

EXHIBIT 13

GREGG HENDERSON MATTER NO 12-0067 / 1029495

9-8-09 LETTER FROM ALLISON KRUESSEL TO VIC OVERHOLT WITH FILE AND OTHER DOCUMENTS (163 PAGES)



Investigative Services
525 Vine Street, 14th Floor
Cincinnati, OH 45202

All Kruesel
Security Specialist I
Phone: (513) 333-5545
Fax: (513) 389-7705
akruesel@gaic.com

September 8, 2009

Investigator Victor E. Overholt
Investigations & Enforcement
Consumer Protection Division
Washington Office of Insurance Commissioner
Seattle Office
Central Building, Suite 650
810 Third Avenue
Seattle, WA 98104

RE: OIC Case File #: 1029495
Consumer: WA State Insurance Commissioner
Companies: American Financial Group (Great American Advisors)
AVIVA (American Investors Life)
Client: Richard P. Falcone
Agent: Gregg A. Henderson

Dear Investigator Overholt

As requested, please find enclosed copies of the following:

- All documentation in our files for Mr. Richard P. Falcone
- A copy of my investigative file

Also as requested, Agent Gregg A. Henderson's positions and titles while appointed with Great American Financial Resources, Inc (parent company of Great American Life Insurance Company) are as follows:

- The Annuity Source, LLC

- Appointment effective 6/14/2006 to 1/9/2008
- Financial Independence Group
 - Appointment effective 12/13/2006-4/5/2009
 - Reported to Christopher Geye
- Creative Marketing ("CMIC")
 - Appointment effective 4/6/2009 to present

Agent Henderson's title during his appointment with GAFRI has been general agent.

Please let me know if any other information is needed.

Sincerely, ~



Allison M. Kruessel
Security Specialist I
Investigative Services
American Financial Group
Great American Insurance Companies
525 Vine Street, 14 East
Cincinnati, OH 45202
akruessel@gaic.com
Office Phone: (513) 333-5545
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Fax: (513) 369-7109



A9-007 Richard Falcone timeline

January 15, 2009: Richard Falcone, victim, met with Gregg Henderson, insurance sales person.

- Falcone did not recall signing transfer paperwork. Might have signed some forms but did not give anyone consent to impersonate him and effect trades on his GAA account.
- Henderson was later determined to be a GAFRI appointed agent.

January 16, 2009: GAA Trade Desk rec'd call from person reporting to be Falcone.

- Caller requested liquidation of all positions held in his GAA account.
- Trade confirmations were issued by Pershing to Falcone's address of record.

January 20, 2009: Falcone and GAA Registered Rep Bob Santocito rec'd trade confirmations.

- Contacted GAA to report that they had not entered the trade orders.
- Phone recording retrieved and Falcone stated that the individual who called in to place the trade orders was not him.
- Acknowledgement of False Information form sent by GAA to Falcone and Santocito, who completed and returned the form. Trade orders were canceled and Falcone's account was restored as if the trades had not occurred.

January 23, 2009: GAA rec'd account transfer paperwork from Aviva (American Investors Life Insurance Company).

- Aviva forms signed by Falcone 1/15/09, the same date he said he met with Henderson.
- Mihal suspects that the info reported on the forms may have been used by the person who contacted GAA to effect the liquidations in Falcone's GAA account.
- Mihal emailed Falcone to confirm that he did not want to proceed with the transfer instructions; Falcone replied and confirmed that he did not want to transfer his account/funds.

January 26, 2009 approx 10:15 am EST: Mihal contacted Falcone to discuss information rec'd.

- Falcone has mild Alzheimer's and has intermittent memory loss.
- Recalled meeting with insurance sales person 1/15/09 but did not remember signing transfer paperwork.
- Stated that he did not want to transfer his account from GAA.
- Stated that he may have signed some forms during meeting on 1/15 but did not give anyone consent to impersonate him and effect trades in his account.

January 26, 2009 approx 11:20 am EST: GAA Trade Desk rec'd another call from someone reporting to be Falcone.

- Call transferred to Mihal.
- Caller id'd himself as Falcone and provided appropriate account number and said that he wanted to check on the liquidation of a bond position in the account.
- Mihal noticed caller's voice was different from that of Falcone's from conversation he had with him an hour earlier.

- Mihai reminded caller that they had an earlier conversation that day; caller seemed surprised and reported that he had memory loss due to alcoholism.
- Caller asked Mihai to explain what was previously discussed.
- Mihai informed caller that he did not believe he was the real Mr. Falcone and that he (Mihai) had reason to believe he was an insurance agent that acquired Falcone's personal information and is using the information inappropriately to gain access to the account.
- Caller immediately terminated call with Mihai.

January 26, 2009 approx 11:30 am EST: Mihai called Falcone to report call rec'd a few minutes prior.

- Falcone stated that in the past 5 minutes the insurance agent he met with on 1/15/09 had tried to call him on his home and cell phones.
- Falcone let both calls go to voicemail.
- Falcone stated that he did not make the 11:20 am phone call and that he wished to keep all of his positions intact in his GAA account.
- Falcone reported that the agent he met with on 1/15/09 was Greg Henderson (phone number 206-354-1321)

2/24/09: Phone interview conducted with Gregg Henderson. Henderson denied knowing or ever hearing of Falcone. Said that if they "never did business then he was in one ear and out the other." Asked Henderson if he had an appointment book that would've reflected an appointment with Falcone, he said he did not have one. Told Henderson that we would have to do some more looking into the matter and ended the call.

2/24/09: Gave update to Mihai and told him that Henderson's voice sounded similar to that of the caller impersonating Falcone, but we could not be sure it was the same guy due to the fact that Henderson denied ever meeting with Falcone. Mihai said he would contact AVIVA to see if he could obtain a copy of the policy application that would have shown the agent that submitted it.

3/4/09: Contacted Mihai to see if he had been able to obtain Falcone's app from AVIVA. Mihai had been unable to, but mentioned that Falcone was trying to get a copy himself.

3/17/09: Spoke with Mihai, said that Falcone had thus far been unable to obtain a copy of the application

3/20/09: Attempted to contact ASIS AVIVA Canada person to obtain contact information for AVIVA USA. Left VM, which went unreturned.

3/27/09: Found AVIVA USA contact in ACFE directory, called and left VM explaining request.

4/3/09: Followed up with AVIVA USA contact, was directed to AVIVA Compliance Department (Debbie Rogers). Rogers took down my information that I was requesting, said she would forward it to a fraud investigator and get back to me.

4/8/09: Followed up with Chris Maske, AVIVA fraud investigator. Maske was unable to provide copies of the policy application, but was able to tell me that Falcone had an application pending with a policy note on 1/27/09 stating that the client wanted to cancel but that the agent might be able to get him to change his mind. Maske confirmed that the agent who sent in the application was Gregg Henderson.

4/15/09: Attempted to call Henderson at 206-354-1321. Phone went directly to voicemail. Left message requesting call back to discuss matter previously talked about involving Richard P. Falcone.

4/15/09: Attempted to reach Henderson at home number (425-836-2262). Left message requesting call back.

4/15/09: Re-ran NIPR on Henderson. Appears that his WA license is still active and still has several appointments.

4/16/09: Attempted to call Henderson again at 206-354-1321. Phone went to voicemail and left another message telling him it was in his best interest to return call and requested such ASAP.

- Called Henderson's house number again, spoke with Julie (according to Accurint, she is his wife). Gave her my name and number, told her I was with GAA and GAFRI and told her that Gregg needed to call me back ASAP. Told her I had left a message on his work number. Julie said he was "in the lower part of the state" today and might not have good reception there.

4/17/09: Spoke with TRM about next steps; recommended referral to WA DOI and that GAFRI terminate Henderson's appointment.

4/17/09: Henderson called shortly after I spoke with TRM. From the beginning of the call, Henderson was argumentative and denied doing business with Richard Falcone. Told Henderson we had information showing that he submitted a policy for Falcone to AVIVA, Henderson continued to deny knowing Falcone. Gave Henderson a brief review of the complaint made by Falcone and he continued to deny. Said that maybe one of his "staff" might have submitted the application, and that he would check around the office and get back to me. Asked how many "staff" he has, Henderson refused to tell me. Henderson asked if Falcone ever lost any money as a result of the actions, I informed him that he had not, that his account had been restored. Henderson expressed displeasure with the fact that I was continuing to call him about the matter if Falcone was not out any money. Told him I had a duty and obligation to follow up on the complaint, and that I was going to have to refer it to the DOI. Henderson asked for which state, and when I told him it would be Washington, he almost

immediately became deceptively cooperative. He asked for the approximate date of the meeting he had, told him it was January, mid January. Henderson volunteered to check his "appointment book" to see if he could find anything. Stepped away from the phone for about a minute or two, then came back with the "appointment book." Said that he had an entry for "Dick Falcone," gave Falcone's address and then suddenly remembered exact specifics about Falcone. Alleged that he was a "raging alcoholic" for many years and cannot remember things. Also alleged that Falcone suffered from blackouts. Said that he recalled Falcone having stocks and money markets totaling \$140,000-\$150,000 and that he was a retired longshoreman in his late 60s or early 70s.

Henderson said that if I called Falcone again, that he would not remember any previous conversations due to the alcoholism-induced memory loss.

4/17/09: Spoke with Gaynor re: findings. Told him that due to the information obtained and the belief that the caller impersonating Falcone and Henderson were the same individual, we recommend terminating Henderson's appointment.

Gaynor said that Henderson had only written two Long Term Care policies (out of Austin) and two annuities during his appointment.

Gaynor also expressed interest in referring to law enforcement and WA Fraud Bureau - told him that I'd look into it but would be referring to DOI for now.

4/17/09: Closer examination of transfer paperwork received by GAA from AVIVA revealed that Falcone's signature as represented on the transfer form shows discrepancies from the Acknowledgement of False Information form signed by Falcone and witnessed by his GAA Registered Rep Bob Santocito



Mihal, Shawn

From: Mihal, Shawn
Sent: Tuesday, January 20, 2009 6:15 PM
To: 'dickfalcone@comcast.net'
Cc: 'bsantonocito@gaa.net'
Subject: Acknowledgment of False Information
Attachments: falcone-nick 1-16-09.wav; Acknowledgment of False Information.pdf

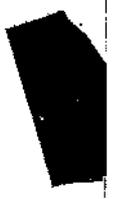
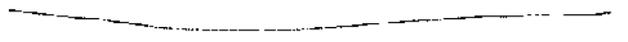
Dear Mr. Falcone:

The Great American Advisors, Inc. ("GAA") Compliance Department has been informed that some person purporting to be you placed trades within your brokerage account held through GAA. In addition, it is our understating that you are requesting GAA to reverse the trades that occurred in your account as a result of this unauthorized activity. A copy of the telephone recording associated with these trades is attached to this email for review. Please carefully listen to the telephone recording. I have also included an Acknowledgment of False Information form with this email. Please carefully review this form and, if appropriate, please complete the form and return it to my attention at the address provided below. You may fax or email a copy to me as well; however, GAA will require the originally signed document for our files.

If you have any questions, please feel free to contact me.

Thanks,
Shawn M. Mihal
Chief Compliance Officer
Great American Advisors, Inc.
Member FINRA and SIPC
An SEC Registered Investment Advisor
525 Vine Street, 7th Floor
Cincinnati, OH 45202
(800) 216-3354 Extension 11531
Facsimile: (513) 412-5142
Email: smihal@gadvisors.com

1/23/2009





Great American Advisors[®], Inc.
525 Vine Street, 7th Floor
Cincinnati, OH 45202
(800) 216-3354

Acknowledgment of False Information ("Acknowledgement")

I, Richard Phillip Falcone, residing at 14209 119th Place N.E.
Kirkland, Washington 98034-1104, hereby acknowledge and confirm that all of the following are true and correct to the best of my knowledge and belief:

- Some person purporting to be me provided verbal instructions via telephone to Great American Advisors, Inc. ("GAA") to liquidate my brokerage account, [REDACTED] (insert account number), on January 16, 2009 (insert date) ("Trade Instructions").
- I have been provided with a recording of the telephone call received by GAA providing Trade Instructions.
- I have listened to the recording provided by GAA.
- The person(s) providing the Trade Instructions to GAA was not me.
- The person(s) providing the Trade Instructions to GAA did not have my authority or consent to provide such Trade Instructions.
- I do not know the person(s) providing Trade Instructions to GAA.
- I request GAA to cancel the Trade Instructions and restore the positions previously held in my account identified above.

I acknowledge that GAA may incur costs due to the cancellation and correction of the Trade Instructions. If the information provided within this Acknowledgment is untrue, GAA reserves the right to apply the cancellation and correction costs to my account identified above.

Richard P. Falcone
Client Signature

January 20, 2009
Date

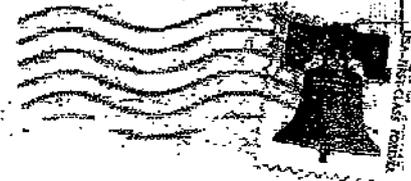
[Signature]
Representative Signature

January 20, 2009
Date

Richard P. Falcone
14209 119th Place N.E.
Kirkland, WA 98034

SEATTLE WA 98101

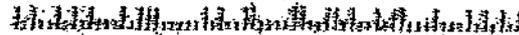
25 JAN 2009 PM 5:17



Mr. Shawn M. Mihal
Chief Compliance Officer
Great American Advisors, Inc
525 Vine Street, 7th Floor
Cincinnati, Ohio 45202

RECEIVED
JAN 26 2009
GAA, INC.

45202-0100



RECEIVED

JAN 26 2008

FAX SHEET 1/2

COMPLIANCE

TO: SHAWN M. MIHAL

CHIEF COMPLIANCE OFFICER

GREAT AMERICAN ADVISORS

FM: RICHARD P. FALCONE

Acct: 4GG 008940

**SUBJECT: ACKNOWLEDGMENT OF FALSE
INFORMATION.**

Two (2) Pages including this cover.



Great American Advisors®, Inc.
525 Vine Street, 7th Floor
Cincinnati, OH 45202
(800) 216-3354

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I, Richard Phillip Falcone, residing at 14209 119th Place N.E.
Kirkland, Washington 98034-1104, hereby acknowledge and confirm that all of the following are true
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Richard P. Falcone
Client Signature

January 20, 2009
Date

[Signature]
Representative Signature

January 20, 2009
Date

FAX SHEET 1/2

TO: SHAWN M. MIHAL
CHIEF COMPLIANCE OFFICER
GREAT AMERICAN ADVISORS

FM: RICHARD P. FALCONE
Acct: 4GG 008940

SUBJECT: ACKNOWLEDGMENT OF FALSE
INFORMATION.

Two (2) Pages including this cover.



AFG INVESTIGATIVE SERVICES INITIAL ASSESSMENT

INITIAL INFORMATION

CASE #: A9-007

DATE OPENED: 1/29/2009

INVESTIGATOR: Tom McDaniel

CASE NAME: Richard P Falcone-victim

FEDERAL INFORMATION

COMPLAINANT: Bill Gaynor

COMPANY: GAFRI

TELEPHONE #:

FAX #:

RECEIVED BY: (investigator) Tom McDaniel

REF TYPE: Inside source

SUBJECT OF INVESTIGATION

CATEGORY: AGT

OFFICE: Cincinnati

COMPANY: GREAT AMERICAN FINANCIAL
RESOURCES INC.

DIVISION: CORPORATE SERV

AGT NAME: Richard Phillip Falcone

AGENCY NAME:

PRODUCER #

AGENCY ADDRESS: 14209 119th Place NE
Kirkland, WA 98034-1104

AGENCY TELEPHONE:

BUSINESS VOLUME:

DATE APPOINTED:

CLAIM #:

POLICY NUMBER: [REDACTED]

INCIDENT INFORMATION

FRAUD TYPE: IMPERSONATION OF AGENT
FORGERY

EXPOSURE Unknown

ALLEGATION INCIDENT

Investigative Services received information from Bill Gaynor, GAFRI Legal, that Shawn Mihal, Chief Compliance Officer, GAA, received a complaint from a GAA account holder, Richard Falcone, indicating someone impersonated him or his agent to facilitate an illegal financial transaction on his brokerage account.

INITIAL ASSESSMENT

INDIVIDUALS POTENTIALLY INVOLVED

POSSIBLE INVESTIGATIVE STEPS

Run background check

Obtain brokerage information

Issue investigative report

Obtain tape recorded information from victim

Refer case to regulatory/law enforcement officials

Obtain copy of victim's affidavit

Interview witnesses

Consult with corporate legal




AVIVADATE: 1-21-09TO: Great American

Your Contract Number	[REDACTED]
Our Contract Number	612718
Annuitant Name:	Richard Falcone
Joint Annuitant Name:	N/A
Owner Name:	Same
Joint Owner Name:	N/A

The owner has requested to have funds from your company transferred to American Investors Life Insurance Company to be deposited into an annuity contract. We agree to accept the proceeds on a tax-free basis. We acknowledge that the participant will establish the following type of account with American Investors Life and the transferred funds will be credited to this account:

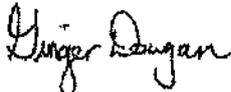
- Nonqualified Annuity (1035 Exchange)
- Nonqualified Annuity (non-1035 Exchange)
- IRA
- SEP IRA
- ROTH IRA
- Other Qualified Plan _____

Enclosed is a Request for Funds/Transfer form. Please process the request as indicated and forward the proceeds to us to be placed into the owner's account. Please make the check payable and send to:

American Investors Life Insurance Company
FBO: Owner Name
555 South Kansas Avenue
PO Box 2039
Topeka KS 66601-2039

Please contact our New Business Department at 888-ANNUITY (888-266-8489) if any additional documentation is needed, or if you have any questions. If this is a 1035 exchange, please provide the cost basis information to us.

Sincerely,



Ginger Dougan
Senior Vice President
AmerUs Annuity Group

Enclosure





Great American Advisors, Inc.
 Registered Broker/Dealer, Member NASD, SIPC
 P.O. Box 357, Cincinnati OH 45201-0357

Individual Retirement Account Statement

* 00074547 01 AV 0.324 TR 00287 X115FD07 000000

Account Number: [REDACTED]
 Statement Period: 12/01/2008 - 12/31/2008

IRA FBO RICHARD FALCONE
 PERSHING LLC AS CUSTODIAN
 14209 119TH PLACE NE
 KIRKLAND WA 98034-1104

Valuation at a Glance

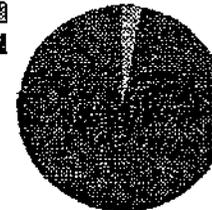
	This Period
Beginning Account Value	\$156,466.78
Cash Deposits	9.82
Dividends/Interest	555.86
Change in Account Value	905.77
Ending Account Value	\$157,938.23
Estimated Annual Income	\$2,236.81



Your Investment Professional:
 ROBERT C. SANTONOCITO
 (425) 830-6612

Asset Allocation

	Value Last Period	Value This Period	Percent Allocation
Fixed Income <i>BDND</i>	3,686.90	4,092.60	3%
Mutual Funds	152,824.00	153,879.93	97%
Account Total (Pie Chart)	\$156,510.90	\$157,972.53	100%
Cash, Money Funds, and FDIC Deposits	-44.12	-34.30	
Account Total	\$156,466.78	\$157,938.23	



Asset Allocation percentages are rounded to the nearest whole percentage.

Pie Chart allocation excludes all asset classes which net to a liability.



Request For Funds



Great American Advisors Inc. 800 216 3354
 Company You Are Transferring From Phone Number (Important)
 attn: Trade Desk; 525 Vine St., 7th floor, Cincinnati OH 45202
 Street Address of Company You Are Transferring From City State Zip
 Richard P. Falcone Name of Insured/Annuitant/Client
 Social Security Number Name of Owner
 Owner's Social Security Number Approx. Amount of Transfer
 Existing Contract/Policy/Account No. Investment Vehicle (i.e., CD, Mutual Fund, Stocks (must be received) etc.) Account Type (i.e., IRA, 403(b) or Name of Fund) Transfer Funds Immediately
 mutual funds IRA \$156,000

3900543

COMPLETE SECTION 1 OR SECTION 2 ONLY

Request for 1035 Exchange • Non-qualified Insurance or Annuity Contract

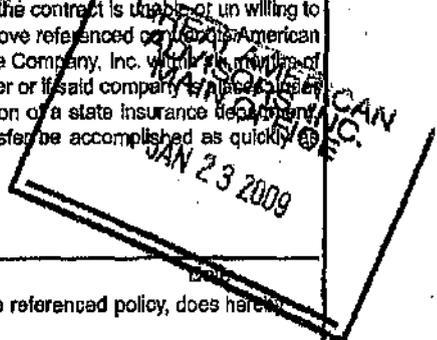
I hereby absolutely assign all of my right, title and interest in and to the above referenced contract to American Investors Life Insurance Company, Inc. including, but not limited to, the right to surrender, assign, transfer, or change beneficiary.

company has no responsibility nor liability for my tax treatment. I understand the exact amount of the proceeds may vary depending upon the date of transfer, and I agree to execute any additional documents required to complete the transfer. I understand that the exchange is not complete if the company issuing the contract is unable or unwilling to pay the value of the above referenced contract to American Investors Life Insurance Company, Inc. which is the result of the request for surrender or if said company is not under the control or supervision of a state insurance department. I request that this transfer be accomplished as quickly as possible.

Section 1035 of the Internal Revenue Code permits certain nontaxable exchanges of insurance and annuity policies. It is my intention that this transfer qualify as a Section 1035 exchange and that no portion of this exchange be actually or constructively received by me. American Investors Life Insurance Company, Inc. makes no representation concerning my tax treatment for this transaction and the

Lost Policy. I cannot locate the policy.

Owner's Signature _____ Date _____ Owner's Signature _____ Date _____
 By signing below, American Investors Life Insurance company, Inc., owner of the above referenced policy, does hereby request immediate surrender of the above-referenced insurance contract.
 The account to which the funds are being transferred is a non-qualified annuity.



Qualified and Non-Qualified Plan Transfer Request • Authorization to Transfer Funds

- Please liquidate account in full.
- Please liquidate \$ _____ of my account.
- Please liquidate _____ % of my account.
- Please liquidate certificate(s) of deposit:
 - On the maturity date of: _____
 - Upon receipt of this request and transfer to the annuity I have established through American Investors Life Insurance Company, Inc. I/We are aware of any penalty that may be imposed from an early withdrawal _____ (client initials).
- Lost Policy. I cannot locate the policy.

Richard P. Falcone
 Owner's Signature Date 1-15-09

 Owner's Signature Date
 A Signature Guarantee may be required by transferring company.

 Signature Guarantee By: Name of Bank or Firm

 Signature of Officer and Title

Special Instructions to Transferring Company: If this is a transfer from a qualified plan, please process the Required Minimum Distribution, if any, for the current year prior to transferring the funds.

Acceptance by American Investors Life Insurance Company, Inc.

By signing below, American Investors Life Insurance Company, Inc. agrees to accept the transfer described above for the Plan established on behalf of the above named individual. We accept appointment as successor custodian of the above account and request the liquidation and transfer of funds indicated above.

- The account to which the funds are being transferred is a non-qualified annuity.
- The account to which the funds are being transferred is an IRA.
- The account to which the funds are being transferred is a Roth IRA.

Make Checks Payable To:
 American Investors Life Insurance Company, Inc.
 FBO: Richard Falcone

Ginger Dugan
 BY G Date 1-21-09

Mailing Proceeds Direct To:
 American Investors Life Insurance Company, Inc.
 P.O. Box 2039
 Topeka, KS 66601-2039
 800/255-2405 ext. 306 • Fax: 886/709-3921

Vice President - Annuity New Business
 Title _____
 New Business Dept. Policy No.: _____

IRA RESOURCE CHECKING AGREEMENT

IRA Account Number:

[REDACTED]

Social Security Number or Taxpayer Identification Number:

[REDACTED] - [REDACTED] - [REDACTED]
XXXXXXXXXX
XXXXXXXXXX

Account Information

Your checks will contain your name and address as they appear on your account registration. If you do not wish to have your address appear on your checks, please check the "No Address" box below.

No Address

If you would like an additional line of information added to your checks (not to exceed 32 characters, including spaces), please include it on the line below.

OTHER OPTIONAL INFORMATION (FOR INSTANCE, TELEPHONE NUMBER)

Preferred Starting Check Number:
(If left blank, your starting check number will be 101.)

1 2 5 0

Signature:

By signing below, you accept the terms of the attached IRA Resource Checking Agreement.

Richard Fallick

Date: Nov 14, 2008

For The Introducing Financial Organization:
The undersigned organization guarantees that the signature on this application is that of the account holder or is authorized by the account holder.

West American Advisors
INTRODUCING FINANCIAL ORGANIZATION
Bob Sartore *F.A.*
NAME (PLEASE PRINT) TITLE
SIGNATURE DATE



FRCHRAR

Attached to ppwk
for verification of
Signature only

IRA RESOURCE CHECKING AGREEMENT

IRA Account Number:

[REDACTED]

Social Security Number or Taxpayer Identification Number:

[REDACTED]

Account Information:

Your checks will contain your name and address as they appear on your account registration. If you do not wish to have your address appear on your checks, please check the "No Address" box below.

No Address

If you would like an additional line of information added to your checks (not to exceed 32 characters, including spaces), please include it on the line below.

OTHER OPTIONAL INFORMATION (FOR INSTANCE, TELEPHONE NUMBER)

Preferred Starting Check Number:

(If left blank, your starting check number will be 101.)

1 2 5 0

Signature:

By signing below, you accept the terms of the attached IRA Resource Checking Agreement.

Richard Falcone

Date: Nov 14, 2008

For The Introducing Financial Organization:

The undersigned organization guarantees that the signature on this application is that of the account holder or is authorized by the account holder.

Sweet American Advisors
INTRODUCING FINANCIAL ORGANIZATION
Bob Santoro F.A.
NAME (PLEASE PRINT) TITLE
[Signature] [Signature]
SIGNATURE DATE



PROHIBIT

ACCOUNT TRANSFER FORM

I. RECEIVING FIRM INFORMATION (Only one per form)

RECEIVING FIRM CLEARING NUMBER: 0443

ACCOUNT NUMBER: [REDACTED]

SOCIAL SECURITY NUMBER: [REDACTED]

or TAXPAYER IDENTIFICATION NUMBER: [REDACTED]

SECONDARY SOCIAL SECURITY NUMBER: [REDACTED]

or TAXPAYER IDENTIFICATION NUMBER: [REDACTED]

ACCOUNT TYPE: (Enter the two character code)

SR (Single) ES (Estate) CO (Corporate) DR (Direct Roll-over) SI (SIMPLE IRA) BC (Bank Custody) JT (Joint) CU (Custodian) **TR** (Traditional IRA) RI (Roth IRA) AG (Agency) 4K (401K) CT (Contract) QP (Qualified Plan) TR (Trust) EI (Education Savings Account) OT (Other)

II. DELIVERING ACCOUNT INFORMATION

CLEARING NUMBER: [REDACTED] 057

DELIVERING FIRM CONTACT INFORMATION (MANDATORY FOR NON-ACATS ONLY)

ACCOUNT NUMBER: [REDACTED]

CONTACT NAME: [REDACTED]

FIRM NAME: Edward Jones

FIRM ADDRESS: (No P.O. Box)

ACCOUNT TITLE: (As it appears on your statement)

CITY: STATE: ZIP:

Richard Falcone I.R.A.

TELEPHONE NUMBER:

NOTE: A complete copy of your most recent brokerage account statement is necessary to process this form.

III. TRANSFER TYPE (Choose only one)

A. ACAT

FULL ACAT: All assets must be transferred in kind and the clearing number must be filled out in Section II. (If a liquidation is required, items must be liquidated prior to transfer)

B. NON-ACAT (Check all or partial)

FULL (Check one below)

TRANSFER ALL ASSETS IN KIND

TRANSFER ALL ASSETS IN KIND EXCEPT FOR THE ASSETS LISTED IN SECTION IV, WHICH REQUIRE LIQUIDATION.

PARTIAL (Complete section IV) (Liquidations should apply to mutual funds and equities only)

C. INTERNAL TRANSFER

FULL

PARTIAL (List assets in Section IV for partial transfers)

NOTE: The delivering broker number is 0443 and all assets will transfer in kind. If liquidation is required, items must be liquidated prior to transfer.

D. DIRECT MUTUAL FUNDS

TRANSFER MUTUAL FUNDS FROM THE ACCOUNT HELD DIRECTLY AT THE FUND COMPANY. (See list in Section IV for exact instructions)

NOTE: Only one fund family per form

E. DRG/DRIP

DRG/DRIP (Attach a copy of DRG/DRIP statement)

NOTE: For transfer agents, electronically send positions or issue a certificate for whole shares and redeem fractional shares.

F. RETIREMENT PLAN CD INSTRUCTIONS (Please read section V and sign when applicable)

IMMEDIATE CD IMMEDIATELY. (I am aware of the penalty I may incur from my early withdrawal)

AT MATURITY, FORWARD PROCEEDS OF MY CD FROM MY RETIREMENT PLAN. Maturity Date: _____



TFRR

I hereby designate financial organization.

GAA

at the

ACCOUNT NUMBER

NAME: Richard Falcone GENDER: M DATE OF BIRTH: 02/28/1939
MAILING ADDRESS: 14209 117th PL, NE CITY: Kirkland STATE: WA ZIP: 98034
TELEPHONE: SOCIAL SECURITY NUMBER:

- Participant IRA, Rollover IRA, Spousal IRA, Charitable IRA, SEP IRA

FOR SEP IRA ONLY, PLEASE PROVIDE YOUR EMPLOYER'S TAX IDENTIFICATION NUMBER:

Note: If you are 70 1/2 years of age or older this year, you may not transfer or rollover acquired minimum distribution amounts. If necessary, instruct your present custodian to contact 1) Pay your acquired minimum distribution to you now, or 2) create that amount for distribution to you later.

The Participant understands that any idle cash in the IRA will be invested in a money market fund, deposit account, or other investment made available through your financial organization, unless the Participant elects otherwise by checking the box below.

NO INTEREST IN CASH. The Participant understands that the Custodian has no responsibility to credit interest on uninvested cash in any IRA.

For more complete information about the money market fund, including charges and expenses, request a prospectus from your financial organization. Read it carefully before you invest.

MARITAL STATUS: SINGLE MARRIED

The following shall be my Beneficiary or Beneficiaries of this IRA. If I designate more than one primary or contingent Beneficiary, but do not specify the percentage for which such Beneficiary or Beneficiaries is entitled, payment will be made to the surviving Beneficiary or Beneficiaries in equal shares.

Note: For specific beneficiary payments, please refer to the applicable sections of the Plan and the Disclosure Statement.

PRIMARY BENEFICIARIES

Table with columns: NAME, GENDER, RELATIONSHIP, DATE OF BIRTH, SOCIAL SECURITY NUMBER, ADDRESS, PERCENTAGE. Includes Tracy Marie Shupe (W) Daughter and Theresa Lynn Aug (CE) Daughter.

CONTINGENT BENEFICIARIES (Secondary beneficiaries will be paid only if all primary beneficiaries do not survive the Participant)

Table with columns: NAME, GENDER, RELATIONSHIP, DATE OF BIRTH, SOCIAL SECURITY NUMBER, ADDRESS, PERCENTAGE.

If you are married, reside in a community property or marital property state, and designate someone other than your spouse as your sole, primary Beneficiary, your spouse must sign this form below. In addition, if you reside in your state, the form must be signed in the presence of a Notary Public.

(SIGNATURE OF SPOUSE: (Required in community or marital property states))

DATE

I understand the eligibility requirements for the type of IRA deposits I make and I state that I qualify to make the deposits. I have received a copy of the Fidelity LIRC Individual Retirement Custodial Account Plan and Disclosure Statement, I understand that the terms and conditions which apply to this Individual Retirement Account are contained in this Fidelity LIRC Individual Retirement Custodial Account Plan and Disclosure Statement. I agree to be bound by those terms and conditions.

PARTICIPANT OR CHARITABLE SIGNATURE: Richard P. Falcone DATE: Nov 4 2008

REQUIRED APPROVAL OF THE FINANCIAL ORGANIZATION. Please forward to your deposit organization for approval.

Signature of Custodian (if applicable)

Nov 4 2008

Operator/Manager Signature

Date



NACTADOP

3-95

FORM 8888-01-04

P.10

641 7954360

Owner

Nov 04 08 09:57a



Mihal, Shawn

From: Mihal, Shawn
Sent: Friday, January 23, 2009 4:26 PM
To: 'dickfalcone@comcast.net'
Cc: bsantonocito@gaa.net
Subject: RE: Acknowledgment of False Information
Importance: High

Dear Mr. Falcone:

Pursuant to the signed Acknowledgment of False Information form you faxed to me on January 21, 2009, I wanted to notify you that Great American Advisors, Inc. ("GAA") received account transfer paperwork today from Aviva (American Investors Life Insurance Company, Inc.). The Aviva forms appear to have been signed by you on January 15, 2009. I suspect that the information reported on these forms may have been used by the person who contacted GAA to effect the liquidations in your GAA account. In light of this information received by GAA, will you please provide the following information?

1. Please inform me if you wish to proceed with the instructions provided in the Aviva Request for Funds transfer paperwork. This would require GAA to liquidate your account and send the proceeds to Aviva.
2. Please provide me with the name, contact information, etc. of the individual you worked with to complete the Aviva Request for Funds transfer paperwork.

If you have any questions, please feel free to contact me.

Thanks,
Shawn M. Mihal
Chief Compliance Officer
Great American Advisors, Inc.
Member FINRA and SIPC
An SEC Registered Investment Advisor
525 Vine Street, 7th Floor
Cincinnati, OH 45202
(800) 216-3354 Extension 11531
Facsimile: (513) 412-5142
Email: smihal@gaadvisors.com

From: dickfalcone@comcast.net [mailto:dickfalcone@comcast.net]
Sent: Tuesday, January 20, 2009 7:52 PM
To: Mihal, Shawn
Cc: bsantonocito@gaa.net
Subject: Re: Acknowledgment of False Information

Mr Bob Santonocito and Mr Shawn M. Mihal Chief Compliance Officer.
I hereby advise you both that the voice on your tape was not that of Richard P Falcone.

I have downloaded your "Acknowledgement of False Information" and will forward it to your office immediately.
I will send you this signed copy by Fax at my time Wednesday approx 10 AM. I do not own a Fax machine.

1/23/2009

Thank you both in advance for your generous and kind attention to this matter.

Richard P. Falcone

PS: If there is anything else you need please contact me at your earliest convenience.

----- Original Message -----

From: "Shawn Mihal" <SMihal@gaadvisors.com>
To: dickfalcone@comcast.net
Cc: bsantonocifo@gaa.net
Sent: Tuesday, January 20, 2009 3:15:14 PM (GMT-0800) Auto-Detected
Subject: Acknowledgment of False Information

Dear Mr. Falcone:

The Great American Advisors, Inc. ("GAA") Compliance Department has been informed that some person purporting to be you placed trades within your brokerage account held through GAA. In addition, it is our understanding that you are requesting GAA to reverse the trades that occurred in your account as a result of this unauthorized activity. A copy of the telephone recording associated with these trades is attached to this email for review. Please carefully listen to the telephone recording. I have also included an Acknowledgment of False Information form with this email. Please carefully review this form and, if appropriate, please complete the form and return it to my attention at the address provided below. You may fax or email a copy to me as well; however, GAA will require the originally signed document for our files.

If you have any questions, please feel free to contact me.

Thanks,
Shawn M. Mihal
Chief Compliance Officer
Great American Advisors, Inc.
Member FINRA and SIPC
An SEC Registered Investment Advisor
525 Vine Street, 7th Floor
Cincinnati, OH 45202
(800) 216-3354 Extension 11531
Facsimile: (513) 412-5142
Email: smihal@gaadvisors.com

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1/23/2009



McDaniel, Tom

From: Shawn Mihal/CINCINNATI/AAG@AAG@AFG
Sent: Friday, January 30, 2009 4:09 PM
To: McDaniel, Tom
Cc: Gaynor, William
Subject: FW: Suspected Fraud

Hi Tom:

Per a discussion I had with Bill Gaynor, wav files containing the conversations with Dick Falcone are attached. The outgoing calls from me to Mr. Falcone on 1/26/09 are believed to be the actual client. The other incoming calls on 1/16/09 and 1/26/09 are believed to be the person impersonating Mr. Falcone. If you have any questions, please feel free to contact me.

Thanks,
Shawn M. Mihal
Chief Compliance Officer
Great American Advisors, Inc.
Member FINRA and SIPC
An SEC Registered Investment Advisor
(800) 216-3354 Extension 11531
Facsimile: (513) 412-5142

Email: smihal@gaadvisors.com <BLOCKED::mailto:smihal@gaadvisors.com>

From: Mihal, Shawn
Sent: Monday, January 26, 2009 5:36 PM
To: Gaynor, Bill
Subject: Suspected Fraud

Bill:

Per our conversation, GAA has reason to believe that an account held with Pershing through GAA has been the subject of attempted fraud. The account (██████████) is registered to Richard (Dick) Falcone. On January 16, 2009, the GAA Trade Desk received a call from a person reporting to be Mr. Falcone. This person requested liquidation of all of the positions held in the aforementioned account. The person had all appropriate personal information (name, account number, last four SSN digits, etc.) to effect the trades. As such, GAA followed the instructions. Trade confirmations were issued by Pershing to Mr. Falcone's address of record. Upon receipt of the trade confirmations on January 20, 2009 Mr. Falcone and his GAA Registered Representative, Bob Santonocito, contacted GAA to report that they had not entered the trade orders. GAA pulled the telephone recording to verify that the trades were placed by the client within the appropriate account. Mr. Falcone was provided with an opportunity to review the recorded telephone call. Subsequently, Mr. Falcone reported that the person placing the trade orders was not him. GAA promptly sent an Acknowledgment of False

Information form to Mr. Falcone and Mr. Santonocito for review and execution (attached). Upon receipt of the completed Acknowledgment of False Information form, GAA canceled the trade orders placed on January 16, 2009 and restored the account as if the trades had not occurred.

On January 23, 2009, GAA received account transfer paperwork from Aviva (American Investors Life Insurance Company, Inc.). The Aviva forms were signed by Mr. Falcone on January 15, 2009. I suspect that the information reported on these forms may have been used by the person who contacted GAA to effect the liquidations in Mr. Falcone's GAA account. Based on the information reported on the Acknowledgment of False Information form, I emailed Mr. Falcone on January 23, 2009 to confirm that he did not want to proceed with the transfer instructions. Mr. Falcone responded to my email and confirmed that he did not want to transfer his account/funds.

On January 26, 2009 at approximately 10:15 a.m. EST, I contacted Mr. Falcone on his home telephone number and discussed the information we received. Mr. Falcone reported that at the age of 70 he has some intermittent memory loss (mild Alzheimer's). He stated that he met with an insurance sales person on January 15, 2009, but he did not remember signing transfer paperwork. He stated that he does not want to transfer his account from GAA. He also stated that while he may have signed some forms on January 15, 2009, he did not give anyone consent to impersonate him and effect trades in his account.

On January 26, 2009 at approximately 11:20 a.m. EST, GAA's Trade Desk received another call from someone reporting to be Mr. Falcone. The call was transferred to me. The caller identified himself as Dick Falcone and provided Mr. Falcone's account number and that he wanted to check on the liquidation of a bond position in the account. The voice was distinctly different than that of Mr. Falcone's from our conversation an hour earlier that same day. When I reminded the caller that he and I had a conversation an hour earlier he seemed surprised and then reported that "he was previously an alcoholic and he often forgets things". The caller then asked me to explain what we talked about previously. I informed that caller that I did not believe that he is Mr. Falcone and that I had reason to believe that he is an insurance agent that acquired Mr. Falcone's personal information and is using the information inappropriately to gain access to the account held through GAA. The caller immediately disconnected the call with me.

On January 26, 2009 at approximately 11:30 a.m. EST, I called Mr. Falcone to report the call I had received a few minutes earlier. Mr. Falcone stated that within the past five minutes the insurance agent he met with on January 15, 2009 had tried to call him on his home and cell phones. Mr. Falcone said he let the calls go to voicemail. Mr. Falcone also stated that he did not make the 11:20 a.m. call and that he wishes to keep all of his positions intact in his GAA account. Mr. Falcone further reported the insurance agent he worked with on January 15, 2009 to be Greg Henderson (telephone number 206-354-1321).

Please forward this on to the appropriate department to initiate a review of the suspected fraud. If you need any further information, please feel free to contact me.

Thanks,
Shawn M. Mihal
Chief Compliance Officer
Great American Advisors, Inc.

Member FINRA and SIPC
An SEC Registered Investment Advisor
(800) 216-3354 Extension 11531
Facsimile: (513) 412-5142

From: Mihal, Shawn
Sent: Monday, January 26, 2009 5:36 PM
To: Gaynor, Bill
Subject: Suspected Fraud

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agent that acquired Mr. Falcone's personal information and is using the information inappropriately to gain access to the account held through GAA. The caller immediately disconnected the call with me.

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An SEC Registered Investment Advisor
(800) 216-3354 Extension 11531
Facsimile: (513) 412-5142
Email: smihal@gaadvisors.com <BLOCKED::mailto:smihal@gaadvisors.com>

McDaniel, Tom

From: Bill Gaynor/CINCINNATI/AAG@AAG@AFG
Sent: Thursday, January 29, 2009 2:32 PM
To: McDaniel, Tom
Cc: Mihal, Shawn
Subject: FW: Suspected Fraud

Tom: Per our discussion. Let me know if you need anything else.

The information in this e-mail is legally privileged and confidential information intended for the use of the addressee(s) above only. If the reader of this message is not the intended recipient, you are hereby notified that you should not further disseminate, distribute, or forward this e-mail message. If you received this e-mail in error, please notify the sender as soon as possible and delete it from any device/media where it is stored. Thank you.

From: Mihal, Shawn
Sent: Monday, January 26, 2009 5:36 PM
To: Gaynor, Bill
Subject: Suspected Fraud

B

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Please forward this on to the appropriate department to initiate a review of the suspected fraud. If you need any further information, please feel free to contact me.

Thanks,
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Chief Compliance Officer
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(800) 216-3354 Extension 11531
Facsimile: (513) 412-5142
Email: smihal@gaadvisors.com <BLOCKED::mailto:smihal@gaadvisors.com>



Acknowledgment of
False Inform...

Greg Henderson
4/23/09
206-354-1321
cell phone at 11:20 AM
T. Mihal





Licensee and insurer lookup

Verify an insurance professional's license.
Search by: **Individual**, **Business entity**, or **Insurer** (See Definitions below).

[Need help searching?](#)

[Printing instructions](#)

[Glossary of terms](#)

Search by: Sort by: Date: 1/30/2009 10:13:44 AM - Pacific Standard Time

Individual Name	DBA	WAOIC No.	NPN No
First: <input type="text"/> Last: <input type="text"/>	<input type="text"/>	193414	<input type="text"/>
Search <input type="button" value="Clear"/>			

Agent Name: HENDERSON , GREGG A		Doing Business As (DBA):	
City: SAMMAMISH		State: WA	
WAOIC Num: 193414		Expiration Date: 4/28/2010	
License Type	Lines	Effective Date	Cancel Date
Agent (AG)	L D'	4/29/2002	
Company Appointment		Agency Affiliation	

HENDERSON , GREGG A is affiliated with the following agencies:

WAOIC	Name	Type	Lines	Eff. Date	Exp. Date	Cancel Date
-------	------	------	-------	-----------	-----------	-------------

Please read our [disclaimer](#). This site is for **non-commercial use only**.

NIPR appointments

If your appointment was submitted electronically through NIPR, it should appear in this lookup within 48 hours.

If it's been longer, please **notify your vendor**. Your vendor should contact the NIPR at:

Phone: 816-783-8468 - 8 a.m. to 5 p.m. Central time, Monday-Friday
Email: customerservice@nipr.com

What's not available in this lookup?

- Premium finance, rental car and communication equipment producer licensees.
- Additional branch location addresses of a business entity licensee within a state.
- Multiple DBAs for a business entity.

Definitions

- **Individual includes:** An agent, broker, independent and public adjuster, general agent, solicitor, viatical settlement broker, or surplus line broker, associated appointments and affiliations are also listed.
- **Business entity includes:** Sole proprietor, general partnership, limited partnership, limited liability partnership, corporation and limited liability company licensed as an agent, broker, independent and public adjuster, general agent, title agent, viatical settlement broker, or surplus line broker. Associated appointments and affiliations are also listed.
- **Insurer includes:** Authorized insurance companies in the state of Washington and a listing of their appointees.

Questions?

Phone: 360-725-7144 - 8 a.m. to 5 p.m. Pacific time, Monday-Friday
Email: LicInfo@oic.wa.gov

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2008.11.24 - Version 2.0.2



Licensee and insurer lookup

Verify an insurance professional's license.

Search by: **Individual**, **Business entity**, or **Insurer** (See Definitions below).

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[Printing instructions](#)

[Glossary of terms](#)

Search by: Sort by: Date: 1/30/2009 10:12:59 AM - Pacific Standard Time

Individual Name DBA WAOIC No. NPN No

First Last

Agent Name: HENDERSON , GREGG A		Doing Business As (DBA):	
City: SAMMAMISH		State: WA	
WAOIC Num: 193414		Expiration Date: 4/28/2010	
License Type	Lines	Effective Date	Cancel Date
Agent (AG)	L D	4/29/2002	
Company Appointment		Agency Affiliation	

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- Additional branch location addresses of a business entity licensee within a state.
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Definitions

- **Individual includes:** An agent, broker, independent and public adjuster, general agent, solicitor, viatical settlement broker, or surplus line broker, associated appointments and affiliations are also listed.
- **Business entity includes:** Sole proprietor, general partnership, limited partnership, limited liability partnership, corporation and limited liability company licensed as an agent, broker, independent and public adjuster, general agent, title agent, viatical settlement broker, or surplus line broker. Associated appointments and affiliations are also listed.
- **Insurer includes:** Authorized insurance companies in the state of Washington and a listing of their appointees.

Questions?

Phone: 360-725-7144 - 8 a.m. to 5 p.m. Pacific time, Monday-Friday

Email: licinfo@oic.wa.gov

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2008.11.14 - version 2.0.2



Licensee and insurer lookup

Verify an Insurance professional's license.
 Search by: **Individual**, **Business entity**, or **Insurer** (See Definitions below).

[Need help searching?](#)
[Printing instructions](#)
[Glossary of terms](#)

Search by: Sort by: Date: 1/30/2009 10:14:44 AM - Pacific Standard Time

Individual Name: First Last DBA: WAQIC No.: NPN No.:

Agent Name: HENDERSON , GREGG A Doing Business As (DBA):
City: SAMMAMISH State: **WA** NPN: **7226762**
WAQIC Num: 193414 Expiration Date: **4/28/2010**

License Type	Lines	Effective Date	Cancel Date
Agent (AG)	L D	4/29/2002	

HENDERSON , GREGG A is appointed by the following companies:

WAQIC Name	Type	Lines	Eff. Date	Exp. Date	Cancl. Date
Agent (AG)					
961	AG	D L	2/1/2007	7/19/2010	
248	AG	L	3/21/2008	10/6/2009	9/2/2008
75	AG	L D	4/29/2005	10/2/2009	5/2/2008
81	AG	D L	7/25/2008	8/1/2009	
92	AG	D L	7/31/2007	9/6/2010	
282	AG	D L	1/2/2009	3/1/2009	
230	AG	L D	12/27/2006	5/4/2010	
1202	AG	D L	10/4/2007	9/25/2010	
342	AG	D L	3/21/2008	6/19/2010	
540	AG	L D	6/27/2006	3/3/2009	
565	AG	L	10/4/2007	9/1/2010	
566	AG	D L	10/4/2007	4/1/2010	
679	AG	L	7/11/2006	1/23/2009	1/30/2008
871	AG	D L	8/1/2008	2/10/2010	
929	AG	D L	1/2/2009	3/7/2009	
959	AG	D L	6/4/2007	10/7/2009	
140	AG	D L	1/7/2008	2/15/2010	
1184	AG	L D	10/9/2006	4/5/2008	4/8/2008
1382	AG	D L	8/1/2008	9/2/2010	



Licensee and insurer lookup

Verify an insurance professional's license.
Search by: **Individual**, **Business entity**, or **Insurer** (See Definitions below).

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Search by: Sort by: Date: 1/30/2009 10:14:44 AM - Pacific Standard Time

Individual Name: First Last DBA WAOIC No. NPN No.

Agent Name: HENDERSON , GREGG A		<u>Doing Business As (DBA):</u>	
City: SAMMAMISH		State: WA	
WAOIC Num: 193414		NPN: 7226762	
		Expiration Date: 4/28/2010	
<u>License Type</u>	<u>Lines</u>	<u>Effective Date</u>	<u>Cancel Date</u>
Agent (AG)	L D	4/29/2002	
Company Appointment		Agency Affiliation	

HENDERSON , GREGG A is appointed by the following companies:

WAOIC Name	Type	Lines	Eff. Date	Exp. Date	Cancel Date
<u>Agent (AG)</u>					
961	AG	D L	2/1/2007	7/19/2010	
<u>ALLIANZ LIFE INSURANCE COMPANY OF NORTH AMERICA</u>					
248	AG	L	3/21/2008	10/6/2009	9/2/2008
<u>AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY</u>					
75	AG	L D	4/29/2005	10/2/2009	5/2/2008
<u>AMERICAN FAMILY LIFE ASSURANCE COMPANY OF COLUMBUS</u>					
81	AG	D L	7/25/2008	8/1/2009	
<u>AMERICAN GENERAL LIFE INSURANCE COMPANY</u>					
92	AG	D L	7/31/2007	9/6/2010	
<u>AMERICAN INVESTORS LIFE INSURANCE COMPANY INC</u>					
282	AG	D L	1/2/2009	3/1/2009	
<u>AMERICO FINANCIAL LIFE AND ANNUITY INSURANCE COMPANY</u>					
230	AG	L D	12/27/2006	5/4/2010	
<u>ANNUITY INVESTORS LIFE INSURANCE COMPANY</u>					
1202	AG	D L	10/4/2007	9/25/2010	
<u>BERKSHIRE LIFE INSURANCE COMPANY OF AMERICA</u>					
342	AG	D L	3/21/2008	6/19/2010	
<u>EQUITRUST LIFE INSURANCE COMPANY</u>					
540	AG	L D	6/27/2006	3/3/2009	
<u>GREAT AMERICAN LIFE INSURANCE COMPANY</u>					
565	AG	L	10/4/2007	9/1/2010	
<u>GUARDIAN INSURANCE AND ANNUITY COMPANY INC THE</u>					
566	AG	D L	10/4/2007	4/1/2010	
<u>GUARDIAN LIFE INSURANCE COMPANY OF AMERICA THE</u>					
679	AG	L	7/11/2006	1/23/2009	1/30/2008
<u>JACKSON NATIONAL LIFE INSURANCE COMPANY</u>					
871	AG	D L	8/1/2008	2/10/2010	
<u>MUTUAL OF OMAHA INSURANCE COMPANY</u>					
929	AG	D L	1/2/2009	3/7/2009	
<u>NATIONAL WESTERN LIFE INSURANCE COMPANY</u>					
959	AG	D L	6/4/2007	10/7/2009	
<u>NORTH AMERICAN COMPANY FOR LIFE AND HEALTH INSURANCE</u>					
140	AG	D L	1/7/2008	2/15/2010	
<u>PENN TREATY NETWORK AMERICA INSURANCE COMPANY</u>					
1184	AG	L D	10/9/2006	4/5/2008	4/8/2008
<u>SYMETRA LIFE INSURANCE COMPANY</u>					
1382	AG	D L	8/1/2008	9/2/2010	
<u>UNITED WORLD LIFE INSURANCE COMPANY</u>					



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RETIREMENT PROTECTION SERVICES, LLC.

RETIREMENT PROTECTION SERVICES, LLC.	
UBI Number	602679224
Category	LLC
Profit/Nonprofit	Profit
Active/Inactive	Active
State of Incorporation	WA
Date of Incorporation	01/01/2007
Expiration Date	01/31/2010
Dissolution Date	
Registered Agent Information	
Agent Name	GREGG HENDERSON
Address	20900 NE 42ND ST
City	SAMMAMISH
State	WA
ZIP	98074
Special Address Information	
Address	
City	
State	
Zip	
View Additional Information »	
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RETIREMENT PROTECTION SERVICES, LLC.

UBI Number 602679224
 Category LLC
 Profit/Nonprofit Profit
 Active/Inactive Active
 State Of Incorporation WA
 Date of Incorporation 01/01/2007
 Expiration Date 01/31/2010
 Dissolution Date

Registered Agent Information

Agent Name GREGG HENDERSON
 Address 20900 NE 42ND ST
 City SAMMAMISH
 State WA
 ZIP 98074

Special Address Information

Address
 City
 State
 Zip

Governing Persons

Title	Name	Address
Member	HENDERSON, GREGG	20900 NE 42ND ST SAMMAMISH, WA

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SAMMAMISH SOURCING INC

UBI Number	602186862
Category	REG
Profit/Nonprofit	Profit
Active/Inactive	Inactive
State Of Incorporation	WA
Date of Incorporation	03/04/2002
Expiration Date	03/31/2003
Dissolution Date	10/21/2002
Registered Agent Information	
Agent Name	GREGG HENDERSON
Address	20900 NE 42ND ST
City	SAMMAMISH
State	WA
ZIP	98074
Special Address Information	
Address	
City	
State	
Zip	

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RETIREMENT PROTECTION SERVICES, LLC
SAMMAMISH SOURCING INC

SAMMAMISH SOURCING INC

UBI Number 802186862
Category REG
Profit/Nonprofit Profit
Active/Inactive Inactive
State of Incorporation WA
Date of Incorporation 03/04/2002
Expiration Date 03/31/2008
Dissolution Date 10/21/2002

Registered Agent Information

Agent Name GREGG HENDERSON
Address 20800 NE 42ND ST
City SAMMAMISH
State WA
ZIP 98074

Special Address Information

Address
City
State
Zip

View Additional Information »

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Directions: Click on a highlighted name to get docket information for this case.

Note: This website is in the process of being examined for usability. The only information being displayed at this time is the name/participant, court of record, cause number, and filing date. The court of record must be contacted for verification and any further information.

There are 6 names that match your search criteria.

Name / Participant	Court	Case Number	File/Violation Date
1 Henderson, Gregg A Defendant	King Co Superior Ct	81-8-03276-3	08-10-1981
2 Henderson, Gregg A Defendant	King Co Superior Ct	02-2-06283-1	03-07-2002
3 Henderson, Gregg Alan Defendant	King County District	IS0181973	08-01-2006
4 Henderson, Gregg Alan Defendant	King County District	I04665055	03-20-2006
5 Henderson, Gregg Alan Defendant	King County District	I04646461	01-24-2006
6 Henderson, Gregg Alan Defendant	King County District	IR0098121	10-02-2006

About Lists of Names

These are the names that the search tool found from the information you entered.

Disclaimer

This information is provided for use as reference material and is not the official court record. The official court record is maintained by the court of record. Copies of case file documents are not available at this website and will need to be ordered from the court of record.

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Your DPPA Permissible Use: Insurance
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Comprehensive Business Report
Date: 01/30/09

Company Information:

Company Name: ALTERNATIVE DENTAL SOLUTIONS, LLC
Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955

Name Variations:

Company Name: ALTERNATIVE DENTAL SOLUTIONS, LLC

Address Variations:

Address: 111 QUEEN ANNE AVE 500, SEATTLE WA 98109
County: KING
Msa Description: Seattle-Bellevue-Everett, WA

Phone Variations:

Phone: 206-387-4777
Phone: 999-999-9999

Comprehensive Business Report Summary: (Click on Link to see detail)

- Industry Information:
2 Found
- Company ID Numbers:
1 Found
- Corporation Filings:
1 Found
- Registered Agents:
2 Found
- Business Registration:
2 Found
- UCC Filings for Business:
None Found
- Associated Businesses:
48 Found
- Business Contacts:
1 Found
- Motor Vehicles:
None Found
- Properties:
None Found
- FAA Aircrafts:
None Found
- Watercrafts:
None Found
- Internet Domain Names Registered to Business:
None Found
- Dun & Bradstreet:
1 Found
- Executives:
None Found

Business Filings:

Industry Information:

SIC Code: 6361
SIC Description: TITLE INSURANCE

SIC Code: 8021
SIC Description: OFFICES AND CLINICS OF DENTISTS

Company ID Numbers:

State of Origin: WA
Charter Number: 602 599 817

State of Origin: WA
Charter Number: 602599817

Corporation Filings:**Corporation Filings # 1**

ALTERNATIVE DENTAL SOLUTIONS, LLC - As of: 01/06/2009
Name Type: LEGAL
Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955
Address Type: MAILING
Status: INACTIVE
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 03/30/2006
Term: PERPETUAL
Registered Agent: GEORGE S HOLZAPFEL
Registered Agent Address: 601 UNION ST STE 2600, SEATTLE WA 98101-2302
Place Incorporation: WA

ALTERNATIVE DENTAL SOLUTIONS, LLC - As of: 12/03/2008
Name Type: LEGAL
Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955
Address Type: MAILING
Status: INACTIVE
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 03/30/2006
Registered Agent: GEORGE S HOLZAPFEL
Registered Agent Address: 601 UNION ST STE 2600, SEATTLE WA 98101-2302
Place Incorporation: WA

ALTERNATIVE DENTAL SOLUTIONS, LLC - As of: 11/03/2008
Name Type: LEGAL
Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955
Address Type: MAILING
Status: INACTIVE
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 03/30/2006
Registered Agent: GEORGE S HOLZAPFEL
Registered Agent Address: 601 UNION ST STE 2600, SEATTLE WA 98101-2302
Place Incorporation: WA

ALTERNATIVE DENTAL SOLUTIONS, LLC - As of: 10/03/2008
Name Type: LEGAL
Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955
Address Type: MAILING
Status: INACTIVE
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 03/30/2006
Registered Agent: GEORGE S HOLZAPFEL
Registered Agent Address: 601 UNION ST STE 2600, SEATTLE WA 98101-2302
Place Incorporation: WA

ALTERNATIVE DENTAL SOLUTIONS, LLC - As of: 09/03/2008
Name Type: LEGAL
Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955
Address Type: MAILING
Status: INACTIVE
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 03/30/2006

Registered Agent: GEORGE S HOLZAPFEL
Registered Agent Address: 601 UNION ST STE 2600, SEATTLE WA 98101-2302
Place Incorporation: WA

ALTERNATIVE DENTAL SOLUTIONS, LLC - As of: 08/04/2008
Name Type: LEGAL
Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955
Address Type: MAILING
Status: INACTIVE
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 03/30/2006
Registered Agent: GEORGE S HOLZAPFEL
Registered Agent Address: 601 UNION ST STE 2600, SEATTLE WA 98101-2302
Place Incorporation: WA

ALTERNATIVE DENTAL SOLUTIONS, LLC - As of: 07/03/2008
Name Type: LEGAL
Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955
Address Type: MAILING
Status: INACTIVE
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 03/30/2006
Registered Agent: GEORGE S HOLZAPFEL
Registered Agent Address: 601 UNION ST STE 2600, SEATTLE WA 98101-2302
Place Incorporation: WA

ALTERNATIVE DENTAL SOLUTIONS, LLC - As of: 06/03/2008
Name Type: LEGAL
Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955
Address Type: MAILING
Status: INACTIVE
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 03/30/2006
Registered Agent: GEORGE S HOLZAPFEL
Registered Agent Address: 601 UNION ST STE 2600, SEATTLE WA 98101-2302
Place Incorporation: WA

ALTERNATIVE DENTAL SOLUTIONS, LLC - As of: 05/06/2008
Name Type: LEGAL
Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955
Address Type: MAILING
Status: INACTIVE
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 03/30/2006
Registered Agent: GEORGE S HOLZAPFEL
Registered Agent Address: 601 UNION ST STE 2600, SEATTLE WA 98101-2302
Place Incorporation: WA

ALTERNATIVE DENTAL SOLUTIONS, LLC - As of: 04/09/2008
Name Type: LEGAL
Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955
Address Type: MAILING
Status: ACTIVE
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 03/30/2006
Registered Agent: GEORGE S HOLZAPFEL
Registered Agent Address: 601 UNION ST STE 2600, SEATTLE WA 98101-2302
Place Incorporation: WA

ALTERNATIVE DENTAL SOLUTIONS, LLC - As of: 02/07/2008
Name Type: LEGAL
Status: ACTIVE
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 03/30/2006
Registered Agent: GEORGE S HOLZAPFEL
Registered Agent Address: 601 UNION ST STE 2600, SEATTLE WA 98101-2302
Place Incorporation: WA

ALTERNATIVE DENTAL SOLUTIONS, LLC - As of: 09/08/2007
Name Type: LEGAL

Status: ACTIVE
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 03/30/2006
Registered Agent: GEORGE S HOLZAPFEL
Registered Agent Address: 601 UNION ST STE 2600, SEATTLE WA 98101-2302
Place Incorporation: WA

ALTERNATIVE DENTAL SOLUTIONS, LLC - As of: 08/08/2007
Status: ACTIVE AND IN GOOD STANDING
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 03/30/2006
Registered Agent: GEORGE S HOLZAPFEL
Registered Agent Address: 601 UNION ST STE 2600, SEATTLE WA 98101-2302
Place Incorporation: WA

Annual Report Filings:

Events:
Date: 03/31/2008
Event: LICENSE EXPIRATION
Reference Number: 602599817

Registered Agents:

Name: GEORGE S HOLZAPFEL
Date Last Seen: 7/3/2008

Name: GEORGE S HOLZAPFEL
Address: 601 UNION ST STE 2600, SEATTLE WA 98101-2302
Date Last Seen: 8/8/2007

Business Registration:

Name: ALTERNATIVE DENTAL SOLUTIONS LLC
Mailing Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955
Filing Number: 802599817
Status: Active
Company Phone: 2063874777
Corporation Code: Business License
Expiration Date: 4/30/2008

Name: ALTERNATIVE DENTAL SOLUTIONS LLC
Mailing Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955
Filing Number: 602599817
State of Registration: WA
Status: Active
Corporation Code: SOS
Expiration Date: 3/30/2007

UCC Filings for Business:

[None Found]

Associated Businesses:

Name: ALTERNATIVE DENTAL SOLUTIONS LLC
Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955

Name: AXELSON & ASSOCIATES, INC.
Address: 111 QUEEN ANNE AVE N STE 507, SEATTLE WA 98109-4955

Name: BENCHMARK SYSTEMS INC
Address: 111 QUEEN ANNE AVE N, SEATTLE WA 98109-4955

Name: BENNETT PROPERTY INC
Address: 111 QUEEN ANNE AVE N STE 207, SEATTLE WA 98109-4955

Name: BROWNS POINT JOINT VENTURE
Address: 111 QUEEN ANNE AVE N STE 201, SEATTLE WA 98109-4955

Name: CECS CORPORATION
Address: 111 QUEEN ANNE AVE N, SEATTLE WA 98109-4955

Name: CHIYODA INTERNATIONAL CORPORATION
Address: 111 QUEEN ANNE AVE N STE 300, SEATTLE WA 98109-4955

Name: DEBT RECOVERY MANAGEMENT INC
Address: SEATTLE, WA

Name: DURHAM BATES AGENCIES INC
Address: 111 QUEEN ANNE AVE N STE 501, SEATTLE WA 98109-4955

Name: ELLIOTT FINANCIAL SERVICES
Address: 111 QUEEN ANNE AVE N STE 509, SEATTLE WA 98109-4955

Name: EVANS MCDONOUGH CO
Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955

Name: EVERGREEN RESEARCH GROUP
Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955

Name: GIK FOUNDATION
Address: 111 QUEEN ANNE AVE N STE 501, SEATTLE WA 98109-4955

Name: GIK FOUNDATION INC. A/K/A GIK FOUNDATION
Address: 111 QUEEN ANNE AVE N STE 501, SEATTLE WA 98109-4955

Name: GOD IS KING (GIK) FOUNDATION INC
Address: 111 QUEEN ANNE AVE W SUITE 501, SEATTLE WA 98109

Name: GOLDEN AGE FISHERIES
Address: 111 QUEEN ANNE AVE N STE 201, SEATTLE WA 98109-4955

Name: HARBOR VILLAGE GIG HARBOR LIMITED PARTNERSHIP
Address: 111 QUEEN ANNE AVE N STE 20, SEATTLE WA 98109-4955

Name: HSC OREGON, INC.
Address: 111 QUEEN ANNE AVE N STE 207, SEATTLE WA 98109-4955

Name: HSC REAL ESTATE
Address: 111 QUEEN ANNE AVE N STE 207, SEATTLE WA 98109-4955

Name: HUNTER RUN APARTMENTS
Address: SEATTLE, WA

Name: IVAR GARY NELSON
Address: 111 QUEEN ANNE AVE N STE 207, SEATTLE WA 98109-4955

Name: J CIG CORP
Address: 111 QUEEN ANNE AVE N STE 102, SEATTLE WA 98109-4955

Name: KEENE CO PS CPA
Address: 111 QUEEN ANNE AVE N STE 503, SEATTLE WA 98109-4955

Name: KURT FEICHTMEIR
Address: 111 QUEEN ANNE AVE N STE 100%, SEATTLE WA 98109-4955

Name: M & H INVESTMENTS II (PARTSHIP)
Address: 111 QUEEN ANNE AVE N STE 207%, SEATTLE WA 98109-4955

Name: MERCURY GROUP
Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955

Name: NORTHWEST STRATEGIES INC
Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955

Name: ORCHARD POINTE APARTMENTS NW LLC
Address: 111 QUEEN ANNE AVE N STE 507, SEATTLE WA 98109-4955

Name: PALMS BTC CA PARTSHIP
Address: 111 QUEEN ANNE AVE N STE 207%, SEATTLE WA 98109-4955

Name: PALMS BTC, A CALIFORNIA LIMITED PARTNERSHIP
Address: 111 QUEEN ANNE AVE N STE 207, SEATTLE WA 98109-4955

Name: PEASLEY CANYON LLC

Address: 111 QUEEN ANNE AVE N STE 20, SEATTLE WA 98109-4955

Name: PETER FEIGHTMEIR
Address: 111 QUEEN ANNE AVE N STE 100%, SEATTLE WA 98109-4955

Name: POST ALLEY COURT APARTMENTS NW LLC
Address: 111 QUEEN ANNE AVE N STE 207, SEATTLE WA 98109-4955

Name: RACHAEL BALLYEAT TE PT
Address: 111 QUEEN ANNE AVE N STE 400, SEATTLE WA 98109-4955

Name: REBECCA IRENE JOINT VENTURE
Address: 111 QUEEN ANNE AVE N STE 201, SEATTLE WA 98109-4955

Name: REVERSE NOW II LLC
Address: 111 QUEEN ANNE AVE N STE 507, SEATTLE WA 98109-4955

Name: RIVER VISTA HEIGHTS L.P.
Address: 111 QUEEN ANNE DR STE 207, SEATTLE WA 98109

Name: SALEM SUNRISE ASSOCIATES
Address: 111 QUEEN ANNE AVE N STE 2070, SEATTLE WA 98109-4955

Name: SIMONSON ENTERPRISES 2, INC
Address: 111 QUEEN ANNE AVE N STE 201, SEATTLE WA 98109-4955

Name: SOUTHAMPTON MANAGEMENT LLC
Address: 111 QUEEN ANNE AVE N STE 207%, SEATTLE WA 98109-4955

Name: SOUTHPOINTE APARTMENTS LLC
Address: 111 QUEEN ANNE AVE N STE 207, SEATTLE WA 98109-4955

Name: SOUTHWOOD APTS. INVESTORS LLC, A WASHINGTON LIMITED LIABILITY COMPANY
Address: 111 QUEEN ANNE AVE N STE 207, SEATTLE WA 98109-4955

Name: TAIKO AMERICA INC
Address: 111 QUEEN ANNE AVE N, SEATTLE WA 98109-4955

Name: THE CARTER AGENCY
Address: 111 QUEEN ANNE AVE N STE 503, SEATTLE WA 98109-4955

Name: THE SALVATION ARMY
Address: 111 QUEEN ANNE AVE N, SEATTLE WA 98109-4955

Name: THE SANDWICH TREE
Address: 111 QUEEN ANNE AVE N, SEATTLE WA 98109-4955

Name: WESTCOD INC
Address: 111 QUEEN ANNE AVE N STE 201, SEATTLE WA 98109-4955

Name: WESTERN TERRITORIAL OF THE SALVATION ARMY
Address: SEATTLE, WA

Associated People:

Business Contacts:

Name: GREGG HENDERSON
SSN: 534-80-xxxx
Contact Title - AGENT
Address: 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955
Date Last Seen: 12/8/2008

Assets:

Motor Vehicles:

[None Found]

Properties:

[None Found]

FAA Aircraft:

[None Found]

Watercraft:

[None Found]

Internet Domain Names Registered to Business:

[None Found]

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Business Information from Dun & Bradstreet:

Dun & Bradstreet Record

Business Name: **Alternative Dental Solutions,**
Duns Number: **784432770**
Address: **111 QUEEN ANNE AVE N, Seattle WA 98109-4955**
Year Started: **2006**
Annual Sales: **\$210,000**
Sales Revision Date: **12/19/2008**
Employees Total: **3**
Employees Here: **3**
Line of Business: **Business Services**
Primary SIC: **Business services, nec, nsk**
Establishment Type: **Single Location**
Small Business Flag: **Yes**
Minority Owned: **No**
Foreign Owned: **No**
Public: **No**

Executives:

[None Found]

Important: The Public Records and commercially available data sources used on reports have errors. Data is sometimes entered poorly, processed incorrectly and is generally not free from defect. This system should not be relied upon as definitively accurate. Before relying on any data this system supplies, it should be independently verified. For Secretary of State documents, the following data is for information purposes only and is not an official record. Certified copies may be obtained from that individual state's Department of State.

Your DPPA Permissible Use: Insurance
Your GLBA Permissible Use: Fraud Prevention or Detection

Comprehensive Business Report
Date: 01/30/09

Company Information:
Company Name: SAMMAMISH SOURCING INC
Address:

Name Variations:
Company Name: SAMMAMISH SOURCING INC

Address Variations:
Address: 20900 NE 42ND ST, SAMMAMISH WA 98074
County: KING
Msa Description: Seattle-Bellevue-Everett, WA

Phone Variations:
Phone: 425-836-2282

Comprehensive Business Report Summary: (Click on Link to see detail)

- Industry Information:
None Found
- Company ID Numbers:
1 Found
- Corporation Filings:
1 Found
- Registered Agents:
1 Found
- Business Registration:
2 Found
- UCC Filings for Business:
None Found
- Associated Businesses:
2 Found
- Business Contacts:
1 Found
- Motor Vehicles:
None Found
- Properties:
None Found
- FAA Aircrafts:
None Found
- Watercrafts:
None Found
- Internet Domain Names Registered to Business:
None Found
- Dun & Bradstreet:
None Found
- Executives:
None Found

Business Filings:

Industry Information:
[None Found]

Company ID Numbers:

State of Origin: WA
Charter Number: 602 186 862

State of Origin: WA
Charter Number: 602186862

Corporation Filings:**Corporation Filings # 1****SAMMAMISH SOURCING INC - As of: 01/06/2008**

Name Type: LEGAL
Status: INACTIVE
Business Type: REGULAR
Purpose: REGULAR
Date Incorporated: 03/04/2002
Term: PERPETUAL
Registered Agent: GREGG HENDERSON
Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Place Incorporation: WA

SAMMAMISH SOURCING INC - As of: 12/03/2008

Name Type: LEGAL
Status: INACTIVE
Business Type: REGULAR
Purpose: REGULAR
Date Incorporated: 03/04/2002
Registered Agent: GREGG HENDERSON
Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Place Incorporation: WA

SAMMAMISH SOURCING INC - As of: 11/03/2008

Name Type: LEGAL
Status: INACTIVE
Business Type: REGULAR
Purpose: REGULAR
Date Incorporated: 03/04/2002
Registered Agent: GREGG HENDERSON
Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Place Incorporation: WA

SAMMAMISH SOURCING INC - As of: 10/03/2008

Name Type: LEGAL
Status: INACTIVE
Business Type: REGULAR
Purpose: REGULAR
Date Incorporated: 03/04/2002
Registered Agent: GREGG HENDERSON
Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Place Incorporation: WA

SAMMAMISH SOURCING INC - As of: 09/03/2008

Name Type: LEGAL
Status: INACTIVE
Business Type: REGULAR
Purpose: REGULAR
Date Incorporated: 03/04/2002
Registered Agent: GREGG HENDERSON
Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Place Incorporation: WA

SAMMAMISH SOURCING INC - As of: 08/04/2008

Name Type: LEGAL
Status: INACTIVE
Business Type: REGULAR
Purpose: REGULAR
Date Incorporated: 03/04/2002
Registered Agent: GREGG HENDERSON
Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Place Incorporation: WA

SAMMAMISH SOURCING INC - As of: 07/03/2008

Name Type: LEGAL

Status: **INACTIVE**
Business Type: **REGULAR**
Purpose: **REGULAR**
Date Incorporated: **03/04/2002**
Registered Agent: **GREGG HENDERSON**
Registered Agent Address: **20900 NE 42ND ST, SAMMAMISH WA 98074-9355**
Place Incorporation: **WA**

SAMMAMISH SOURCING INC - As of: 06/03/2008
Name Type: **LEGAL**
Status: **INACTIVE**
Business Type: **REGULAR**
Purpose: **REGULAR**
Date Incorporated: **03/04/2002**
Registered Agent: **GREGG HENDERSON**
Registered Agent Address: **20900 NE 42ND ST, SAMMAMISH WA 98074-9355**
Place Incorporation: **WA**

SAMMAMISH SOURCING INC - As of: 05/06/2008
Name Type: **LEGAL**
Status: **INACTIVE**
Business Type: **REGULAR**
Purpose: **REGULAR**
Date Incorporated: **03/04/2002**
Registered Agent: **GREGG HENDERSON**
Registered Agent Address: **20900 NE 42ND ST, SAMMAMISH WA 98074-9355**
Place Incorporation: **WA**

SAMMAMISH SOURCING INC - As of: 04/09/2008
Name Type: **LEGAL**
Status: **INACTIVE**
Business Type: **REGULAR**
Purpose: **REGULAR**
Date Incorporated: **03/04/2002**
Registered Agent: **GREGG HENDERSON**
Registered Agent Address: **20900 NE 42ND ST, SAMMAMISH WA 98074-9355**
Place Incorporation: **WA**

SAMMAMISH SOURCING INC - As of: 02/07/2008
Name Type: **LEGAL**
Status: **INACTIVE**
Business Type: **REGULAR**
Purpose: **REGULAR**
Date Incorporated: **03/04/2002**
Registered Agent: **GREGG HENDERSON**
Registered Agent Address: **20900 NE 42ND ST, SAMMAMISH WA 98074-9355**
Place Incorporation: **WA**

SAMMAMISH SOURCING INC - As of: 08/08/2007
Name Type: **LEGAL**
Status: **INACTIVE**
Business Type: **REGULAR**
Purpose: **REGULAR**
Date Incorporated: **03/04/2002**
Registered Agent: **GREGG HENDERSON**
Registered Agent Address: **20900 NE 42ND ST, SAMMAMISH WA 98074-9355**
Place Incorporation: **WA**

SAMMAMISH SOURCING INC - As of: 08/08/2007
Status: **INACTIVE OR DISSOLVED**
Business Type: **REGULAR**
Purpose: **REGULAR**
Date Incorporated: **03/04/2002**
Registered Agent: **GREGG HENDERSON**
Registered Agent Address: **20900 NE 42ND ST, SAMMAMISH WA 98074-9355**
Place Incorporation: **WA**

Annual Report Filings:

Events:
Date: **03/31/2003**
Event: **LICENSE EXPIRATION**
Reference Number: **602186862**

Registered Agents:

Name: GREGG HENDERSON
Date Last Seen: 10/3/2008

Business Registration:

Name: SAMMAMISH SOURCING INC
Mailing Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Filing Number: 602186862
State of Registration: WA
Status: Active
Corporation Code: SOS
Expiration Date: 3/30/2003

Name: SAMMAMISH SOURCING INC
Mailing Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Filing Number: 602186862
State of Registration: WA
Status: Active
Company Phone: 4258362262
Corporation Code: SOS
Expiration Date: 3/30/2003

UCC Filings for Business:

[None Found]

Associated Businesses:

Name: RETIREMENT PROTECTION SERVICES, LLC.
Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355

Name: SAMMAMISH SOURCING INC
Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355

Associated People:**Business Contacts:**

Name: GREGG HENDERSON
SSN: [REDACTED]
Contact Title - AGENT
Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Date Last Seen: 4/15/2002

Assets:**Motor Vehicles:**

[None Found]

Properties:

[None Found]

FAA Aircraft:

[None Found]

Watercraft:

[None Found]

Internet Domain Names Registered to Business:

[None Found]

This portion of the report contains information from Dun & Bradstreet, Inc.
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Business Information from Dun & Bradstreet:

[None Found]

Executives:

[None Found]

Important: The Public Records and commercially available data sources used on reports have errors. Data is sometimes entered poorly, processed incorrectly and is generally not free from defect. This system should not be relied upon as definitively accurate. Before relying on any data this system supplies, it should be independently verified. For Secretary of State documents, the following data is for information purposes only and is not an official record. Certified copies may be obtained from that individual state's Department of State.

Your DPPA Permissible Use: Insurance
Your GLBA Permissible Use: Fraud Prevention or Detection

Comprehensive Business Report
Date: 01/29/09

Company Information:

Company Name: RETIREMENT PROTECTION SERVICES, LLC.
Address:

Name Variations:

Company Name: RETIREMENT PROTECTION SERVICES, LLC.

Address Variations:

Address: 20900 NE 42ND ST, SAMMAMISH WA 98074
County: KING
Msa Description: Seattle-Bellevue-Everett, WA

Phone Variations:

[None Found]

Comprehensive Business Report Summary: (Click on Link to see detail)

Industry Information:
None Found
Company ID Numbers:
1 Found
Corporation Filings:
1 Found
Registered Agents:
1 Found
Business Registration:
2 Found
UCC Filings for Business:
None Found
Associated Businesses:
2 Found
Business Contacts:
1 Found
Motor Vehicles:
None Found
Properties:
None Found
FAA Aircrafts:
None Found
Watercrafts:
None Found
Internet Domain Names Registered to Business:
None Found
Dun & Bradstreet:
None Found
Executives:
None Found

Business Filings:**Industry Information:**

[None Found]

Company ID Numbers:

State of Origin: WA
Charter Number: 602 679 224

State of Origin: WA
Charter Number: 602679224

Corporation Filings:**Corporation Filings # 1**

RETIREMENT PROTECTION SERVICES, LLC. - As of: 01/08/2009
Name Type: LEGAL
Status: ACTIVE
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 01/01/2007
Term: PERPETUAL
Registered Agent: GREGG HENDERSON
Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 12/03/2008
Name Type: LEGAL
Status: ACTIVE
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 01/01/2007
Registered Agent: GREGG HENDERSON
Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 11/03/2008
Name Type: LEGAL
Status: ACTIVE
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 01/01/2007
Registered Agent: GREGG HENDERSON
Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 10/03/2008
Name Type: LEGAL
Status: ACTIVE
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 01/01/2007
Registered Agent: GREGG HENDERSON
Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 09/03/2008
Name Type: LEGAL
Status: ACTIVE
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 01/01/2007
Registered Agent: GREGG HENDERSON
Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 08/04/2008
Name Type: LEGAL
Status: ACTIVE
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 01/01/2007
Registered Agent: GREGG HENDERSON
Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 07/03/2008
Name Type: LEGAL

Status: ACTIVE
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 01/01/2007
Registered Agent: GREGG HENDERSON
Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 06/03/2008
Name Type: LEGAL
Status: ACTIVE
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 01/01/2007
Registered Agent: GREGG HENDERSON
Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 05/06/2008
Name Type: LEGAL
Status: ACTIVE
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 01/01/2007
Registered Agent: GREGG HENDERSON
Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 04/09/2008
Name Type: LEGAL
Status: ACTIVE
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 01/01/2007
Registered Agent: GREGG HENDERSON
Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 02/07/2008
Name Type: LEGAL
Status: ACTIVE
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 01/01/2007
Registered Agent: GREGG HENDERSON
Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 08/08/2007
Name Type: LEGAL
Status: ACTIVE
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 01/01/2007
Registered Agent: GREGG HENDERSON
Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 08/08/2007
Status: ACTIVE AND IN GOOD STANDING
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 01/01/2007
Registered Agent: GREGG HENDERSON
Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Place Incorporation: WA

Annual Report Filings:

Events:
Date: 01/31/2008
Event: LICENSE EXPIRATION
Reference Number: 602679224

Registered Agents:

Name: GREGG HENDERSON
Date Last Seen: 10/3/2008

Business Registration:

Name: RETIREMENT PROTECTION SERVICES LLC
Mailing Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Filing Number: 602679224
State of Registration: WA
Status: Active
Corporation Code: SOS
Expiration Date: 1/30/2008

Name: RETIREMENT PROTECTION SERVICES LLC
Mailing Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Filing Number: 602679224
State of Registration: WA
Status: Active
Corporation Code: SOS
Expiration Date: 1/30/2008

UCC Filings for Business:

[None Found]

Associated Businesses:

Name: RETIREMENT PROTECTION SERVICES, LLC.
Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355

Name: SAMMAMISH SOURCING INC
Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355

Associated People:**Business Contacts:**

Name: GREGG HENDERSON
SSN: ██████████
Contact Title - AGENT
Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Date Last Seen: 2/1/2007

Assets:**Motor Vehicles:**

[None Found]

Properties:

[None Found]

FAA Aircraft:

[None Found]

Watercraft:

[None Found]

Internet Domain Names Registered to Business:

[None Found]

This portion of the report contains information from Dun & Bradstreet, Inc.
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Business Information from Dun & Bradstreet:

[None Found]

Executives:

[None Found]

Important: The Public Records and commercially available data sources used on reports have errors. Data is sometimes entered poorly, processed incorrectly and is generally not free from defect. This system should not be relied upon as definitively accurate. Before relying on any data this system supplies, it should be independently verified. For Secretary of State documents, the following data is for information purposes only and is not an official record. Certified copies may be obtained from that individual state's Department of State.

Your DPPA Permissible Use: Insurance
Your GLBA Permissible Use: Fraud Prevention or Detection

Business Also Found Report
Date: 01/29/09

Company Information:

Company Name: RETIREMENT PROTECTION SERVICES, LLC.
Address:

Associated People:

Business Contacts:

Name: GREGG HENDERSON
SSN: 634-80-xxxx
Contact Title - AGENT
Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Date Last Seen: 2/1/2007

Business Filings:

Corporation Filings:

Corporation Filings # 1

RETIREMENT PROTECTION SERVICES, LLC. - As of: 01/08/2009
Name Type: LEGAL
Status: ACTIVE
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 01/01/2007
Term: PERPETUAL
Registered Agent: GREGG HENDERSON
Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 12/03/2008
Name Type: LEGAL
Status: ACTIVE
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 01/01/2007
Registered Agent: GREGG HENDERSON
Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 11/03/2008
Name Type: LEGAL
Status: ACTIVE
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 01/01/2007
Registered Agent: GREGG HENDERSON
Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 10/03/2008
Name Type: LEGAL
Status: ACTIVE
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY

Date Incorporated: 01/01/2007
Registered Agent: GREGG HENDERSON
Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 09/03/2008
Name Type: LEGAL
Status: ACTIVE
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 01/01/2007
Registered Agent: GREGG HENDERSON
Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 06/04/2008
Name Type: LEGAL
Status: ACTIVE
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 01/01/2007
Registered Agent: GREGG HENDERSON
Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 07/03/2008
Name Type: LEGAL
Status: ACTIVE
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 01/01/2007
Registered Agent: GREGG HENDERSON
Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 06/03/2008
Name Type: LEGAL
Status: ACTIVE
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 01/01/2007
Registered Agent: GREGG HENDERSON
Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 05/06/2008
Name Type: LEGAL
Status: ACTIVE
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 01/01/2007
Registered Agent: GREGG HENDERSON
Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 04/09/2008
Name Type: LEGAL
Status: ACTIVE
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 01/01/2007
Registered Agent: GREGG HENDERSON
Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 02/07/2008
Name Type: LEGAL
Status: ACTIVE
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 01/01/2007
Registered Agent: GREGG HENDERSON
Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 08/08/2007

Name Type: LEGAL
Status: ACTIVE
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 01/01/2007
Registered Agent: GREGG HENDERSON
Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Place Incorporation: WA

RETIREMENT PROTECTION SERVICES, LLC. - As of: 08/08/2007
Status: ACTIVE AND IN GOOD STANDING
Business Type: LIMITED LIABILITY COMPANY
Purpose: LIMITED LIABILITY COMPANY
Date Incorporated: 01/01/2007
Registered Agent: GREGG HENDERSON
Registered Agent Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Place Incorporation: WA

Annual Report Filings:

Events:

Date: 01/31/2008
Event: LICENSE EXPIRATION
Reference Number: 602679224

Important: The Public Records and commercially available data sources used on reports have errors. Data is sometimes entered poorly, processed incorrectly and is generally not free from defect. This system should not be relied upon as definitively accurate. Before relying on any data this system supplies, it should be independently verified. For Secretary of State documents, the following data is for information purposes only and is not an official record. Certified copies may be obtained from that individual state's Department of State.

Your DPPA Permissible Use: Insurance
 Your GLBA Permissible Use: Fraud Prevention or Detection

Comprehensive Report

Comprehensive Report
 Date: 01/29/09

Report Legend:

- S** - Shared Address
- D** - Deceased
- ✓** - Probable Current Address

Report processed by:
 Great American Insurance Co.
 580 Walnut St
 Cincinnati, OH 452023110
 513-369-5000 Main Phone

Subject Information

Name: GREGG ALAN HENDERSON
 Date of Birth: [REDACTED]
 Age: 44
 SSN: [REDACTED] issued
 in Washington between 1/1/1977 and
 12/31/1978
 View All SSN Sources

AKAs (Names Associated with Subject)

GREGG A HENDERSON
 Age: 44 SSN: [REDACTED]
 GREGG HENDERSON
 Age: 44 SSN: [REDACTED]
 GREGG ALAN HENDERSON
 Age: 45 SSN: [REDACTED]

Indicators

Bankruptcy: No
 Property: Yes
 Corporate Affiliations: No

Address Summary

- ✓ 20800 NE 42ND ST, SAMMAMISH WA 98074-9365, KING COUNTY (Feb 1996 - Jan 2009)
 Phone at address: 425-836-2262 HENDERSON JULIE
 Neighborhood Profile (2000 Census)
 Average Age: 33 Median Household Income: \$91,045 Median Home Value: \$325,500 Average Years of Education: 16
- ✓ 9301 AVONDALE RD NE APT 2066, REDMOND WA 98052-1410, KING COUNTY (Jun 1986 - Jan 1996)
 Neighborhood Profile (2000 Census)
 Average Age: 30 Median Household Income: \$64,306 Median Home Value: \$245,600 Average Years of Education: 15
- ✓ 2515 NEWPORT CIR, SALT LAKE CITY UT 84121-5674, SALT LAKE COUNTY (Jan 1988)
 Phone at address: 801-453-1611 GUNDERSEN CINDY
 Neighborhood Profile (2000 Census)
 Average Age: 35 Median Household Income: \$85,000 Median Home Value: \$243,800 Average Years of Education: 15
- ✓ 625 NE CAMPUS ST APT, PULLMAN WA 99163-6205, WHITMAN COUNTY (Jun 1986)
 Neighborhood Profile (2000 Census)
 Average Age: 23 Median Household Income: \$10,613 Median Home Value: \$78,100 Average Years of Education: 15
- 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955, KING COUNTY (Apr 2006 - Aug 2007)
 Neighborhood Profile (2000 Census)
 Average Age: 35 Median Household Income: \$32,995 Median Home Value: \$156,300 Average Years of Education: 15
- PO BOX 1281 # 2 1281, EDWARDS CO. 81632-1281, EAGLE COUNTY (Jun 1986 - Oct 2000)
 Neighborhood Profile (2000 Census)

Average Age:30 Median Household Income: \$80,184 Median Home Value: \$385,800 Average Years of Education: 13

649 E LAKE SAMMAMISH PKWY SE, SAMMAMISH WA 98074-3814, KING COUNTY (Jun 1988 - Jul 1995)

Neighborhood Profile (2000 Census)

Average Age:34 Median Household Income: \$127,951 Median Home Value: \$517,900 Average Years of Education: 15

3658 P O BOX 3658, VAIL CO 81658, EAGLE COUNTY (Jun 1994)

Neighborhood Profile (2000 Census)

Average Age:35 Median Household Income: \$57,933 Median Home Value: \$257,600 Average Years of Education: 15

8007 RENTON ISSAQUAH RD SE, ISSAQUAH WA 98027-8546, KING COUNTY (Jun 1994)

Neighborhood Profile (2000 Census)

Average Age:36 Median Household Income: \$90,969 Median Home Value: \$373,900 Average Years of Education: 15

PO BOX 3658, VAIL CO 81658-3658, EAGLE COUNTY (Jan 1994)

Neighborhood Profile (2000 Census)

Average Age:35 Median Household Income: \$57,933 Median Home Value: \$257,600 Average Years of Education: 15

8015 RENTON ISSAQUAH RD SE, ISSAQUAH WA 98027-8546, KING COUNTY (Jul 1990 - Dec 1992)

Neighborhood Profile (2000 Census)

Average Age:36 Median Household Income: \$90,969 Median Home Value: \$373,900 Average Years of Education: 15

348 7TH AVE 33, KIRKLAND WA 98033-5529, KING COUNTY (Dec 1988 - Apr 1989)

Neighborhood Profile (2000 Census)

Average Age:37 Median Household Income: \$61,818 Median Home Value: \$325,000 Average Years of Education: 15

19817 SE 23RD ST, SAMMAMISH WA 98075-7462, KING COUNTY (Dec 1984 - Apr 1985)

Phone at address: 425-313-8890 MANN BARBARA

Neighborhood Profile (2000 Census)

Average Age:34 Median Household Income: \$127,951 Median Home Value: \$517,900 Average Years of Education: 15

Others Associated With Subjects SSN:

(DOES NOT usually indicate any type of fraud or deception)

[None Found]

Comprehensive Report Summary: (Click on Link to see detail)

Bankruptcies:

None Found

Liens and Judgments:

None Found

UCC Filings:

1 Found

Phones Plus:

None Found

People at Work:

4 Found

Driver's License:

1 Found

Address(es) Found:

4 Verified and 9 Non-Verified Found

Possible Properties Owned:

2 Found

Motor Vehicles Registered:

None Found

Watercraft:

None Found

FAA Certifications:

None Found

FAA Aircrafts:

None Found

Possible Criminal Records:

1 Found
Sexual Offenses:
None Found
Florida Accidents:
None Found
Professional Licenses:
None Found
Voter Registration:
None Found
Hunting/Fishing Permit:
None Found
Concealed Weapons Permit:
None Found
Possible Associates:
4 Found
Possible Relatives:
1st Degree - 9 Found
2nd Degree - 18 Found
3rd Degree - 30 Found
Neighbors:
1st Neighborhood - 6 Found
2nd Neighborhood - 2 Found
3rd Neighborhood - 2 Found
4th Neighborhood - 6 Found

Bankruptcies:

[None Found]

Liens and Judgments:

[None Found]

UCC Filings:

Original Filing Number: 942063451
Original Date: Aug 23, 1994
Filing Agency: SECRETARY OF STATE/UCC DIVISION
Filing Agency Address: 1560 BROADWAY, DENVER CO 80202
Filing State: CO

Debtor(s):

Debtor Name: GREGG HENDERSON
Debtor SSN/FEIN: ██████████
Debtor Address: ██████████ 32

Secured(s):

Secured Name: MERRILL LYNCH CREDIT CORP
Secured Address: 4802 DEER LAKE DR E, JACKSONVILLE FL 32246-6484

Filing(s):

Filing Type: TERMINATION
Filing Number: 852060510
Date Filed: Aug 11, 1995

Filing Type: INITIAL FILING
Filing Number: 942063451
Date Filed: Aug 23, 1994
Filing Expiration Date: 8/23/1999

Collateral(s):

Description: NEGOTIABLE INSTRUMENTS SPECIFIED INCLUDING PROCEEDS AND PRODUCTS;ACCOUNT(S) ALL INCLUDING PROCEEDS AND PRODUCTS;CONTRACT RIGHTS ALL INCLUDING PROCEEDS AND PRODUCTS;GENERAL INTANGIBLE(S) ALL INCLUDING PROCEEDS AND PRODUCTS

Phones Plus(s):

[None Found]

People at Work:

Name: GREGG HENDERSON
Gender: Male
Title: AGENT
SSN: 534-80-xxxx
Company: RETIREMENT PROTECTION SERVICES LLC

Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Phone:
FEIN:
Dates: Jan 1, 2007 - Feb 1, 2007

Name: GREGG HENDERSON
Gender: Male
Title: AGENT
SSN: [REDACTED]
Company: ALTERNATIVE DENTAL SOLUTIONS LLC
Address: 111 QUEEN ANNE AVE STE 500, SEATTLE WA 98109-4955
Phone: 206-387-4777
FEIN:
Dates: Mar 30, 2006 - Apr 1, 2006

Name: GREGG HENDERSON
Gender: Male
Title: AGENT
SSN: [REDACTED]
Company: SAMMAMISH SOURCING INC
Address: 20900 NE 42ND ST, SAMMAMISH WA 98074-9355
Phone: 425-836-2262
FEIN:
Dates: Mar 4, 2002 - Apr 15, 2002

Name: GREGG A HENDERSON
SSN: [REDACTED]
Company: THE SUN ROOM CORP
Address: 10845 NE 8TH ST, BELLEVUE WA 98004-4431
Phone:
FEIN:
Dates: Jul 1, 2000 - Jun 1, 2001

Driver's License Information:

Name: GREGG ALAN HENDERSON
DL Number:
State: Colorado
License Address: [REDACTED]
DOB: 1964
Potential SSN: [REDACTED]
Gender: Male
Height: 5'11
Weight: 155

Address Summary: View All Address Variation Sources

- ✓ 20900 NE 42ND ST, SAMMAMISH WA 98074-9355, KING COUNTY (Feb 1996 - Jan 2009)
- ✓ 9301 AVONDALE RD NE APT 2066, REDMOND WA 98052-1410, KING COUNTY (Jun 1986 - Jan 1996)
- ✓ 2515 NEWPORT CIR, SALT LAKE CITY UT 84121-5674, SALT LAKE COUNTY (Jan 1988)
- ✓ 625 NE CAMPUS ST APT, PULLMAN WA 99163-6205, WHITMAN COUNTY (Jun 1986)
- 111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4955, KING COUNTY (Apr 2006 - Aug 2007)
- PO BOX 1281 # 2 1281, EDWARDS CO 81632-1281, EAGLE COUNTY (Jun 1986 - Oct 2000)
- 649 E LAKE SAMMAMISH PKWY SE, SAMMAMISH WA 98074-3814, KING COUNTY (Jun 1986 - Jul 1995)
- 3658 P O BOX 3658, VAIL CO 81658, EAGLE COUNTY (Jun 1994)
- 8007 RENTON ISSAQUAH RD SE, ISSAQUAH WA 98027-8546, KING COUNTY (Jun 1994)
- PO BOX 3658, VAIL CO 81658-3658, EAGLE COUNTY (Jan 1994)
- 8015 RENTON ISSAQUAH RD SE, ISSAQUAH WA 98027-8546, KING COUNTY (Jul 1990 - Dec 1992)
- 348 7TH AVE 33, KIRKLAND WA 98033-5529, KING COUNTY (Dec 1988 - Apr 1989)
- 19817 SE 23RD ST, SAMMAMISH WA 98075-7462, KING COUNTY (Dec 1984 - Apr 1985)

Active Address(es): View All Address Variation Sources

✓ [REDACTED] KING COUNTY (Feb 1996 - Jan 2009)
Current Residents at Address:
JULIE K GAINES
GREGG ALAN HENDERSON
HENDERSON JULIE [REDACTED]
Neighborhood Profile (2000 Census)
Average Age: 33
Median Household Income: \$91,045
Median Owner Occupied Home Value: \$325,500
Average Years of Education: 16

✓ 9301 AVONDALE RD NE APT 2066, REDMOND WA 98052-1410, KING COUNTY (Jun 1986 - Jan 1996)

Property Ownership Information for this Address

Property:

Parcel Number - 062506-9107
 Name Owner : BEAR CREEK ASSOCIATES LLC
 Property Address: - 9301 AVONDALE RD NE, REDMOND WA 98052-3381, KING COUNTY
 Owner Address: 500 ELLIOTT AVE W, SEATTLE WA 98119-3969, KING COUNTY
 Total Market Value - \$29,318,000
 Assessed Value - \$29,318,000
 Land Value - \$11,699,400
 Improvement Value - \$17,618,600
 Land Size - 731,216 Square Feet
 Year Built - 1990
 Data Source - A

Neighborhood Profile (2000 Census)

Average Age: 30
 Median Household Income: \$64,306
 Median Owner Occupied Home Value: \$245,600
 Average Years of Education: 15

✓ 2515 NEWPORT CIR, SALT LAKE CITY UT 84121-5674, SALT LAKE COUNTY (Jan 1988)

Current Residents at Address:

KELLY SCOTT BREWER
 CHRISTINE A DYLER
 CINDY W GUNDERSEN

GUNDERSEN CINDY 801-453-1611

Property Ownership Information for this Address

Property:

Parcel Number - 22-34-227-022-0000
 Name Owner : SHARR SETH L
 Name Owner 2: SHARR DIANNE M
 Property Address: - 2515 NEWPORT CIR, COTTONWD HGTS UT 84121-5674, SALT LAKE COUNTY
 Owner Address: 16803 SOUTHERN OAKS DR, HOUSTON TX 77068-2111, HARRIS COUNTY
 Total Market Value - \$276,400
 Land Value - \$81,600
 Improvement Value - \$194,800
 Land Size - 13,939 Square Feet
 Year Built - 1974
 Data Source - A

Neighborhood Profile (2000 Census)

Average Age: 35
 Median Household Income: \$85,000
 Median Owner Occupied Home Value: \$243,800
 Average Years of Education: 15

✓ 625 NE CAMPUS ST APT, PULLMAN WA 99163-6205, WHITMAN COUNTY (Jun 1986)

Current Residents at Address:

SHANNON C SCHMICK

Neighborhood Profile (2000 Census)

Average Age: 23
 Median Household Income: \$10,613
 Median Owner Occupied Home Value: \$78,100
 Average Years of Education: 16

Previous And Non-Verified Address(es): View All Address Variation Sources

111 QUEEN ANNE AVE N STE 500, SEATTLE WA 98109-4956, KING COUNTY (Apr 2006 - Aug 2007)

Property Ownership Information for this Address

Property:

Parcel Number - 198920-0945
 Name Owner : SALVATION ARMY, THE
 Name Owner 2: SALVATION ARMY
 Property Address: - 111 QUEEN ANNE AVE N, SEATTLE WA 98109-4855, KING COUNTY
 Owner Address: PO BOX 9219, SEATTLE WA 98109-0200, KING COUNTY
 Total Market Value - \$7,733,000
 Assessed Value - \$7,733,000
 Land Value - \$1,899,400
 Improvement Value - \$5,833,600
 Land Size - 14,070 Square Feet
 Year Built - 1985
 Loan Amount - \$191,850
 Loan Type - CONVENTIONAL
 Lender Name - ABN AMRO MTG GRP INC
 Data Source - A

Neighborhood Profile (2000 Census)

Average Age: 35
 Median Household Income: \$32,995
 Median Owner Occupied Home Value: \$156,300
 Average Years of Education: 15

PO BOX 1281 # 2 1281, EDWARDS CO 81632-1281, EAGLE COUNTY (Jun 1966 - Oct 2000)

Neighborhood Profile (2000 Census)

Average Age: 30
 Median Household Income: \$60,184
 Median Owner Occupied Home Value: \$385,800
 Average Years of Education: 13

649 E LAKE SAMMAMISH PKWY SE, SAMMAMISH WA 98074-3814, KING COUNTY (Jun 1986 - Jul 1995)

Current Residents at Address:

MALLORY L BENDER

Property Ownership Information for this Address**Property:**

Parcel Number - 312506-9006
 Name Owner: HENDERSON BRUCE
 Name Owner 2: HENDERSON SUSANNA E
 Property Address: - 649 E LAKE SAMMAMISH PKWY SE, SAMMAMISH WA 98074-3814, KING COUNTY
 Owner Address: 649 E LAKE SAMMAMISH PKWY SE, SAMMAMISH WA 98074-3814, KING COUNTY
 Assessed Value - \$1,682,000
 Land Size - 26,106 Square Feet
 Year Built - 1995
 Name of Seller: STILL EDMUND N & CL
 Data Source - A

Neighborhood Profile (2000 Census)

Average Age: 34
 Median Household Income: \$127,951
 Median Owner Occupied Home Value: \$517,900
 Average Years of Education: 15

3658 P O BOX 3658, VAIL CO 81658, EAGLE COUNTY (Jun 1994)

Neighborhood Profile (2000 Census)

Average Age: 35
 Median Household Income: \$57,933
 Median Owner Occupied Home Value: \$257,800
 Average Years of Education: 15

8007 RENTON ISSAQUAH RD SE, ISSAQUAH WA 98027-8546, KING COUNTY (Jun 1994)

Property Ownership Information for this Address**Property:**

Parcel Number - 322406-9027
 Name Owner: LEE YONG JO
 Name Owner 2: JUNG SOOK
 Property Address: - 8007 RENTON ISSAQUAH RD SE, ISSAQUAH WA 98027-8546, KING COUNTY
 Owner Address: 9255 SE 36TH PL, MERCER ISLAND WA 98040-3737, KING COUNTY
 Total Market Value - \$566,200
 Assessed Value - \$566,200
 Land Value - \$543,200
 Improvement Value - \$23,000
 Land Size - 618,433 Square Feet
 Year Built - 1944
 Name of Seller: HENDERSON SUSANNA D
 Loan Amount - \$359,850
 Loan Type - CONVENTIONAL
 Lender Name - WESTSOUND MTG
 Data Source - A

Neighborhood Profile (2000 Census)

Average Age: 36
 Median Household Income: \$90,989
 Median Owner Occupied Home Value: \$373,900
 Average Years of Education: 15

PO BOX 3658, VAIL CO 81658-3658, EAGLE COUNTY (Jan 1994)

Neighborhood Profile (2000 Census)

Average Age: 36
 Median Household Income: \$57,933
 Median Owner Occupied Home Value: \$257,800
 Average Years of Education: 15

8015 RENTON ISSAQUAH RD SE, ISSAQUAH WA 98027-8546, KING COUNTY (Jul 1990 - Dec 1992)
 425-391-4117

Property Ownership information for this Address

Property:

Parcel Number -
 Name Owner: STATE OF WASHINGTON DEPARTMENT OF TRANSP
 Property Address: 8015 RENTON ISSAQUAH RD SE, ISSAQUAH WA 98027-8546, KING COUNTY
 Owner Address: PO BOX 47338, OLYMPIA WA 98504-7338, THURSTON COUNTY
 Name of Seller: LEE, YONG JO
 Name of Seller 2: LEE, JUNG SOOK
 Data Source - B

Neighborhood Profile (2000 Census)

Average Age: 36
 Median Household Income: \$90,969
 Median Owner Occupied Home Value: \$373,900
 Average Years of Education: 15

348 7TH AVE 33, KIRKLAND WA 98033-5529, KING COUNTY (Dec 1988 - Apr 1989)

Current Residents at Address:

REBECCA A WILLOW

Property Ownership Information for this Address**Property:**

Parcel Number -
 Name Owner: WILLOW, REBECCA
 Property Address: - 348 7TH AVE 33, KIRKLAND WA 98033-5529, KING COUNTY
 Owner Address: 348 7TH AVE, KIRKLAND WA 98033-5529, KING COUNTY
 Name of Seller: HENDERSON WILLIAM B & MELISSA
 Data Source - A

Neighborhood Profile (2000 Census)

Average Age: 37
 Median Household Income: \$61,818
 Median Owner Occupied Home Value: \$325,000
 Average Years of Education: 15

18817 SE 23RD ST, SAMMAMISH WA 98076-7462, KING COUNTY (Dec 1984 - Apr 1985)

Current Residents at Address:

BARBARA S MANN

ERIC N MANN

MANN ERIC & BARBARA 426-313-8890

Property Ownership Information for this Address**Property:**

Parcel Number - 752740-0160
 Name Owner: MANN ERIC N
 Name Owner 2: MANN BARBARA S
 Property Address: - 18817 SE 23RD ST, SAMMAMISH WA 98076-7462, KING COUNTY
 Owner Address: 18817 SE 23RD ST, SAMMAMISH WA 98076-7462, KING COUNTY
 Total Market Value - \$451,000
 Assessed Value - \$451,000
 Land Value - \$224,000
 Improvement Value - \$227,000
 Land Size - 33,450 Square Feet
 Year Built - 1976
 Name of Seller: HENDERSON BRUCE & SUZANNE E
 Loan Amount - \$180,000
 Loan Type - CONVENTIONAL
 Lender Name - WASHINGTON MUTUAL SVGS BK
 Data Source - A

Neighborhood Profile (2000 Census)

Average Age: 34
 Median Household Income: \$127,951
 Median Owner Occupied Home Value: \$517,900
 Average Years of Education: 16

Possible Properties Owned by Subject:**Property:**

Parcel Number - 865161-1470
 Name Owner: HENDERSON GREGG A
 Name Owner 2: HENDERSON JULIE K
 Property Address: [REDACTED], SAMMAMISH WA 98074-9355, KING COUNTY
 Owner Address: 209 [REDACTED], SAMMAMISH WA 98074-9355, KING COUNTY
 Total Market Value - \$373,000
 Assessed Value - \$373,000
 Land Value - \$166,000
 Improvement Value - \$207,000
 Land Size - 10,177 Square Feet
 Year Built - 1982
 Name of Seller: CROUTER MARK S & CAROL C
 Loan Amount - \$135,000

Loan Type - CONVENTIONAL
Lender Name - WASHINGTON MUTUAL SVGS BK
Data Source - A

Property:

Parcel Number - 752720-0210
Name Owner : WILLIAMS JULIE
Name Owner 2: HENDERSON GA
Property Address: - 19528 SE 21ST ST, SAMMAMISH WA 98075-9645, KING COUNTY
Owner Address: 19528 SE 21ST ST, SAMMAMISH WA 98075-9645, KING COUNTY
Assessed Value - \$318,000
Land Size - 24,200 Square Feet
Year Built - 1978
Name of Seller : LIVEMORE GARY R & BRENDA F
Loan Amount - \$288,800
Loan Type - CONVENTIONAL
Lender Name - EMERALD MTG CO
Data Source - A

Motor Vehicles Registered To Subject:

[None Found]

Watercraft:

[None Found]

FAA Certifications:

[None Found]

FAA Aircrafts:

[None Found]

Possible Criminal Records:

Oregon Court:

Name: GREGG ALAN HENDERSON
SSN: [REDACTED]
Address: 198178 S E 23RD ST, ISSAQUAH WA 98027
Aliases: GREGG ALAN HENDERSON
State of Origin: Oregon
DOB: [REDACTED]
Sex: Male

Offenses:

Offense #1
Case Number: X50864
Component: 1
Offense Date: 3/19/1989
Court Description: Umatilla Courts
Court Case Number: X50864
Court Offense: Vio Max Speed Rural Interstate
Court Level/Degree: Class B Infraction

Court Activity:

[NONE FOUND]

Sexual Offenses:

[None Found]

Florida Accidents:

[None Found]

Professional License(s):

[None Found]

Voter Registration: