filing Type: UCC STANDARD
Filing Number: 90007509262
Filing Status: ACTIVE
Date Filed: Mar 17, 2009
Filing Expiration Date: 3/17/2014
Pages: 1

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Original Filing Number: 405947
Filing Type: ORIGINAL FILING
Filing Status: ACTIVE
Original Date: Jan 10, 2000
Filing State: TX

Debtor(s):
Debtor Name: DAVID W HARRIS
Debtor LexID: 001[redacted]
Debtor SSN/FEIN: [redacted]
Debtor Address: 2727 ALLEN PKWY FL 6, HOUSTON TX 77019-2159

Debtor Name: AMY S HARRIS
Debtor LexID: 001061949982
Debtor SSN/FEIN: [redacted]
Debtor Address: 2727 ALLEN PKWY FL 6, HOUSTON TX 77019-2159

Secured(s):
Secured Name: BANK OF AMERICA, N.A.
Secured Address: PO BOX 2518, HOUSTON TX 77252-2518

Filing(s):
Filing Type: CONTINUATION
Filing Number: 400776671
Filing Status: ACTIVE
Date Filed: Aug 9, 2004
Filing Expiration Date: 1/12/2010
Pages: 4

Filing Type: UCC STANDARD
Filing Number: 405947
Filing Status: ACTIVE
Date Filed: Jan 10, 2000
Filing Expiration Date: 1/12/2010
Pages: 4

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Original Filing Number: 9700055228
Filing Type: ORIGINAL FILING
Filing Status: ACTIVE
Original Date: Mar 20, 1997
Filing State: TX

Debtor(s):
Debtor Name: DAVID W HARRIS
Debtor LexID: 001063823872
Debtor SSN/FEIN: [redacted]
Debtor Address: 2727 ALLEN PKWY FL 6, HOUSTON TX 77019-2159

Secured(s):
Secured Name: NATIONSBANK OF TEXAS, N.A.
Secured Address: 700 LOUISIANA ST, HOUSTON TX 77002-2700

Filing(s):
Filing Type: CONTINUATION
Filing Number: 200086468
Filing Status: ACTIVE
Date Filed: Nov 5, 2001
Filing Expiration Date: 3/20/2007
Pages: 2

Filing Type: UCC STANDARD
Filing Number: 9700055228
Filing Status: ACTIVE
Date Filed: Mar 20, 1997
Filing Expiration Date: 3/20/2007
Pages: 2

UCC Filings Inconsistencies & Discrepancies See the UCC Filings

Tax Liens & Judgments: (Federal)

Southern District of Texas

- Type: Federal Tax Liens and Judgments
- Years searched: 2002 to Present
- Names searched: David W. Harris
- Location(s) covered: Harris County, TX
- Results: No records were located

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Federal Liens & Judgments None

Inconsistencies & Discrepancies

Tax Liens & Judgments: (Statewide) Texas – Statewide

- Type: Statewide Tax Liens and Judgments
- Years searched: 2002 to Present
- Names searched: David W. Harris
- Location(s) covered: State of Texas
- Results: No records were located

State Liens & Judgments None

Inconsistencies & Discrepancies

Overall *Inconsistencies & Discrepancies*

See the Civil Records Section for Southern District of Texas (Harris County).
See the UCC Filings Section for details.

Case #: 32470323 DAL 01/28/2013 Dallas Office, 12770 Coit Rd., Ste. 1200, Dallas, TX

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