

Application Forms, containing:

- 1(a): Completed Checklist
- 1(b): Form 2P
- 1(c): Form 3

**Uniform Certificate of Authority Application (UCAA)
Primary Application Checklist
For Primary Application Only**

The application checklist is intended to help guide you with assembling your complete Primary Uniform Certificate of Authority Application (UCAA). Please be sure to complete the checklist by appropriately marking the boxes on the left side of the page prior to submitting your application for review. The completed checklist should be attached to the top of the application.

Regulator Use Only

1. **Application Form**, containing:
 - Completed Primary Application Checklist (Form 1P) ✓
 - Original UCAA Primary Application executed and signed (Form 2P) ✓
 - Include all lines of insurance applicant is licensed to transact, currently transacting and requesting authority to transact in all jurisdictions. (Form 3). ✓

2. **Filing Fee** (pursuant to Section II Filing Requirements Item 2) containing: N/A
 - Payment of required filing fee =
 - Copy of check =

3. **Minimum Capital and Surplus Requirements** (pursuant to Section II Filing Requirements Item 3)
 - 12c Provide explanation of compliance with minimum capital & surplus requirements for state for which application is prepared

4. **Statutory Deposit Requirements** (pursuant to Section II Filing Requirements Item 4) copy
 - An original Certificate of Deposit prepared by state of domicile (Form 7) ✓

5. **Name Approval** (pursuant to Section II Filing Requirements Item 5) N/A
 - Evidence of name approval request

6. **Plan of Operation** (pursuant to Section II Filing Requirements Item 6)
 - Completed Questionnaire (Form 8) ✓
 - Pro Forma ✓
 - Narrative ✓

7. **Holding Company Form "B" Registration Statement** (pursuant to Section II Filing Requirements Item 7)
 - Included statement

8. **Statutory Membership(s)**
 - N/A Submit documentation as listed in Section II Filing Requirements Item 8

9. **SEC Filings or Consolidated GAAP Financial Statement**
 - Submit documentation as listed in Section II Filing Requirements Item 9

10. **Debt-to-Equity Ratio Statement**
 - Submit documentation as listed in Section II Filing Requirements Item 10

11. **Custody Agreements**

Submit documentation as listed in Section II Filing Requirements Item 11

12. **Public Records Package**

Submit ALL items in chart in Section II Item 12 including:

a. **Articles of Incorporation, including:**

Original certification by domiciliary state

b. **Bylaws, including:**

Original certification by applicant's corporate assistant

c. **Statement with Attachments, including:**

Current Year Annual Statement*
Verified and signed,
including actuarial opinion; and

Current Year Quarterly Statements-one copy for each quarter, verified and signed.

* 1. Updated Statements should be submitted on a timely basis while application is pending.

2. If Annual Statement for two preceding years have not been filed with NAIC, one copy of each year must be submitted with the application.

d. **Independent CPA-Audit Report**

13. **NAIC Biographical Affidavits for the following:**

12c Officers (As listed on Jurat Page of most recent financial statement.)

12c Directors (As listed on Jurat Page of most recent financial statement.)

12c Key managerial personnel (Including any Vice Presidents or other individuals who will control the operations of the applicant.)

N/A Individuals with a 10% or more beneficial ownership in the applicant who will exercise control over the applicant or, Officers and Directors of an entity with a 10% or more beneficial ownership in the applicant who exercise control over the applicant; and

N/A Individuals with a 10% or more beneficial ownership in the applicant's ultimate controlling person who will exercise control over the applicant and Officers and Directors of the ultimate controlling person who will control the operations of the applicant.

N/A Originally signed and notarized within one year of application date.

N/A Certified by Independent Third Party

14. **State-Specific Information**

N/A Some jurisdictions may have additional requirements that must be met before a Certificate of Authority can be issued. Before completing a UCAA Primary Application the applicant should review a listing of requirements for the state to which you are applying

Filing Requirements – Redomestications Only

The requirements of this section are only for those insurers seeking to redomesticate from one state to another and are in addition to the requirements of Section II, items 1-14 of the Primary Checklist. A Redomestication is defined as the process where any insurer organized under the laws of any other state may become a domestic insurer that transfers its domicile to another state by merger or consolidation or any other lawful method. The Primary Application when used for a redomestication is filed with the insurer's new state of domicile.

15. Annual Statement with Attachments



12c Submit documentation as listed in Section III, Filing Requirements Item 1

16. Quarterly Statements



12c Submit documentation as listed in Section III, Filing Requirements Item 2

17. Risk Based Capital Report



X Submit documentation as listed in Section III, Filing Requirements Item 3

18. Independent CPA Audit Report



12d Submit documentation as listed in Section III, Filing Requirements Item 4

19. Reports of Examination



X Submit documentation as listed in Section III, Filing Requirements Item 5

20. Certificate of Compliance (pursuant to Section III, Filing Requirements Item 6)



X Original certification of compliance (Form 6) completed by domiciliary state insurance regulatory agency.

**Uniform Certificate of Authority Application (UCAA)
 Primary Application**

To the Insurance Commissioner/Director/Superintendent of the State of:

Alabama		Montana	
Alaska		Nebraska	
Arizona		Nevada	
Arkansas		New Hampshire	
California		New Jersey	
Colorado		New Mexico	
District of Columbia		New York	
Connecticut		North Carolina	
Delaware		North Dakota	
Florida		Ohio	
Georgia		Oklahoma	
Hawaii		Oregon	
Idaho		Pennsylvania	
Illinois		Rhode Island	
Indiana		South Carolina	
Iowa		South Dakota	
Kansas		Tennessee	
Kentucky		Texas	X
Louisiana		Utah	
Maine		Vermont	
Maryland		Virginia	
Massachusetts		Washington	
Michigan		West Virginia	
Minnesota		Wisconsin	
Mississippi		Wyoming	
Missouri			

(Check the appropriate states in which you are applying.)

The undersigned Insurer hereby certifies that the classes of insurance as indicated on the Lines of Insurance, Form 3, are all lines of business (a) currently authorized for transaction, (b) currently transacted and (c) which the Insurer is applying to transact.

Name of Insurer: Industrial Alliance Pacific Insurance and Financial Services Inc. NAIC # 0315-84514

Home Office Address: 2165 Broadway W., P.O. Box 5900, Vancouver, BC V6B 5H6

Administrative Office Address: 17550 N Perimeter Drive, Suite 210, Scottsdale, AZ 85255

Mailing Address: 17550 N Perimeter Drive, Suite 210, Scottsdale, AZ 85255

Phone: 888-473-5540

Fax: 480-502-5088

Are these addresses the same as those shown on your Annual Statement?

Yes No

If not, indicate why.

Applicant Name: Industrial Alliance Pacific Insurance and Financial Services Inc. NAIC No. 0315-84514
FEIN: 98-0018913

Date Incorporated: April 29, 1967 Form of Organization: Corporation

Billing Address: 17550 N Perimeter Drive, Suite 210, Scottsdale, AZ 85255
E-Mail Address: IAAMerican.com Phone: 888-473-5540 Fax: 480-502-5066

Premium Tax Statement Address: 17550 N Perimeter Drive, Suite 210, Scottsdale, AZ 85255
E-Mail Address: IAAMerican.com Phone: 888-473-5540 Fax: 480-502-5066

Producer Licensing Address: 17550 N Perimeter Drive, Suite 210, Scottsdale, AZ 85255
E-Mail Address: IAAMerican.com Phone: 888-473-5540 Fax: 480-502-5066

Rate/Form Filing Address: 17550 N Perimeter Drive, Suite 210, Scottsdale, AZ 85255
E-Mail Address: IAAMerican.com Phone: 888-473-5540 Fax: 480-502-5066

Consumer Affairs Address: 17550 N Perimeter Drive, Suite 210, Scottsdale, AZ 85255
E-Mail Address: IAAMerican.com Phone: 888-473-5540 Fax: 480-502-5066

State or Country of Domicile: Washington Date Organized 4/29/1967

Date of Last Amendment of Charter, Bylaws or Subscriber's Agreement

Date of Last Financial Examination: December 31, 2007

Date of Last Market Conduct Examination _____

Par Value of Issued Stock: \$ _____ Surplus as regards policyholders: \$ _____

Certificate of Deposit (Home State) \$ 384,780,992.25 _____

Ultimate Owner/Holding Company: Industrial Alliance Insurance and Financial Services, Inc.

Has your company ever been refused admission to this or any other state prior to the date of this application?

Yes No

If Yes, give full explanation in an attached letter.

The applicant hereby designates (name natural persons only) Karen Davies, to appoint persons and entities to act as and to be licensed as agents in the State of Texas, and to terminate the said appointments.

NOTE: This does not apply to those states that do not require appointments

The following information is required of the individual who is authorized to represent the applicant before the department.

Name Gregory D. Morris
Title Chief Legal Counsel and Assistant Secretary
Mailing Address 17550 N Perimeter Drive, Suite 210, Scottsdale, AZ 85255
E-Mail Address: greg.morris@iaamerican.com Phone: 888-473-5540 Fax: 480-502-5066

If the representative is not employed by the applicant, please provide a company contact person in order to facilitate requests for detailed financial information.

Name _____
Title _____
Mailing Address _____
E-Mail Address: _____ Phone: _____ Fax: _____

Please provide a listing of all other applications filed by the applicant, or any of its affiliates, that are pending before the Department.

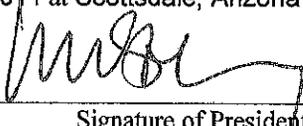
None

Applicant Officers' Certification and Attestation

One of the officers (listed below) of the Applicant must read the following very carefully:

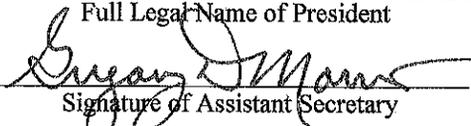
1. I hereby certify, under penalty of perjury, that I have read the application, that I am familiar with its contents, and that all of the information, including the attachments, submitted in this application is true and complete. I am aware that submitting false information or omitting pertinent or material information in connection with this application is grounds for license discipline or other administrative action and may subject me or the Applicant, or both, to civil or criminal penalties.
2. I acknowledge that I am familiar with the insurance laws and regulations of said state, accept the Constitution of such state in which the Applicant is licensed or to which the Applicant is applying for licensure.
3. I acknowledge that I am the President of the Applicant, am authorized to execute and am executing this document on behalf of the Applicant.
4. I hereby certify under penalty of perjury under the laws of the applicable jurisdictions that all of the forgoing is true and correct, executed this 26th day of October, 2011 at Scottsdale, Arizona .

10/26/2011
Date


Signature of President

Michael L. Stickney
Full Legal Name of President

10/26/2011
Date


Signature of Assistant Secretary

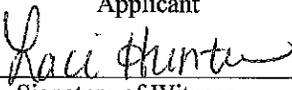
Gregory D. Morris
Full Legal Name of Assistant Secretary

10/26/2011
Date


Signature of Treasurer

Karen R. Davies
Full Legal Name of Treasurer

Industrial Alliance Pacific Insurance and Financial Services Inc.
Applicant


Signature of Witness

Laci Hunter
Full Legal Name of Witness

**Uniform Certificate of Authority Application (UCAA)
Expansion Application
Lines of Insurance**

Please complete the information below for each state in which you are currently licensed and seeking authority to do business. Each state has its own terminology for the lines of insurance. To assist in completing this form and in understanding the terms used, a matrix is available that correlates each state's terms to the common terms used in the NAIC annual statement blanks. The correlation matrix is an Excel spreadsheet and is located on the UCAA web site at http://www.naic.org/documents/industry_ucaa_forms_state_lines_of_business_matrix.xls

Alabama	Authorized to Transact	Currently Transacting	Applying for
Life (Sec. 27-5-2)	X	X	
Disability (Sec. 27-5-4)	X	X	
Property (Sec. 27-5-5)			
Miscellaneous Casualty (Sec. 27-5-6, 27-5-7, 27-5-8, 27-5-9)			
Title (Sec. 27-5-10)			

Alaska	Authorized to Transact	Currently Transacting	Applying for
Life (AS 21.12.040)	X	X	
Health (AS 21.12.050)	X	X	
Disability (21.12.052)			
Annuities (AS 21.12.05 5)	X	X	
Variable Life (AS 21.42.370)			
Variable Annuities (AS 21.42.370)			
Property (AS 21.12.060)			
Casualty (AS 21.12.070) including clauses:			
(1) Vehicle			
(2) Liability			
(3) Workers Compensation and Employers Liability			
(4) Burglary and Theft			
(5) Personal Property Floater			
(6) Glass			
(7) Boiler and Machinery			
(8) Leakage and Fire Extinguishing Equipment			
(9) Credit (failure of debtors to pay obligations to insured)			
(10) Malpractice			
(11) Elevator			
(12) Livestock			
(13) Entertainments			
(14) Miscellaneous			
Surety (AS 21.12.080)			
Marine, Wet Marine & Transportation (AS 21.12.090)			
Mortgage Guaranty (AS 21.12.110)			
Title (AS 21.66)			
Fraternal Benefit Society (AS 21.84)			

Arizona	Authorized to Transact	Currently Transacting	Applying for
Casualty with Workers' Compensation A.R.S. § 20-252			
Casualty without Workers' Compensation A.R.S. § 20-252			
Disability A.R.S. § 20-253	X	X	
Life (Includes Annuities) A.R.S. § 20-254	X	X	
Variable Annuity A.R.S. § 20-2631(2)			
Variable Life A.R.S. § 20-2601(15)			
Marine and Transportation A.R.S. § 20-255			
Mortgage Guaranty A.R.S. § 20-1541			
Prepaid Legal A.R.S. 20-1097			
Property A.R.S. § 20-256			
Surety A.R.S. § 20-257			
Title A.R.S. § 20-1562			
Vehicle A.R.S. § 20-259			
Life & Disability Reinsurer A.R.S. § 20-1082			
Health Care Services Organization A.R.S. § 20-1051			
Health, Medical, Dental, Optometric Service Corporations A.R.S. § 20-824			
Prepaid Dental Plan Organization A.R.S. § 20-1001			

Arkansas	Authorized to Transact	Currently Transacting	Applying for
Life (ACA 23-620-102)	X	X	
Accident & Health (ACA 23-62-103)	X	X	
Property (ACA 23-62-104)			
Casualty (ACA 23-62-105)			
Surety (ACA 23-62-106)			
Workers Compensation (ACA 23-62-105)			
Marine (ACA 23-62-107)			
Title (ACA 23-62-108)			
Mortgage Guaranty (ACA 23-62-110)			

California	Authorized to Transact	Currently Transacting	Applying for
Life (CIC 101)	X	X	
Fire (CIC 102)			
Marine (CIC 103)			
Title (CIC 104)			
Surety (CIC 105)			
Disability (CIC 106)	X	X	
Plate Glass (CIC 107)			
Liability (CIC 108)			
Workers' Compensation (CIC 109)			
Common Carrier Liability (CIC 110)			
Boiler and Machinery (CIC 111)			
Burglary (CIC 112)			
Credit (CIC 113)			
Sprinkler (CIC 114)			
Team and Vehicle (CIC 115)			
Automobile (CIC 116)			
Mortgage (CIC 117)			

California (continued)	Authorized to Transact	Currently Transacting	Applying for
Aircraft (CIC 118)			
Mortgage Guaranty (CIC 119)			
Insolvency (119.5)			
Legal (CIC 119.6)			
Miscellaneous (CIC 120)			
Financial Guaranty (CIC 124)			

Colorado	Authorized to Transact	Currently Transacting	Applying for
Life (10-3-102(1)(b))			
General Life	X	X	
Accident and Health	X	X	
Annuities			
Credit			
Variable Contracts			
Fraternal Benefit Society (10-14-603)			
General Life			
Accident and Health			
Annuities			
Variable Contracts			
Title (Title 10, Article 11)			
Title			
Property and Casualty (Title 10, Article 3, Sections 102(1)(a), (c) and (d) or (Title 10, Articles 12 or 13)			
General Property			
Crop			
Motor Vehicle			
General Casualty			
Accident and Health			
Fidelity and Surety (excluding bail bond)			
Bail Bond			
Workers' Compensation			
Mortgage Guaranty			
Credit			
Professional Malpractice			

Connecticut (C.G.S Title 38a)	Authorized to Transact	Currently Transacting	Applying for
Fire, Extended Coverage and Other Allied Lines			
Homeowners multiple peril			
Commercial multiple peril			
Earthquake			
Growing crops			
Ocean marine			
Inland marine			
Accident and health			
Workers' Compensation			
Liability other than auto (B.I. and P.D.)			
Auto liability (B.I. and P.D.)			
Auto physical damage			
Aircraft (all perils)			
Fidelity and Surety			

Connecticut (C.G.S Title 38a) (continued)	Authorized to Transact	Currently Transacting	Applying for
Financial Guaranty (mono-line)			
Glass			
Burglary and Theft			
Boiler and Machinery			
Credit			
Reinsurance			
Life Non-Participating			
Life Participating			
Variable Life Non-Participating			
Variable Life Participating			
Variable Annuities			
Title			
Fraternal Benefit Societies			
Health Care Center			
Credit Life			
Credit Accident and Health			
Mortgage Guaranty (mono-line)			
Residual Value			

Delaware	Authorized to Transact	Currently Transacting	Applying for
Life [18 Del. C. Section 902]	X	X	
Variable Annuities [Del. Reg 1]			
Variable Life [Del. Reg 44]			
Credit Life [18 Del. C. Section 902]			
Credit Health [18 Del. C. Section 903]			
Health [18 Del. C. Section 903]	X	X	
Property [18 Del. C. Section 904]			
Surety [18 Del. C. Section 905]			
Casualty [18 Del. C. Section 906(a)], including subdivisions:			
(1) Vehicle			
(2) Liability			
(3) Workers' Compensation & Employer's Liability			
(4) Burglary & Theft			
(5) Personal Property Floater			
(6) Glass			
(7) Boiler & Machinery			
(8) Leakage & Fire Extinguisher Equipment			
(9) Credit			
(10) Malpractice			
(11) Elevator			
(12) Congenital Defects			
(13) Livestock			
(14) Entertainments			
(15) Miscellaneous			
Marine & Transportation [18 Del. C. Section 907]			
Title [18 Del. C. Section 908]			

Presently, lines listed above for casualty are checked off as individual lines on the certificate of authority application form. 18 Del. C. Section 906(b) – Provision of medical, hospital, surgical and funeral benefits, and of coverage against accidental death or injury, as incidental to and part of other insurance as stated under subdivisions (1) vehicle, (2) liability, (4) burglary & theft, (7) boiler & machinery, (10) malpractice and (11) elevator of subsection (a) shall for all purposes be deemed to be the same kind of insurance to which it is so incidental and shall not be subject to provisions of this title applicable to life and health insurance.

District of Columbia	Authorized to Transact	Currently Transacting	Applying for
Life and Health	X	X	
Individual Life	X	X	
Group Life			
Variable Life (26 DCMR Chapter 27)			
Individual Accident and Health	X	X	
Group Accident and Health			
Individual Annuities (Fixed and Variable) (Sec. 31-4442)	X	X	
Group Annuities (Fixed and Variable) (Sec. 31-4442)			
Fire and Casualty			
(1) Fire (Sec. 31-2502.11)			
(2.1) Allied Lines (Sec. 31-2502.11)			
(2.2) Multiple Peril Crop			
(2.3) Federal Flood			
(3) Farmowners Multiple Peril (Sec. 31-2502.11)			
(4) Homeowners Multiple Peril (Sec. 31-2502.11)			
(5.1) Commercial Multiple Peril (non-liability) (Sec. 31-2502.11)			
(5.2) Commercial Multiple Peril (liability) (Sec. 31-2502.11)			
(6) Mortgage Guaranty			
(8) Ocean Marine (Sec. 31-2502.11)			
(9) Inland Marine (Sec. 31-2502.11)			
(10) Financial Guaranty			
(11) Medical Malpractice			
(12) Earthquake (Sec. 31-2502.11)			
(13) Group Accident & Health (Sec. 31-2502.11)			
(14) Credit A&H (Group & Individual) (Sec. 31-2502.11)			
(15.1) Collectively Renewable A&H (Sec. 31-2502.11)			
(15.2) Non-cancellable A&H (Sec. 31-2502.11)			
(15.3) Guaranteed Renewable A&H (Sec. 31-2502.11)			
(15.4) Non-renewable for Stated Reasons Only (Sec. 31-2502.11)			
(15.5) Other Accident Only (Sec. 31-2502.11)			
(15.6) All Other A&H (Sec. 31-2502.11)			
(15.7) Federal Employees Health Benefits Program			
(16) Worker's Compensation (Sec. 31-2502.11)			
(17) Other Liability (Sec. 31-2502.11)			
(18) Products Liability (Sec. 31-2502.11)			
(19.1) Private Passenger Auto No-Fault (personal injury protection) (Sec. 31-2502.11)			
(19.2) Other Private Passenger Auto Liability (Sec. 31-2502.11)			
(19.2) Auto Liability (Sec. 31-2502.11)			
(19.3) Commercial Auto No-Fault (personal injury protection) (Sec. 31-2502.11)			
(19.4) Other Commercial Auto Liability (Sec. 31-2502.11)			
(21.1) Passenger Auto Physical Damage (Sec. 31-2502.11)			
(21.2) Commercial Auto Physical Damage (Sec. 31-2502.11)			
(22) Aircraft (all perils) (Sec. 31-2502.11)			
(23) Fidelity (Sec. 31-2502.11)			
(24) Surety (Sec. 31-2502.11)			
(26) Burglary and Theft (Sec. 31-2502.11)			

District of Columbia (continued)	Authorized to Transact	Currently Transacting	Applying for
(27) Boiler and Machinery (Sec. 31-2502.11)			
(28) Credit (Sec. 31-2602.03, 31-2502.11)			
Title [Sec. 31-2602.03 (a)(7)]			

Florida	Authorized to Transact	Currently Transacting	Applying for
Property & Casualty Insurers			
0010 Fire			
0020 Allied Lines			
0030 Farmowners Multi Peril			
0040 Homeowners Multi Peril			
0050 Commercial Multi Peril			
0080 Ocean Marine			
0090 Inland Marine			
0100 Financial Guaranty			
* 0106 Auto Warranties			
0110 Medical Malpractice			
0120 Earthquake			
0160 Workers' Compensation			
0170 Other Liability			
* 0173 Prepaid Legal			
0192 Private Passenger Auto Liability			
0194 Commercial Auto Liability			
0211 Private Passenger Auto Physical Damage			
0212 Commercial Auto Physical Damage			
0220 Aircraft			
0230 Fidelity			
0240 Surety			
* 0245 Bail Bonds			
0250 Glass			
0260 Burglary and Theft			
0270 Boiler and Machinery			
0280 Credit			
* 0285 Title (Title Companies Only)			
* 0290 Livestock			
0300 Industrial Fire			
* 0310 Mortgage Guaranty			
0441 Credit Disability			
* 0450 Accident and Health			
* 0520 Industrial Extended Coverage			
* 0540 Mobile Home Multi Peril			
* 0550 Mobile Home Physical Damage			
* 0570 Crop Hail			
* 0607 Home Warranties			
* 0608 Service Warranties			
* 0610 Other Warranty			
* 0620 Miscellaneous Casualty			

For purposes of applicant's plan of operations, these lines should be listed as "all other lines". If any are combined with other lines on the pro forma's (i.e. mobile home combined with homeowners), the plan of operations should specify that this was done.

Florida (continued)	Authorized to Transact	Currently Transacting	Applying for
Property & Casualty Insurers (Reinsurance Only)			
R010 Fire			
R020 Allied Lines			
R030 Farmowners Multi Peril			
R040 Homeowners Multi Peril			
R050 Commercial Multi Peril			
R080 Ocean Marine			
R090 Inland Marine			
R100 Financial Guaranty			
* R106 Auto Warranties			
R110 Medical Malpractice			
R120 Earthquake			
R160 Workers' Compensation			
R170 Other Liability			
* R173 Prepaid Legal			
R192 Private Passenger Auto Liability			
R194 Commercial Auto Liability			
R211 Private Passenger Auto Physical Damage			
R212 Commercial Auto Physical Damage			
R220 Aircraft			
R230 Fidelity			
R240 Surety			
* R245 Bail Bonds			
R250 Glass			
R260 Burglary and Theft			
R270 Boiler and Machinery			
R280 Credit			
* R285 Title (Title Companies Only)			
* R290 Livestock			
R300 Industrial Fire			
* R310 Mortgage Guaranty			
R441 Credit Disability			
* R450 Accident and Health			
* R520 Industrial Extended Coverage			
* R540 Mobile Home Multi Peril			
* R550 Mobile Home Physical Damage			
* R570 Crop Hail			
* R607 Home Warranties			
* R608 Service Warranties			
* R610 Other Warranty			
* R620 Miscellaneous Casualty			

* For purposes of applicant's plan of operations, these lines should be listed as "all other lines". If any are combined with other lines on the pro forma (i.e. mobile home combined with homeowners), the plan of operations should specify that this was done.

Florida (continued)	Authorized to Transact	Currently Transacting	Applying for
Life, Accident and Health Insurers			
0400 Ordinary Life			
Endowment			
Term Life			
Industrial Life			
Individual Annuities			
Universal Life			
0405 Individual Variable Annuities			
Group Variable Annuities			
0410 Group Life and Annuities			
0420 Variable Life			
0425 Fraternal Life			
0430 Fraternal Health			
0440 Credit Life			
0441 Credit Disability			
0450 Accident and Health			
R400 Reinsurance - Ordinary Life and Annuity			
R405 Reinsurance - Individual/Group Variable Annuities			
R410 Reinsurance - Group Life and Annuity			
R420 Reinsurance - Variable Life			
R440 Reinsurance - Credit Life			
R441 Reinsurance - Credit Disability			
R450 Reinsurance - Accident and Health			

Georgia	Authorized to Transact	Currently Transacting	Applying for
§33-3-5			
Life, accident, and sickness, including subdivisions:	X	X	
Variable Annuities			
Variable Life			
Property, marine, and transportation			
Casualty, subdivisions:			
Workers' Compensation (including)			
Workers' Compensation (excluding)			
Surety			
Title			

Hawaii	Authorized to Transact	Currently Transacting	Applying for
Life Insurance (Section 431: 1-204, HRS)	X	X	
Disability Insurance (Section 431: 1-205, HRS)	X	X	
Property Insurance (Section 431: 1-206, HRS)			
Marine and Transportation Insurance (Section 431: 1-207, HRS)			
Vehicle Insurance (Section 431: 1-208, HRS)			
General Casualty Insurance (Section 431:1-209, HRS)			
Surety Insurance (Section 431: 1-210, HRS)			
Ocean Marine (Section 431: 1-211, HRS)			
Title Insurance (Section 431: 20, HRS)			

Idaho	Authorized to Transact	Currently Transacting	Applying for
Life - 41-502	X	X	
Disability (Including/Excluding Managed Care) - 41-503	X	X	

Idaho (continued)	Authorized to Transact	Currently Transacting	Applying for
Variable Annuities/Contracts - (41-1936)			
Property - 41-504			
Marine and Transportation - 41-505			
Casualty - Including Workers' Compensation - 41-506			
Casualty - Excluding Workers' Compensation - 41-506			
Surety (Including Fidelity) - 41-507			
Monoline only:			
Title - 41-508			
Mortgage Guaranty - 41-2652			

Illinois	Authorized to Transact	Currently Transacting	Applying for
Class 1 Life, Accident and Health*			
(a) Life	X	X	
(b) Accident and Health	X	X	
(c) Legal Expense Insurance			
Class 2 Casualty, Fidelity and Surety*			
(a) Accident and Health			
(b) Vehicle			
(c) Liability			
(d) Workers' Compensation			
(e) Burglary and Forgery			
(f) Glass			
(g) Fidelity and Surety			
(h) Miscellaneous			
(i) Other Casualty Risks			
(j) Contingent Losses			
(k) Livestock and Domestic Animals			
(l) Legal Expense Insurance			
Class 3 Fire and Marine, etc.*			
(a) Fire			
(b) Elements			
(c) War, Riot and Explosion			
(d) Marine and Transportation			
(e) Vehicle			
(f) Property Damage, Sprinkler Leakage and Crop			
(g) Other Fire and Marine Risks			
(h) Contingent Losses			
(i) Legal Expense Insurance			

* See 215/IL5/4 for additional description

Indiana	Authorized to Transact	Currently Transacting	Applying for
Class I (Life)			
(a) Life and Annuities	X	X	
(b) Accident & Health	X	X	
(c) Variable Life and Annuities (Segregated Amounts)			
Class II (Casualty)			
(a) Accident and Health - Disability			
(b) Workers' Compensation			
(c) Burglary, Theft			
(d) Glass			

Indiana (continued)	Authorized to Transact	Currently Transacting	Applying for
(e) Broiler and Machinery			
(f) Automobile			
(g) Sprinkler			
(h) Liability			
(i) Credit			
(j) Title			
(k) Fidelity & Surety w/Bailbonds			
(kt) Fidelity & Surety w/o Bailbonds			
(l) Miscellaneous			
(m) Legal Expenses			
Class III (Property)			
(a) Fire, Windstorm, Hail, Loot, Riot			
(b) Crops			
(c) Sprinkler			
(d) Marine			

Iowa	Authorized to Transact	Currently Transacting	Applying for
Property/Casualty Lines (515.48)			
Fire			
Extended Coverage			
Other Allied Lines			
Homeowners Multiple Peril			
Commercial Multiple Peril			
Earthquake			
Growing Crops			
Ocean Marine			
Inland Marine			
Accident and Health			
Workers' Compensation			
Other Liability			
Auto Liability			
Auto Physical Damage			
Aircraft			
Fidelity and Surety			
Glass			
Burglary and Theft			
Boiler and Machinery			
Reinsurance Only (monoline)			
Financial Guaranty (monoline)			
Life (508, 509, 511)*	X	X	
Life with accident and health (508, 509, 511 and 515)*	X	X	
Reciprocal (520)			
Mortgage Guaranty (515C)			
Fraternal (512B)			

*Life includes credit life, variable life, annuities, and variable annuities.

Kansas	Authorized to Transact	Currently Transacting	Applying for
Life	X	X	
Accident and Health	X	X	
Stand-alone Prescription Drug Provider			

Kansas (continued)	Authorized to Transact	Currently Transacting	Applying for
Fire Insurance			
Fire			
Windstorm & Hail			
Extended Coverage			
Add'l. Perils on Growing Crops			
Hail on Growing Crops			
Optional Perils			
Sprinkler Leakage			
Business Interruption			
Earthquake			
Water Damage			
Aircraft Hull			
Ocean Marine			
Inland Marine			
Rain			
Automobile Physical Damage			
Flood			
Homeowners Policies			
Casualty Insurance			
Accident and Health			
Automobile Liability			
General Liability			
Workers' Compensation			
Fidelity, Surety & Forgery Bonds			
Glass			
Burglary, Theft & Robbery			
Boiler & Machinery			
Credit			
Title			
Malpractice Liability			
Livestock Mortality			
Aircraft Liability			
Cargo Liability			
Mortgage Guaranty Insurance			

Kentucky	Authorized to Transact	Currently Transacting	Applying for
Life And Health Companies			
Life (Includes variable & credit)KRS 304.5-020	X	X	
Annuity (Includes variable)KRS 304.5-030	X	X	
Health (Includes credit) KRS 304.5-040	X	X	
Property And Casualty Companies			
Health (Includes credit) KRS 304.5-040			
Property KRS 304.5-050			
Surety KRS 304.5-060			
Casualty KRS 304.5-070			
All Lines KRS 304.5-070 (1)(a) thru (1)(q)			
Or, Casualty Limited to:			
Vehicle Insurance KRS 304.5-070(1)(a)			
Liability Insurance KRS 304.5-070(1)(b)			
Workers' Compensation and Employers Liability KRS 304.5-070(1)(c)			

Kentucky (continued)	Authorized to Transact	Currently Transacting	Applying for
Burglary and Theft KRS 304.5-070(1)(d)			
Personal Property Floater KRS 304.5-070(1)(e)			
Glass KRS 304.5-070(1)(f)			
Boiler and Machinery KRS 304.5-070(1)(g)			
Leakage and Fire Extinguishing Equipment KRS 304.5-070 (1)(h)			
Credit KRS 304.5-070(1)(i)			
Malpractice KRS 304.5-070(1)(j)			
Elevator KRS 304.5-070(1)(k)			
Congenital Defects KRS 304.5-070(1)(l)			
Livestock KRS 304.5-070(1)(m)			
Entertainments KRS 304.5-070(1)(n)			
Failure of Certain Institutions to Record Documents KRS 304.5-070(o)			
Automobile Guaranty KRS 304.5-070(1)(p)			
Miscellaneous KRS 304.5-070(1)(q)			
Marine and Transportation KRS 304.5-080			
Mortgage Guaranty KRS 304.5-100			
Title KRS 304.5-090			
All Others Companies:			
Fraternal Benefit Society KRS 304.29-011			
Life			
Health			
Lloyd's KRS 304.28-010			
Non-profit Health Service Corporation KRS 304.32-030			
Reciprocal KRS 304.27-010			

Louisiana	Authorized to Transact	Currently Transacting	Applying for
Life	X	X	
Annuities			
Health and Accident	X	X	
Vehicle			
Liability			
Workers' Compensation			
Fire and Allied Lines			
Fidelity			
Surety			
Credit Life, Health and Accident			
Credit Property and Casualty			
Marine and Transportation			
Miscellaneous			
Steam Boiler and Sprinkler Leakage			
Title			
Vehicle			
Burglary and Forgery			
Crop			
Home Owners			

Maine	Authorized to Transact	Currently Transacting	Applying for
Life and Health			
Life, including credit life	X	X	
Health, including credit health	X	X	
Variable Life			
Variable Annuity			
Property & Casualty			
Fire			
Allied Lines			
Farmowners Multiple Peril			
Homeowners Multiple Peril			
Commercial Multiple Peril			
Mortgage Guaranty			
Ocean Marine			
Inland Marine			
Financial Guaranty			
Worker's Compensation			
Medical Professional Liability			
Earthquake			
Other Liability			
Product Liability			
Auto Liability			
Auto Physical Damage			
Aircraft (all perils)			
Fidelity			
Surety			
Glass			
Burglary and Theft			
Boiler and Machinery			
Credit			
Federal Flood Insurance			
Title			

Maryland	Authorized to Transact	Currently Transacting	Applying for
Insurance Article of the Annotated Code of Maryland			
Variable Annuities – Section 1-101(d)(e),			
Casualty (not including Vehicle Liability, Mortgage Guaranty & Worker's Compensation) – Section 1-101(i)			
Dental Plan Organization – Section 14-401			
Fraternal – 8-424			
Mortgage Guaranty – Sections 1-101(oo)			
Health – Sections 1-101(p)	X	X	
Life, including Annuities and Health (except Variable Life & Variable Annuities) Sections 1-101(d), 1-101(p), 1-101(x)	X	X	
Marine, Wet Marine & Transportation – Sections 1-101(z), 1-101(ss)			
Non-Profit Health Service Plan – Section 14-110			
Property and Marine (excluding Wet Marine and Transportation) – Section 1-101(gg), (1-101(z)			
Variable Life – Sections 16-601, 16-602			
Surety – Section 1-101(oo)			
Title – Section 1-101(qq)			
Vehicle Liability – Section 1-101(i)			

Maryland (continued)	Authorized to Transact	Currently Transacting	Applying for
Workers' Compensation – Section 1-101(i)			
<i>Health-General Article of the Annotated Code of Maryland</i>			
Health Maintenance Organizations – Sections 19-708, 19-709, 19-710			
Provider-Sponsored Organizations – Section 19-7A			

Massachusetts	Authorized to Transact	Currently Transacting	Applying for
(M.G.L. 175 § 47)			
(1) Fire			
(2A) Ocean Marine			
(2B) Inland Marine Only			
(4) Fidelity and Surety			
(5A) Boiler, Fly Wheel, Machinery, Explosion			
(5B) Boiler (no inspector), Fly Wheel, Machinery, Explosion			
(6A) Accident - All Kinds	X	X	
(6B) Health - All Kinds	X	X	
(6C) Group Accident and Health Only			
(6D) Non-Cancelable Accident and Health Only			
(6E) Workers' Compensation			
(6F) Liability Other than Auto			
(6G) Auto Liability			
(7) Glass			
(8) Water Damage and Sprinkler Leakage			
(9) Elevator Property Damage and Collision			
(10) Credit			
(11) Title (Title Companies Only)			
(12) Burglary, Robbery, Theft, Forgery, Larceny			
(13) Livestock			
(15) Reinsurance			
(16A) Life - All Kinds	X	X	
(16B) Group Life Only			
(16C) Variable Annuity Authorization			
(16D) Annuities Only			
(16E) Variable Life Authorization			
(17) Repair - Replacement			
(19) Legal Services			
(20) Credit Involuntary Unemployment			
(51) Stock Companies Extension of Coverage- M.G.L. 175 § 51(g)			
(54) Mutual Companies (specified in Section 47) - M.G.L. 175 § 54(g)			
(54BX) Reinsurance except Life - M.G.L. 175 § 54B			
(54BY) Nuclear Energy - M.G.L. 175 § 54B			
(54BZ) Special Hazards - Radioactive Contamination - M.G.L. 175 § 54B			
(54C) Comprehensive Motor Vehicle and Aircraft - M.G.L. 175 § 54C			
(54D) Personal Property Floater - M.G.L. 175 § 54D			

Massachusetts (continued)	Authorized to Transact	Currently Transacting	Applying for
(54E) Dwellings - M.G.L. 175 § 54E			
(54F) Commercial Property (Multiple Peril) - M.G.L. 175 § 54F			
(54G) Reinsurance - Life Companies Only - M.G.L. 175 § 54G			

Michigan	Authorized to Transact	Currently Transacting	Applying for
Life and Annuity - Other than Variable Contracts (MCL 500.602)	X	X	
Separate Account - Variable Annuities (MCL 500.925)			
Separate Account - Variable Life (MCL 500.925)			
Separate Accounts - Modified Guaranteed Annuities (MCL 500.4101)			
Disability (MCL 500.606)	X	X	
Property (MCL 500.610)			
Ocean Marine (MCL 500.614)			
Inland Marine (MCL 500.616)			
Automobile Insurance - Limited (MCL 500.620)			
Legal Expense (MCL 500.618)			
Steam Boiler, Flywheel and Machinery (MCL 500.624a)			
Liability (MCL 500.624b)			
Automobile, including Section 625, disability coverage supplemental to automobile insurance (MCL 500.624b)			
Workers Compensation (MCL 500.624b)			
Plate Glass (MCL 500.624c)			
Sprinkler and Water Damage (MCL 500.624d)			
Credit (MCL 500.624e)			
Burglary and Theft (MCL 500.624f)			
Livestock (MCL 500.624g)			
Malpractice (MCL 500.624h)			
Miscellaneous (MCL 500.624i)			
Surety and Fidelity (MCL 500.628)			
Limited Liability (MCL 500 Chapter 65)			
Title (MCL 500 Chapter 73)			
Fraternal (MCL 500 Chapter 81a)			

Minnesota (MS 60A.06, Subd.1)	Authorized to Transact	Currently Transacting	Applying for
Fire (1)			
Inland Marine (2a)			
Ocean Marine (2a)			
Personal Property Floater (2b)			
Boiler and Machinery (3)			
Life (4)			
Variable Contract Authority (4)			
Accident and Health (5a)			
Worker's Compensation (5b)			
Fidelity (6)			
Surety (6)			
Title (7)			
Glass (8)			

Minnesota (MS 60A.06, Subd.1) (continued)	Authorized to Transact	Currently Transacting	Applying for
Burglary & Theft (9a)			
Security and Drafts (9b)			
Personal Property Floater - Casualty (9c)			
Water (9d)			
Livestock (10)			
Credit (11)			
Automobile (12)			
General Liability (13)			
Elevator (14)			
Legal Expense (15)			

Mississippi	Authorized to Transact	Currently Transacting	Applying for
(MCA 83-19-1 Classifications of Insurance Companies)			
Class 1. Fire and Casualty			
(a) Fire and Allied Lines			
(b) Industrial Fire			
(c) Casualty/Liability			
(d) Fidelity			
(e) Surety			
(f) Workers' Compensation			
(g) Boiler and Machinery			
(h) Plate Glass			
(i) Aircraft			
(j) Inland Marine			
(k) Ocean Marine			
(l) Automobile Physical Damage/Automobile Liability			
(m) Homeowners/Farmowners			
(n) Guaranty			
(o) Mortgage Guaranty			
(p) Title			
(q) Trip Accident and Baggage			
(r) Legal			
(s) Credit Property			
Class 2. Life			
(a) Life	X	X	
(b) Accident and Health	X	X	
(c) Credit Life, Credit Accident and Health			
(d) Industrial Life, Industrial Accident and Health	X	X	
(e) Variable Contracts			
(f) Life (Burial)			
Class 3. Fraternal			
(a) Fraternal			
(b) Larger Fraternal			
Class 4. Burial			
MCA 83-41-303 Health Maintenance Organization			

Missouri	Authorized to Transact	Currently Transacting	Applying for
A - Life and Health (RSMo 376)			
A1 - Life, Annuities and Endowments (376.010)	X	X	
A2 - Accident and Health (376.010)	X	X	

A3 - Variable Contracts (376.309)			
H - Title (RSMo 381)			

Missouri (continued)	Authorized to Transact	Currently Transacting	Applying for
B - Property and Casualty (RSMo 379)			
B1 - Property (379.010.1(1))			
B2 - Liability (379.010.1(2))			
Workers' Compensation			
B3 - Fidelity and Surety (379.010.1(3))			
B4 - Accident and Health (379.010.1(4))			
B5 - Miscellaneous (379.010.1(5))			

Montana	Authorized to Transact	Currently Transacting	Applying for
Life (including variable contract authority) §33-1-208; 33-20-Part 6, MCA			
Life (excluding variable contract authority) §33-1-208, MCA	X	X	
Disability §33-1-207, MCA			
Property §33-1-210, MCA			
Casualty (including Workers' Comp) §33-1-206, MCA			
Casualty (excluding Workers' Comp) §33-1-206, MCA			
Surety §33-1-211, MCA			
Marine §33-1-209, MCA			
Title §33-1-212, MCA			

Nebraska	Authorized to Transact	Currently Transacting	Applying for
Life (1)	X	X	
Variable Life (2)			
Variable Annuities (3)			
Sickness & Accident (4)	X	X	
Property (5)			
Credit Property (6)			
Glass (7)			
Burglary & Theft (8)			
Boiler & Machinery (9)			
Liability (10)			
Workers Compensation & Employers Liability (11)			
Vehicle (12)			
Fidelity (13)			
Surety (14)			
Title (15)			
Credit (16)			
Mortgage Guaranty (17)			
Marine (18)			
Financial Guaranty (19)			
Miscellaneous (20)			

Nevada	Authorized to Transact	Currently Transacting	Applying for
Life (681A.040)	X	X	
Health (681A.030)	X	X	
Property (681A.060)			
Casualty (681A.020) (Including Worker's Comp)			

Nevada (continued)	Authorized to Transact	Currently Transacting	Applying for
Casualty (681A.020) (Excluding Worker's Comp)			
Surety (681A.070)			
Marine and Transportation (681A.050)			
Title (681A.080)			
Surplus Lines (685A)			
Risk Retention Group (695E)			
Funeral/Cemetery Sellers (689)			
Premium Finance Company (686A)			
Motor Clubs (696A)			
Health Maintenance Organization (695C)			
Prepaid Ltd. Health Service Organization (695F)			
Variable (688A)			
Home Warranty (680B.100)			

New Hampshire	Authorized to Transact	Currently Transacting	Applying for
Fire and Allied Lines(RSA 401.1, I)			
Marine Coverages(RSA 401.1, II)			
Life and Annuities(RSA 401.1, III)	X	X	
Variable Annuities require a separate License (RSA 401.1, III)			
Accident and/or Health Coverages (401.1, IV)	X	X	
Liability Coverages, including workers' compensation (RSA 401.1, V)			
Casualty Coverages (RSA 401.1, VI)			
Fidelity, Surety, Credit Insurance, Mortgage Guaranty, Bonds, and Financial Guaranty (RSA 401.1, VII)			
Other casualty risks. Refer to the NAIC Uniform P&C Product Coding Matrix filing code 17. Select each Sub-Type to which this license is being requested. (RSA 401.1, VIII)			
Title (RSA 416-A)			
Fraternal (RSA 418:16)			

New Jersey	Authorized to Transact	Currently Transacting	Applying for
(1) Fire (N.J.S.A. 17:17-1a)			
(2) Earthquake (N.J.S.A. 17:17-1a)			
(3) Growing Crops (N.J.S.A. 17:17-1a)			
(4) Ocean Marine (N.J.S.A. 17:17-1b)			
(5) Inland Marine (N.J.S.A. 17:17-1b)			
(6) Workers' Compensation and Employers Liability (N.J.S.A. 17:17-1e)			
(7) Automobile Liability (BI) (N.J.S.A. 17:17-1e)			
(8) Automobile Liability (PD) (N.J.S.A. 17:17-1e)			
(9) Automobile Physical Damage (N.J.S.A. 17:17-1e)			
(10) Aircraft Physical Damage (N.J.S.A. 17:17-1b)			
(11) Other Liability (N.J.S.A. 17:17-1e)			
(12) Boiler & Machinery (N.J.S.A. 17:17-1f)			
(13) Fidelity & Surety (N.J.S.A. 17:17-1g)			
(14) Credit (N.J.S.A. 17:17-1i)			
(15) Burglary & Theft (N.J.S.A. 17:17-1j)			

New Jersey (continued)	Authorized to Transact	Currently Transacting	Applying for
(16) Glass (N.J.S.A. 17:17-1k)			
(17) Sprinkler Leakage (N.J.S.A. 17:17-1l)			
(18) Livestock (N.J.S.A. 17:17-1m)			
(19) Smoke & Smudge (N.J.S.A. 17:17-1n)			
(20) Physical Loss to Buildings (N.J.S.A. 17:17-1o)			
(21) Radioactive Contamination (N.J.S.A. 17:17-1o)			
(22) Mechanical Breakdown/Power Failure (N.J.S.A. 17:17-1o)			
(23) Other (must be pre-approved by the Commissioner) (N.J.S.A. 17:17-1o)			
(26) Accident and Health (Property/Casualty Companies) (N.J.S.A. 17B:17-4)			
(27) Municipal Bond (N.J.A.C. 11:7)			
(28) Life (N.J.S.A. 17B:17-3)	X	X	
(29) Accident and Health (Life/Health Companies) (N.J.S.A. 17B:17-4)			
(30) Annuities (N.J.S.A. 17B:17-5)	X	X	
(31) Variable Contracts (N.J.S.A. 17B:28-1 et seq.)			
(33) Title (N.J.S.A. 17:46B-7)			
(34) Fraternal Benefit Society (N.J.S.A. 17:44B)			
(35) Residential Mortgage Guaranty (N.J.S.A. 46A-3)			
(36) Commercial Mortgage Guaranty (N.J.S.A. 46A-3)			

New Mexico	Authorized to Transact	Currently Transacting	Applying for
Life (NMSA Section 59A-7-2)	X	X	
Health (NMSA Section 59A-7-3)	X	X	
Property (NMSA Section 59A-7-4)			
Marine and Transportation (NMSA Section 59A-7-5)			
Casualty (NMSA Section 59A-7-6)			
Vehicle (NMSA Section 59A-7-7)			
Surety (NMSA Section 59A-7-8)			
Title (NMSA Section 59A-7-9)			

New York (Notes 1 and 2)	Authorized to Transact	Currently Transacting	Applying for
(Section 1113(a) of the N.Y. Ins. Law)			
(1) Life			
(2) Annuities			
(3) (i) & (ii) - A&H			
(4) Fire			
(5) Miscellaneous Property			
(6) Water Damage			
(7) Burglary & Theft			
(8) Glass			
(9) Boiler and Machinery			
(10) Elevator			
(11) Animal			
(12) Collision			
(13) Personal Injury Liability			
(14) Property damage liability			
(15) Workers' Compensation and employers' liability			
(16) Fidelity and surety			

New York (continued) (Notes 1 and 2)	Authorized to Transact	Currently Transacting	Applying for
(17) Credit			
(19) Motor Vehicle and aircraft physical damage			
(20) Marine and Inland marine			
(21) Marine Protection and indemnity			
(22) Residual value			
(24) Credit Unemployment			
(26) Gap			
(27) Prize Indemnification			
(28) Service Contract reimbursement			
(29) Legal Services			
(30) Involuntary unemployment			
(31) Salary protection			
Monoline only:			
Title - Section 1113(a)(18) & Article of the N.Y. Ins. Law			
Mortgage Guaranty - Section 1113(a)(23) & Article 69 of the N.Y. Ins. Law			
Financial Guaranty - Section 1113(a)(25) & Article 69 of the N.Y. Ins. Law			

Note 1: A company may only apply for the lines of insurance for which it is authorized in its state of domicile.

Note 2: The company must have transacted business for a minimum of three (3) years prior to seeking admission. If the company was recently acquired, at least three (3) years of operating experience under the new management is required. An affiliated insurer admitted in New York and operating under the same ownership/management team for at least three (3) years may satisfy this requirement. If the aforementioned situation applies, a written request for approval of a waiver must be submitted with the application.

North Carolina	Authorized to Transact	Currently Transacting	Applying for
Life (NCGS 58-7-15)			
Life, including industrial & credit life			
Annuities			
Variable annuities			
Variable life			
Accident and health - Cancelable			
Accident and health - Non-cancelable			
Accident and health - Credit			
Fire (NCGS 58-7-15)			
Fire			
Miscellaneous property - Extended coverage			
Miscellaneous property - Growing crops			
Water damage - Commercial			
Water damage - Residence			
Burglary and theft			
Glass			
Animal			
Collision - Automobile			
Collision - Other			
Motor vehicle and aircraft - Property damage			
Motor vehicle and aircraft - Fire			
Motor vehicle and aircraft - Theft			
Motor vehicle and aircraft - Comprehensive			
Motor vehicle and aircraft - Collision			
Marine - Inland			
Marine - Ocean			

Marine protection and indemnity			
Other			
North Carolina (continued)	Authorized to Transact	Currently Transacting	Applying for
Casualty (NCGS 58-7-15)			
Accident and health – Cancelable			
Accident and health – Non Cancelable			
Accident and health – Credit			
Water damage – Commercial			
Water damage – Residence			
Burglary and theft			
Glass			
Boiler and machinery			
Elevator			
Animal			
Collision – Automobile			
Collision – Other			
Personal injury liability – Automobile			
Personal injury liability – Other			
Property damage liability – Automobile			
Property damage liability - Other			
Workers' compensation and Employer's liability			
Fidelity and surety			
Credit			
Title			
Motor vehicle and aircraft – Property damage			
Motor vehicle and aircraft – Fire			
Motor vehicle and aircraft – Theft			
Motor vehicle and aircraft – Comprehensive			
Motor vehicle and aircraft – Collision			
Marine protection and indemnity			
Aircraft Voluntary Settlement			
Hole-in-One			
Other			
Mortgage Guaranty			

North Dakota	Authorized to Transact	Currently Transacting	Applying for
Life & Annuity	X	X	
Accident & Health	X	X	
Property			
Casualty			
Variable Life and Annuity			

Ohio	Authorized to Transact	Currently Transacting	Applying for
Life Companies: Life, Accident, Health, Disability, and/or annuities (O.R.C. 3911.01)	X	X	
Property & Casualty (O.R.C. 3929.01(A))			
(1) Fire			
(2) Allied Lines			
(3) Farmowners Multiple Peril			
(4) Homeowners Multiple Peril			
(5) Commercial Multiple Peril			
(6) Ocean Marine			
(7) Inland Marine			

	Authorized to Transact	Currently Transacting	Applying for
(8) Guaranty			
(9) Medical Malpractice			
Ohio (continued)			
(10) Earthquake			
(11) Group A&H			
(12) Credit A&H (Group and Individual)			
(13a) Collectively Renewable A&H			
(13b) Noncancellable A&H			
(13c) Guaranteed Renewable A&H			
(13d) Nonrenewable for Stated Reasons Only			
(13e) Other Accident Only			
(13f) All Other A&H			
(14) Workers' Compensation (to the extent permitted by law)			
(15) Other Liability			
(16a) Private Passenger Auto No-Fault (personal injury protection to the extent permitted by law)			
(16b) Other Private Passenger Auto Liability			
(16c) Commercial Auto No-Fault (personal injury protection to the extent permitted by law)			
(16d) Other Commercial Auto Liability			
(17a) Private Passenger Auto Physical Damage			
(17b) Commercial Auto Physical Damage			
(18) Aircraft (all perils)			
(19) Fidelity			
(20) Surety			
(21) Glass			
(22) Burglary and Theft			
(23) Boiler and Machinery			
(24) Credit			
(25) Reinsurance Only			
(26) Other (list)			
Title Insurance (O.R.C. 3953)			

Oklahoma	Authorized to Transact	Currently Transacting	Applying for
Life (O.S. 36 §702)	X	X	
Surety (including bail) (OAC 365:25-5-41)			
Surety (excluding bail) (O.S. 36 §708)			
Title (O.S. 36 §709)			
Marine (O.S. 36 §705)			
Accident & Health (O.S. 36 §703)	X	X	
Property (O.S. 36 §704)			
Vehicle (O.S. 36 §706)			
Casualty (vehicle only) (O.S. 36 §706)			
Casualty (including vehicle)(O.S. 36 §707)			
Workers' Compensation (O.S. 36 §608, §612.2)			
Variable Life (O.S. 36 §6061, §6062)			
Variable Annuity (O.S. 36 §6061, §6062, OAC 365:10.9.10)			
Reinsurance (O.S. 36 §2132)			
Health Maintenance Organizations (O.S.36 §6901)			

Oregon	Authorized to Transact	Currently Transacting	Applying for
Life (ORS 731.170)	X	X	

Health (ORS 731.162)	X	X	
Oregon (continued)	Authorized to Transact	Currently Transacting	Applying for
Health Care Service Contractor (ORS 750-005)			
Health Care Service Contractor (Complementary Health Services) (ORS 750.005)			
Property (ORS 731.182)			
Casualty, excluding Worker's Comp (ORS 731.158)			
Casualty, including Worker's Comp (ORS 731.158)			
Marine & Transportation (ORS 731.174)			
Surety (ORS 731.186)			
Home Protection (only) (ORS 731.164)			
Mortgage (only) (ORS 731.178)			
Title (only) (ORS 731.190)			

Pennsylvania (Notes 1 and 2)	Authorized to Transact	Currently Transacting	Applying for
Life and Annuities (40 P.S. § 382(a)(1))	X	X	
Separate Account – Variable Life (40 P.S. § 382 (a)(1))			
Separate Account – Variable Annuities (40 P.S. § 382 (a)(1))			
Accident and Health (40 P.S. § 382(a)(2))	X	X	
Fire and Allied Lines (40 P.S. § 382(b)(1))			
Inland Marine & Auto Physical (40 P.S. § 382(b)(2))			
Ocean Marine (40 P.S. § 382(b)(3))			
Fidelity and Surety (40 P.S. § 382(c)(1))			
Accident and Health (40 P.S. § 382(c)(2))			
Glass (40 P.S. § 382 (c)(3))			
Other Liability (40 P.S. § 382 (c)(4))			
Steam Boiler & Machinery (40 P.S. § 382 (c)(5))			
Burglary-Theft (40 P.S. § 382 (c)(6))			
Credit (40 P.S. § 382 (c)(7))			
Water (40 P.S. § 382 (c)(8))			
Elevator (40 P.S. § 382 (c)(9))			
Livestock (40 P.S. § 382 (c)(10))			
Auto Liability 40 P.S. § 382 (c)(11))			
Mine & Machinery (40 P.S. § 382 (c)(12))			
Personal Property Floater (40 P.S. § 382 (c)(13))			
Workers' Compensation (40 P.S. § 382 (c)(14)) (Note 3)			
Other (40 P.S. § 382 (e))			
Title (40 P.S. § 910-1)			

Note 1: A company may only apply for the lines of insurance for which it is authorized in its state of domicile.

Note 2: The company must have transacted business for a minimum of one (1) year prior to seeking admission. If the company was recently acquired, at least one (1) year of operating experience under the new management is required. An affiliated insurer admitted in Pennsylvania and operating under the same ownership/management team for at least one year may satisfy this requirement. If the aforementioned situation applies, a written request for approval of a waiver must be submitted with the application.

Note 3: The Department of Labor and Industry requires all insurers that are applying to write workers' compensation to complete and file an Initial Report of Accident and Illness Prevention Services. The Insurance Department will not issue a Certificate of Authority to a foreign insurer to write workers' compensation insurance until the Department of Labor and Industry has indicated the company has made the necessary filing as required by the Workers' Compensation Act. The necessary form with instructions can be obtained at:

<http://www.ins.state.pa.us/ins/cwp/view.asp?a=1280&q=527257>

Rhode Island	Authorized to Transact	Currently Transacting	Applying for
Life and Health Companies:			
Life (Note 1)			
Accident and Health (Note 1)			
Annuities (Note 1)			
Variable Life (Note 1)			
Variable Annuity (Note 1)			
Variable Contracts (Notes 1 and 2)			
Property and Casualty Companies: (Note 3)			
Fire			
Allied Lines			
Multi-Peril Crop			
Federal Flood			
Farmowners Multi-Peril			
Homeowners Multi-Peril			
Commercial Multi-Peril			
Ocean Marine			
Inland Marine			
Medical Malpractice/Medical Liability			
Earthquake			
Accident & Health			
Worker's Compensation			
Other Liability			
Products Liability			
Automobile (Full Coverage)			
Aircraft (All Perils)			
Fidelity			
Surety			
Glass			
Burglary and Theft			
Boiler and Machinery			
Credit			
Warranty			
Financial Guaranty or Mortgage Guaranty			

A company will be granted authority for a line of business in Rhode Island only on the condition that the company already has authority to sell that line in its state of domicile.

Note 1: Includes individual and group, and credit and non-credit.

Note 2: Variable Contracts includes Variable Life and Variable Annuity.

Note 3: Or alternatively: all lines except Life, Annuities, Title, Mortgage Guaranty and Financial Guaranty.

South Carolina	Authorized to Transact	Currently Transacting	Applying for
Life and Annuities (SC 38-5-30)	X	X	
Accident and Health (SC 38-5-30)	X	X	
Property (SC 38-5-30)			
Casualty (SC 38-5-30)			
Surety (SC 38-5-30)			
Marine (SC 38-5-30)			
Title (SC 38-5-30)			

South Dakota	Authorized to Transact	Currently Transacting	Applying for
(1) Life	X	X	

(2) Health	X	X	
South Dakota (continued)	Authorized to Transact	Currently Transacting	Applying for
(3) Fire & Allied Lines			
(4) Inland & Ocean Marine			
(5) Workmen's Compensation			
(6) Bodily Injury Liability (No Auto)			
(7) Property Damage (No Auto)			
(8) Auto Bodily Injury			
(9) Auto Property Damage			
(10) Auto Physical Damage			
(11) Fidelity & Surety			
(12) Glass			
(13) Burglary			
(14) Boiler & Machinery			
(15) Aircraft			
(16) Credit			
(17) Crop - Hail			
(18) Livestock			
(19) Title			
(20) Variable Annuities			
(21) Variable Life			
(22) Reinsurance Only (Not for Companies w/direct authority)			
(23-A) Travel, Accident & Baggage			
(23-B) Prepaid Legal			
(23-C) Bail Bonds			

Tennessee	Authorized to Transact	Currently Transacting	Applying for
Life (TCA 56-2-201), (a)	X	X	
Accident and Health (TCA 56-2-201), (a)	X	X	
Credit (TCA 56-2-201), (a)			
Variable Contracts (TCA 56-2-201), (a)			
Property (TCA 56-2-201), (a), (b)			
Vehicle (TCA 56-2-201), (a), (c)			
Casualty (TCA 56-2-201) (a), (d)			
Surety (TCA 56-2-201) (a), (e)			
Title (TCA 56-35-112) (a)			

- (a) Company may only write lines in Tennessee that they are authorized to write in their domiciliary state.
- (b) Includes Fire and Extended Coverage, Other Allied Lines, Homeowners Multiple Peril, Commercial Multiple Peril, Earthquake, Growing Crops, Water Damage - Sprinkler Leakage, Ocean Marine and Inland Marine.
- (c) Automobile Bodily Injury, Automobile Property Damage and Automobile Physical Damage. (The Vehicle class is to be used when the company requests Vehicle only and no other Casualty line)
- (d) All lines listed under (c) above in addition to Disability, General Liability, Workers' Compensation, Burglary and Theft, Personal Property Floater, Glass, Boiler, Water Damage, Credit, Elevator, Livestock, Collision, Malpractice, Miscellaneous.
- (e) Credit, Accident and Health, Fidelity, Performance Contracts and Bonds, Indemnification Insurance and Mortgage Guaranty.

Texas	Authorized to Transact	Currently Transacting	Applying for
Fire			
Allied Coverages (a)			

Hail, growing crops only Texas (continued)	Authorized Transact	Currently Transacting	Applying for
Rain			
Inland Marine (b)			
Ocean Marine			
Aircraft Liability			
Auto Physical Damage			
Accident	X	X	
Health	X	X	
Workers' Comp & Emp. Liability			
Employer's Liability			
Automobile Liability (c)			
Automobile Physical Damage (d)			
Liability other than Automobile (e)			
Fidelity and Surety			
Glass			
Burglary and Theft			
Forgery			
Boiler and Machinery			
Credit (f)			
Livestock (g)			
Prepaid Legal Services (h)			
Title (i)			
Mortgage Guaranty Type I			
Mortgage Guaranty Type II			
Life	X	X	
Variable Life			
Variable Annuity			

When one of the above coverages includes more than one kind or sub-line of insurance, the selection of that coverage authorizes the company to write one or more of the specified kinds of insurance included in that coverage.

- (a) Includes, but not limited to, Extended Coverage, Windstorm, Lightning, Hurricane, Hail (except growing crops), Explosion, Riot, Civil Commotion, Smoke, Aircraft, Land Vehicles, Physical Loss Form, Additional Extended Coverage, Vandalism, Malicious Misc
- (b) Includes Personal Property Floater.
- (c) Includes Bodily Injury, Medical Payments, Property Damage, and other Automobile Liability. If applicant is planning to write commercial automobile liability, a loss control plan must be submitted.
- (d) Includes Fire, Theft, Collision, Comprehensive and other Automobile Physical Damage.
- (e) Includes Bodily Injury, Medical Payments and Property Damage with regards to Comprehensive Personal Liability, Owners, Landlords and Tenants, Manufacturers and Contractors, Product, Contractual, Elevator (including Elevator Collision), Employers' Liability, Professional Liability for Physicians, Podiatrists, Certified Anesthetists, and Hospitals, and other Liability other than Automobile. If applicant is planning to write professional liability insurance for hospitals, professional liability for insureds other than hospitals, general liability and / or medical liability for insureds other than hospitals, a loss control plan must be submitted.
- (f) Includes Credit Involuntary Unemployment; excludes Mortgage Guaranty.
- (g) Mortality.
- (h) Prepaid Legal Services business also has separate policy forms filing requirements.
- (i) May be written only by Title insurance companies except those companies transacting title insurance prior to October 1, 1967. Includes Attorney's Title insurance companies as authorized by Texas Insurance Code, Article 9.56.
1. Life, Health, and accident and annuities – Chapter 3 of the Texas Administrative Code
 - a. Life, Health, and accident and annuities – Chapter 3 of the Texas Administrative Code
 - b. Mutual Life – Chapter 11 of the Texas Insurance Code
 2. Property and Casualty Insurance Companies – Chapter 5 of the Texas Administrative Code
 - a. Fire and Marine Companies- Chapter 6 of the Texas Insurance Code
 - b. Surety and Trust Companies – Chapter 7 of the Texas Insurance Code
 - c. General Casualty Companies – Chapter 8 of the Texas Insurance Code

Utah	Authorized to Transact	Currently Transacting	Applying for
Life Insurance, including variable products, (Utah Code Ann. § 31A-1-301 (98) (a))	X	X	
Accident & Health (Utah Code Ann. § 31A-1-301(1))	X	X	
Property Insurance (Utah Code Ann. § 31A-1-301 (134))			
Surety Insurance (Utah Code Ann. § 31A-1-301 (155))			
Bail Bond Surety (Utah Code Ann. § 31A-1-301(12))			
Vehicle Liability Insurance (Utah Code Ann. § 31A-1-301(163))			
Liability Insurance (Utah Code Ann. § 31A-1-301(96))			
Marine and Transport (Utah Code Ann. § 31A-1-301(80) & (116))			
Workers Compensation Insurance (Utah Code Ann. § 31A-1-301(166))			
Title Insurance (Utah Code Ann. § 31A-1-301(158))			
Professional Liability, excluding medical malpractice (Utah Code Ann. § 31A-1-301(133))			
Professional Liability, including medical malpractice (Utah Code Ann. § 31A-1-301(106))			
Motor Club (Utah Code Ann. § 31A-1-301(112))			
Limited Health Plan (Utah Code Ann. § 31A-8-101 (6))			
Nonprofit Health Service Corporation (Utah Code Ann. § 31A-7-102)			
Credit Guarantee (Utah Code Ann. § 31A-1-301(35))			
Legal Expense (Utah Code Ann. § 31A-1-301(95))			
Health Maintenance Organization (Utah Code Ann. § 31A-8-101(5))			

Vermont	Authorized to Transact	Currently Transacting	Applying for
Life (Section 3301(a)(1))	X	X	
Variable Annuity (Section 3857)			
Variable Life (Section 3857)			
Health (Section 3301(a)(2))	X	X	
Casualty (Section 3301(a)(3))			
Marine and Transportation (Section 3301(a)(4))			
Marine Protection and Indemnity (Section 3301(a)(5))			
Wet Marine and Transportation (Section 3301(a)(6))			
Property (Section 3301(a)(7))			
Surety (Section 3301(a)(8))			
Title (Section 3301(a)(9))			
Multiple Line (Section 3301(a)(10))			

Virginia	Authorized to Transact	Currently Transacting	Applying for
(§ 38.2 101 through 134)			
Life and Health and Fraternal Benefit Society			
01 Life	X	X	
02 Industrial Life	X	X	
03 Credit Life	X	X	
04 Variable Life			
05 Annuities	X	X	
06 Variable Annuities			
07 Accident and Sickness	X	X	
08 Credit Accident and Sickness	X	X	

71 Modified Guaranteed Life			
Virginia (continued)	Authorized to Transact	Currently Transacting	Applying for
72 Modified Guaranteed Annuities			
99 Managed Care Health Insurance Plan *			
Title			
33 Title			
Property and Casualty			
07 Accident and Sickness			
08 Credit Accident and Sickness			
09 Fire			
10 Miscellaneous Property and Casualty			
11 Farmowners Multi Peril			
12 Homeowners Multi Peril			
13 Commercial Multi Peril			
14 Ocean Marine			
15 Inland Marine			
16 Workers Comp-Emp Liability			
17 Liability Other than Auto			
18 Auto Liability			
19 Auto Physical Damage			
20 Aircraft Liability			
21 Aircraft Physical Damage			
23 Fidelity			
24 Surety			
25 Glass			
26 Burglary and Theft			
27 Boiler and Machinery			
28 Credit			
29 Animal			
30 Water Damage			
32 Legal Services			
55 Home Protection			
56 Mortgage Guaranty			
74 Credit Involuntary Unemployment			
75 Credit Property			
99 Managed Care Health Insurance Plan *			

* Companies applying to operate a Managed Care Health Insurance Plan (MCHIP) will be required to obtain a Certificate of Quality Assurance (Certificate) from the Virginia Department of Health pursuant to § 38.2-5800 et seq. of the Code of Virginia. Upon receipt of an application to operate an MCHIP, the Bureau of Insurance will send a letter to the applicant describing the requirements for operating an MCHIP that includes the requirement to obtain a Certificate of Quality Assurance from the Virginia Department of Health.

Washington	Authorized to Transact	Currently Transacting	Applying for
Life (RCW 48.11.020)	X	X	
Disability (RCW 48.11.030)			
Property (RCW 48.11.040)			
Marine and Transportation (RCW 48.11.050)			
Vehicle (RCW 48.11.060)			
General Casualty (RCW 48.11.070)			
Surety (RCW 48.11.080)			
Title (RCW 48.11.100)			
Ocean Marine (RCW 48.11.105)			

West Virginia	Authorized to Transact	Currently Transacting	Applying for
Life (WVC 33-1-10(a))	X	X	
Accident & Sickness (WVC 33-1-10(b))	X	X	
Fire (WVC 33-1-10(c))			
Marine (WVC 33-1-10(d))			
Casualty (WVC 33-1-10(e))			
Surety (WVC 33-1-10(f)(1), (2) & (3))			
Title (WVC 33-1-10(f)(4))			
Reinsurance*			
Variable Annuity (WV Code §33-13A-1)			
Variable Life (WV Code §33-13A-1)			
Physicians Mutual (WV §33-20F-1)			
** Reciprocal (WV Code §33-21-1)			
Farmers Mutual Fire (WV Code §33-22-1)			
Fraternal (WV Code §33-23-1)			
Hospital Service (WV §33-24-1)			
Medical Service (WV §33-24-1)			
Health Service (WV §33-24-1)			
Dental Service (WV §33-24-1)			

* Indicate above the kinds of insurance to be reinsured, if application is for authority to transact reinsurance only.

** Indicate above the kinds of insurance to be written by the reciprocal insurer

Wisconsin	Authorized to Transact	Currently Transacting	Applying for
(s. Ins 6.75, Wis. Adm. Code)			
(1) (a) Life and Insurance Annuities	X	X	
Nonparticipating			
Participating			
(1) (b) Variable Life and variable annuities			
(1) (c) Disability (includes health)	X	X	
(2) (a) Fire, inland marine and other property			
(2) (b) Ocean marine			
(2) (c) Disability (includes health)			
(2) (d) Liability and incidental medical expense			
(2) (e) Automobile			
(2) (f) Fidelity insurance			
(2) (g) Surety insurance			
(2) (h) Title			
(2) (i) Mortgage guaranty			
(2) (j) Credit insurance			
(2) (k) Workers' compensation insurance			
(2) (l) Legal expense insurance			
(2) (m) Credit unemployment insurance			
(2) (n) Miscellaneous			
(2) (o) Aircraft			

Wyoming	Authorized to Transact	Currently Transacting	Applying for
Life, including annuities (WS 26-5-102)	X	X	
Variable Contracts			
Disability (WS 26-5-103)	X	X	
Property (WS 26-5-104)			
Surety (WS 26-5-105)			
Casualty (WS 26-5-106)			

Applicant Name: Industrial Alliance Pacific Insurance and Financial Services Inc.

NAIC No. 0315-84514
FEIN: 98-0018913

Wyoming (continued)	Authorized to Transact	Currently Transacting	Applying for
Marine and Transportation (WS 26-5-107)			
Multiple Lines (WS 26-5-108)			
Title (WS 26-5-109)			



Industrial Alliance Pacific
Insurance and Financial Services Inc.

TEXAS DEPARTMENT OF INSURANCE
ACCOUNTING DIVISION MC 9999
P.O. BOX 149104
AUSTIN, TX 78714

1089057

October 26, 2011

TEXAS DEPARTMENT OF INSURANCE

IAP TX DOI REDOMESTICATION FILING FEE	\$250.00
TOTAL AMOUNT OF CHECK	\$250.00

F60-203

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Industrial Alliance Pacific
Insurance and Financial Services Inc.

1089057

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\$250.00

TEXAS DEPARTMENT OF INSURANCE
ACCOUNTING DIVISION MC 9999
P.O. BOX 149104
AUSTIN, TX 78714

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[Handwritten Signature]

THE BANK OF NEW YORK
ONE WALL STREET, NEW YORK NY USA 10286

TWO SIGNATURES REQUIRED IF AMOUNT IS \$5000 OR OVER

⑈ 1089057⑈ ⑆ 021000018⑆ ⑈ 8900169559⑈